

# QC-LDPC codes, QC-MDPC codes and their use in post-quantum cryptography

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# LDPC codes

- Low-Density Parity-Check (LDPC) codes are state-of-art forward error correcting (FEC) codes.
- Introduced by Gallager in 1962 and more recently rediscovered.
- Able to approach the channel capacity under belief propagation decoding.
- Nowadays included in many applications and standards.



- ▶ R. G. Gallager, "Low-density parity-check codes," IRE Trans. Inform. Theory, vol. IT-8, pp. 21–28, Jan. 1962.
- ▶ D. J. C. MacKay and R. M. Neal, "Good codes based on very sparse matrices," in Cryptography and Coding. 5th IMA Conference, ser. Lecture Notes in Computer Science, C. Boyd, Ed. Berlin: Springer, 1995, no. 1025, pp. 100–111.
- ▶ C. Sae-Young, G. Forney, T. Richardson, and R. Urbanke, "On the design of low-density parity-check codes within 0.0045 dB of the Shannon limit," IEEE Commun. Lett., vol. 5, no. 2, pp. 58–60, Feb. 2001.

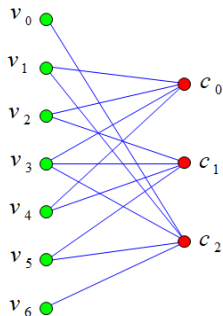
# Code representation

- Binary linear block code with
  - $n$ : code length
  - $k$ : code dimension
  - $r = n - k$ : code redundancy

Parity-check matrix

$$\mathbf{H} = \begin{bmatrix} 0 & 1 & 1 & 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 & 1 & 1 & 0 \\ 1 & 1 & 0 & 1 & 0 & 1 & 1 \end{bmatrix}$$

Tanner graph

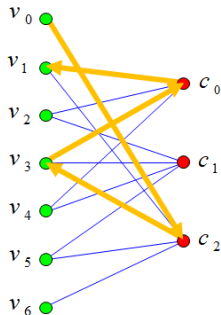


# Encoding and decoding of LDPC codes

- Encoding through classical methods (e.g. generator matrix  $\mathbf{G}$ ).
- Efficient decoding through iterative algorithms working on the code parity-check matrix/Tanner graph.
- Soft-decision decoders:
  - Sum-product algorithm with log-likelihood ratios (LLR-SPA)
  - Min-sum algorithm and its variants (offset, weighted...)
- Hard-decision decoders:
  - Gallager's A/B algorithm
  - Bit-flipping algorithm
  - Their variants

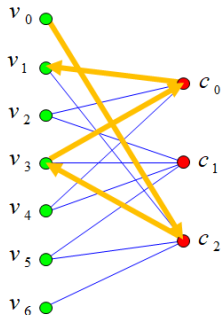
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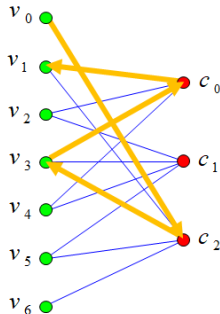
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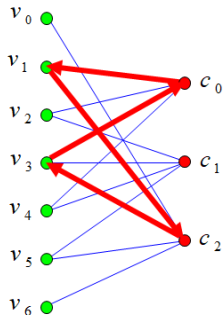
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## Properties affecting iterative decoding

Closed loops in the Tanner graph contaminate iterative decoders' information with correlation.

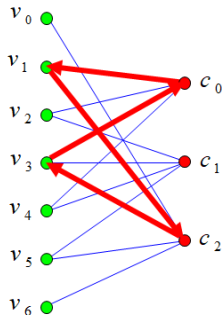


- Thus LDPC codes usually have:
  - Low density of ones in the parity check matrix
  - Few edges in the Tanner graph
  - No more than one overlapping one between any two rows/columns
  - Local cycles in the Tanner graph as long as possible
- These requirements result in LDPC codes with:
  - very small Hamming weight of the rows of  $\mathbf{H}$  ( $d_c \approx \log n$ )
  - very long length ( $n \gg 1000$ )



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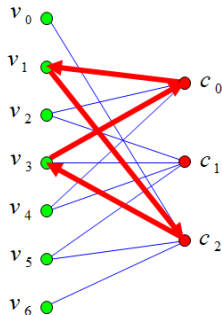
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## Hard-decision decoding: Gallager's A/B

Gallager's A decoding:

- 1 Variable nodes send their initial value (0/1) to their neighboring check nodes.
- 2 Each check node  $c$  sends back to each variable node  $v$  the binary sum of all values received from its neighbours except  $v$  (marginalization).
- 3 Each variable node  $v$  counts the number of values received from its neighboring check nodes that disagree with its own value.
- 4 For each check node  $c$ , if all neighboring check nodes other than  $c$  (marginalization) disagree with the value of  $v$ , then  $v$  sends its flipped value to  $c$ , otherwise it sends its original value to  $c$ .
- 5 Decoding iterates from step 2, unless all parity checks are satisfied or a maximum number of iterations is reached.

Gallager's B decoding:

- Steps 1, 2, 3 and 5 like Gallager's A.
- In step 4, the value of  $v$  is flipped if the number of disagreeing check nodes except  $c$  (marginalization) exceeds a given threshold  $b$ .

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## Bit flipping decoding

- Similar to Gallager's B algorithm, but without marginalization.
- From [Gallager1962]:

The decoder computes all the parity checks and then changes any digit that is contained in more than some fixed number of unsatisfied parity-check equations. Using these new values, the parity checks are recomputed, and the process is repeated until the parity checks are all satisfied.

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## Bit flipping decoding performance

- The decoding radius of LDPC codes under BF decoding cannot be determined analytically through closed form expressions.
- However, the average BF decoder performance can be estimated through a probabilistic model (under some assumptions).
- It allows computing a threshold for the number of errors for which BF converges to the right codeword in asymptotic conditions.
- It can be adapted to modeling the BF decoder performance in code-based cryptosystems.

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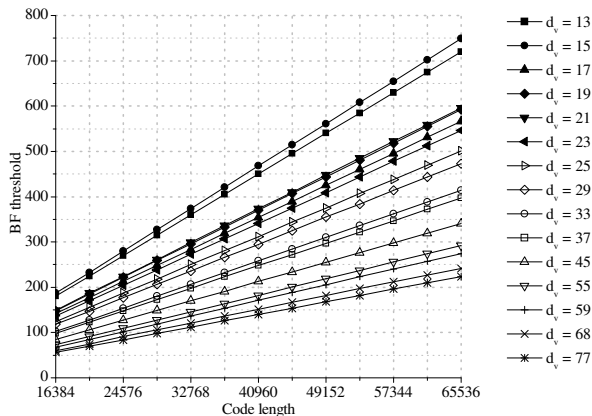


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# Bit flipping decoding performance - examples



BF decoding thresholds versus code length ( $n$ ) for LDPC codes with code rate  $3/4$  and several parity-check matrix column weights ( $d_v$ ).

## Moderate-density parity-check (MDPC) codes

- Special case of LDPC codes with density larger than usual ( $d_c \approx \sqrt{n}$ ).
  - Mostly used in code-based cryptosystems.
  - The density of their parity-check matrices/Tanner graphs does not allow avoiding short cycles.
  - However, they can still be decoded through iterative decoders.
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## QC-LDPC codes

- A linear block code is a quasi-cyclic (QC) code if:
  - its dimension and length are multiple of an integer  $p$  ( $k = k_0p, n = n_0p$ ),
  - every cyclic shift of a codeword by  $n_0$  positions yields another codeword.
- The generator and parity-check matrices of a QC code can assume two forms:
  - Circulant of blocks.
  - Block of circulants.

### Advantage

The QC structure allows to represent the generator and parity-check matrices in a compact way (each circulant is completely described by its first row).

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# Example of QC-(almost)LDPC code

$$\mathbf{H} = \left[ \begin{array}{cccccccccccc} 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 1 & 1 \end{array} \middle| \begin{array}{cccccccccccc} 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 1 & 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 & 0 & 0 & 0 & 0 & 0 & 1 & 0 & 1 \end{array} \right]$$

- Number of circulant blocks:  $n_0 = 2$ .
- Code rate:  $R = \frac{n_0 - 1}{n_0} = 1/2$ .
- Parity-check matrix column weight:  $d_v = 3$ .
- Parity-check matrix row weight:  $d_c = n_0 d_v = 6$ .

# QC-LDPC and QC-MDPC codes

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- The parity-check matrix is described by its first row.
- The storage size increases linearly in the code length.
- The code length is usually very large ( $10'000 \lesssim n \lesssim 100'000$ )
- QC-LDPC codes usually have  $d_c \approx \log n$ .
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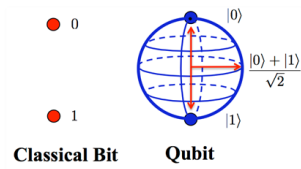
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# Quantum computing

- Computer using quantum mechanics phenomena, such as quantum superposition and quantum correlation for performing calculations.
- Theorized by Richard Feynman and Yuri Manin in the early 1980s.
- Shor's algorithm (1994):
  - factorizes integers on a quantum computer,
  - given an integer  $N$ , it factors it in a time polynomial in  $\log(N)$ ,
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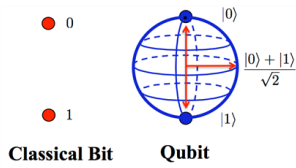
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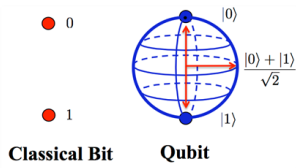
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- On January 2019 IBM announced Q System One, the first commercial quantum computer.
- It has 20 qubits (50 qubits are deemed necessary to compete with classic computers).
- It exploits quantum superposition.
- It must be kept at a very low temperature and isolated from any form of electromagnetic noise.
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- It has 20 qubits (50 qubits are deemed necessary to compete with classic computers).
- It exploits quantum superposition.
- It must be kept at a very low temperature and isolated from any form of electromagnetic noise.
- Quantum equivalent of the first computers of the 1950s and 1960s.
- Simulators and software models available for programming.



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# Quantum supremacy

- Google and IBM are competing towards achieving quantum supremacy.
- The 72-qubit system that Google was developing in 2017 proved too difficult to control.
- Google then started the development of a 53-qubit system called Sycamore.
- In October 2019, Google claimed that the Sycamore processor was able to perform a calculation in 200 seconds that would have taken the world's most powerful supercomputer 10,000 years.
- IBM disclaimed this, stating that Google's system is specialized to solve a single problem, differently from IBM's general-purpose quantum computer.

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# Quantum-vulnerable cryptography

The most widespread cryptographic systems today are based on mathematical problems that can be solved with Shor's algorithm:

- **RSA**: public key cryptosystem based on integer factorization (used in SSL/TLS, online banking, ATM, ...).
- **ElGamal**: public key cryptosystem based on discrete logarithm (used in SSL/TLS, ...).
- **DSA**: digital signature algorithm based on discrete logarithm (used in SSL/TLS, ...).
- **Diffie-Hellman**: key exchange protocol based on discrete logarithm (used in SSL/TLS, NFC, contactless payments, ...).
- **ECDH**: Elliptic-curve Diffie-Hellman, used for end-to-end encryption (Signal, WhatsApp, Facebook Messenger, Skype, ...).
- **ECDSA**: Elliptic-curve digital signature algorithm (used in Bitcoin (secp256k1), Ethereum, ...).

# Post-quantum cryptography

## Asymmetric schemes:

- Based on lattices
- Based on codes
- Based on multivariate polynomials
- Based on hash functions
- Others (isogenies ...)

## Symmetric schemes:

- Symmetric encryption schemes (AES ...)
- Hash functions (SHA ...)
- Can still be used as long as Grover's algorithm is taken into account

# NIST PQcrypto Project

- **NIST** has initiated a process for the development and standardization of one or more public-key cryptographic algorithms to enrich:
  - Recommendation FIPS 186-4 (Digital Signature Standard - DSS)
  - Special publication SP 800-56A Rev 2 (key establishment systems based on discrete logarithm)
  - Special publication SP 800-56B (key establishment systems based on integer factorization)



# NIST PQcrypto call timeline

- **24-26 February 2016:** Announcement and description of the NIST call.
- **28 April 2016:** NISTIR 8105 report on post-quantum cryptography released.
- **20 December 2016:** Official publication of the call.
- **30 November 2017:** Deadline for submission of candidates (82 submissions).
- **30 January 2019:** Second round admission announced (26 candidates).
- **22 July 2020:** Third round admission announced (7 finalists + 8 alternate candidates).



## Security level goals

### NIST target (for categories 1, 3, and 5)

Computational effort required on either a classical or a quantum computer to break the AES with a key size of  $\lambda$  bits,  $\lambda \in \{128, 192, 256\}$ , through an exhaustive key search.

- On a classical computer we have complexity  $2^\lambda C_{\text{AES}}$ , where  $C_{\text{AES}}$  is the binary cost of AES.
- The quantum cost can be estimated taking into account Grover's algorithm and counting the strictly needed Clifford and T gates (which are the most expensive).

NIST Category	AES Key Size (bits)	Classical Cost (binary operations)	Quantum Cost (quantum gates)
1	128	$2^{128} \cdot 2^{14} \cdot 3 = 2^{143.5}$	$1.16 \cdot 2^{81}$
3	192	$2^{192} \cdot 2^{14} \cdot 4 = 2^{208}$	$1.33 \cdot 2^{113}$
5	256	$2^{256} \cdot 2^{14} \cdot 5 = 2^{272.3}$	$1.57 \cdot 2^{145}$

- ▶ R. Ueno, S. Morioka, N. Homma, and T. Aoki. A High Throughput/Gate AES Hardware Architecture by Compressing Encryption and Decryption Datapaths - Toward Efficient CBC-Mode Implementation. In B. Gierlichs and A. Y. Poschmann, editors, *Cryptographic Hardware and Embedded Systems - CHES 2016*, vol. 9813 of LNCS, pages 538–558. Springer, 2016.
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# McEliece cryptosystem

- Proposed by Robert McEliece in 1978.
- Irreducible Goppa codes were used in the original proposal.
- Secret irreducible Goppa code:
  - irreducible polynomial of degree  $t$  over  $GF(2^m)$ ,
  - length (maximum):  $n = 2^m$ ,
  - dimension:  $k \geq n - t \cdot m$ ,
  - correction capability:  $t$  errors.



**Robert J. McEliece**  
(May 21, 1942 – May 8, 2019)

## Rationale

- The number of irreducible polynomials of degree  $t$  over  $GF(n)$  is  $\approx n^t/t$ .
- The probability that a random polynomial is irreducible is  $\approx 1/t$ , and a fast algorithm exists for testing irreducibility.

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# McEliece cryptosystem - key generation

## Private key

- $k \times n$  generator matrix  $\mathbf{G}$  of a secret Goppa code,
- random dense  $k \times k$  non-singular “scrambling” matrix  $\mathbf{S}$ ,
- random  $n \times n$  permutation matrix  $\mathbf{P}$ .

## Public key

$$\mathbf{G}' = \mathbf{S} \cdot \mathbf{G} \cdot \mathbf{P}$$

- The public code is permutation equivalent to the secret code.
- Security relies on the hardness of decoding a random-like code.

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# McEliece cryptosystem - encryption

- 1 Alice gets Bob's public key  $\mathbf{G}'$ .
- 2 She generates a random error vector  $\mathbf{e}$  of length  $n$  and weight  $t$ .
- 3 She encrypts any  $k$ -bit block  $\mathbf{u}$  as

$$\mathbf{x} = \mathbf{u} \cdot \mathbf{G}' + \mathbf{e} = \mathbf{c} + \mathbf{e}$$

## Alert

This only provides semantic security!

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# McEliece cryptosystem - decryption

- 1 Bob computes

$$\begin{aligned} \mathbf{x}' &= \mathbf{x} \cdot \mathbf{P}^{-1} = \\ &= (\mathbf{u} \cdot \mathbf{S} \cdot \mathbf{G} \cdot \mathbf{P} + \mathbf{e}) \cdot \mathbf{P}^{-1} = \\ &= \mathbf{u} \cdot \mathbf{S} \cdot \mathbf{G} + \mathbf{e} \cdot \mathbf{P}^{-1} \end{aligned}$$

- 2 Bob decodes the secret code and obtains

$$\mathbf{u}' = \mathbf{u} \cdot \mathbf{S}$$

- 3 Bob computes  $\mathbf{u} = \mathbf{u}' \cdot \mathbf{S}^{-1}$ .

# Niederreiter cryptosystem - key generation

## Private key

- $r \times n$  parity-check matrix  $\mathbf{H}$  of a secret code,
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$$\mathbf{H}' = \mathbf{S} \cdot \mathbf{H}$$

- ▶ H. Niederreiter, “Knapsack-type cryptosystems and algebraic coding theory,” *Problems of Control and Information Theory*, 15(2):159–166, 1986.

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- 1 Alice gets Bob's public key  $\mathbf{H}'$ .
- 2 She maps each block of the secret message into an error pattern  $\mathbf{e}$  with length  $n$  and weight  $t$ .
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$$\mathbf{x} = \mathbf{H}' \cdot \mathbf{e}^T = \mathbf{S} \cdot \mathbf{H} \cdot \mathbf{e}^T$$

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We still only have semantic security!

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# Niederreiter cryptosystem - decryption

- 1 Bob computes

$$\mathbf{x}' = \mathbf{S}^{-1} \cdot \mathbf{x} = \mathbf{H} \cdot \mathbf{e}^T$$

- 2 Bob performs syndrome decoding of the secret code and obtains  $\mathbf{e}$  from  $\mathbf{x}'$ .
- 3 He demaps  $\mathbf{e}$  into the corresponding secret message block.



# McEliece/Niederreiter cryptosystems

- GRS codes originally used in Niederreiter were attacked.
  - But Goppa codes resisted cryptanalysis for more than 40 years.
  - These systems are faster than competing solutions...
  - ...but they require large public keys (56 KiB or more for 80-bit security).
  - Attacks based on distinguishers pose some threats on high rate Goppa codes.
  - They also invalidate all existing McEliece cryptosystem security proofs for high rate Goppa codes.
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  - ▶ J.-C. Faugère, V. Gauthier, A. Otmani, L. Perret, and J.-P. Tillich, "A distinguisher for high rate McEliece cryptosystems," In Proc. Information Theory Workshop 2011, pp. 282–286, Paraty, Brasil, 2011.

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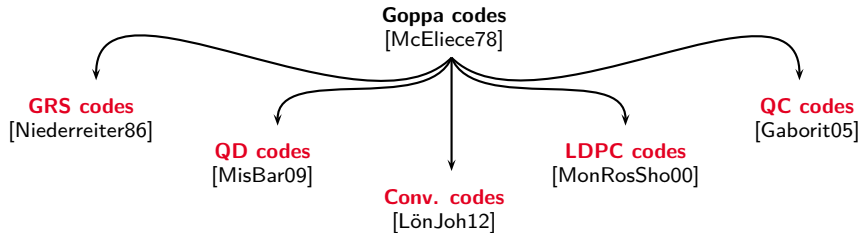
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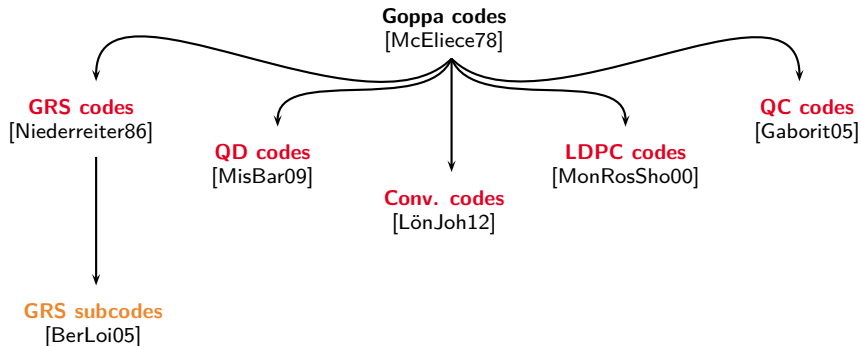
# Alternatives to Goppa codes (Hamming metric)

**Goppa codes**  
[McEliece78]

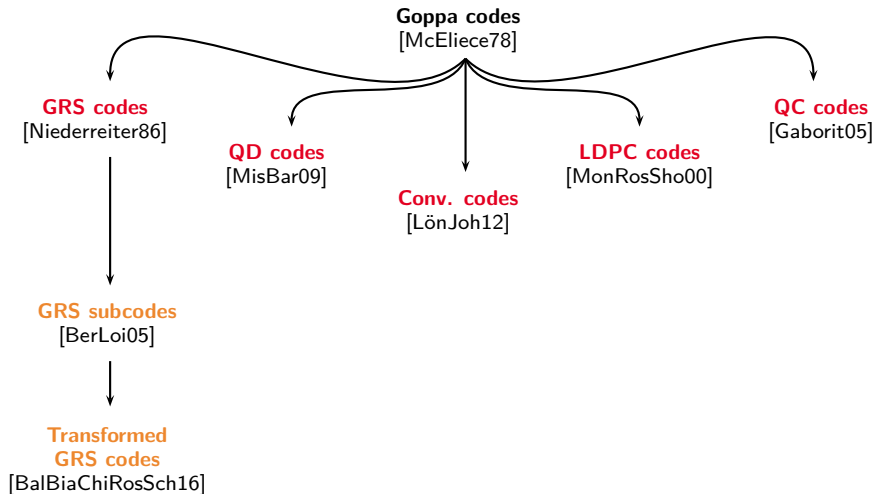
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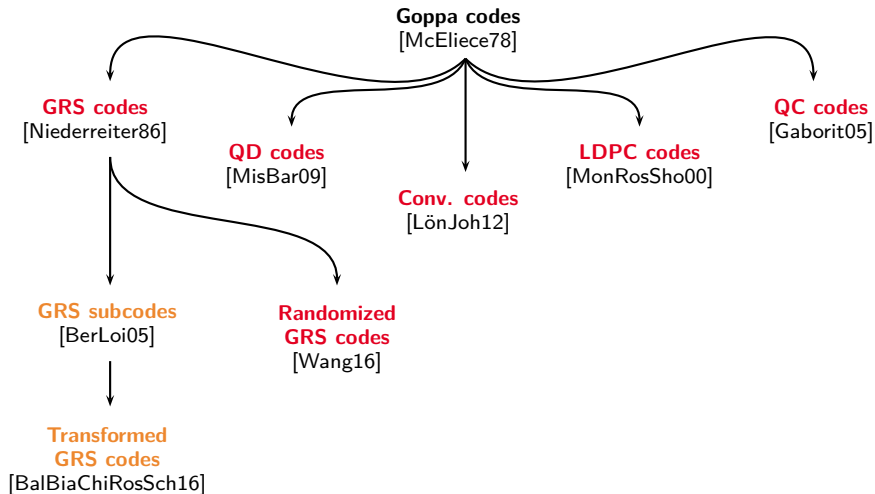


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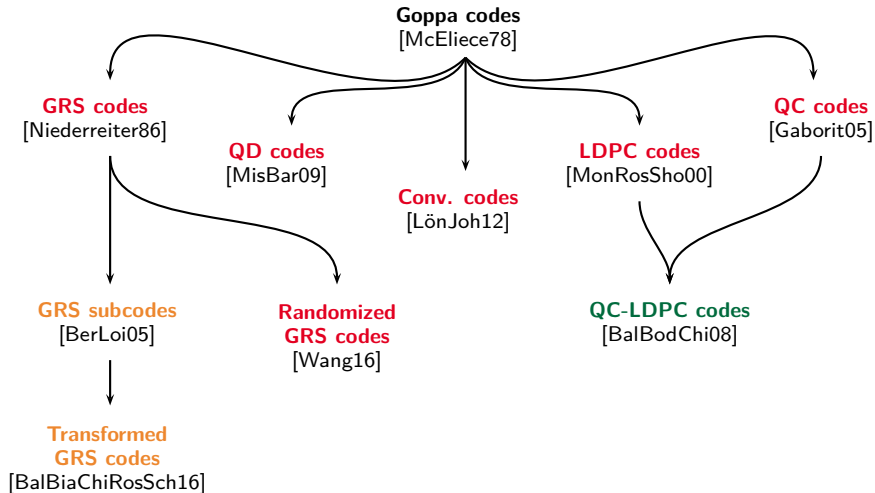




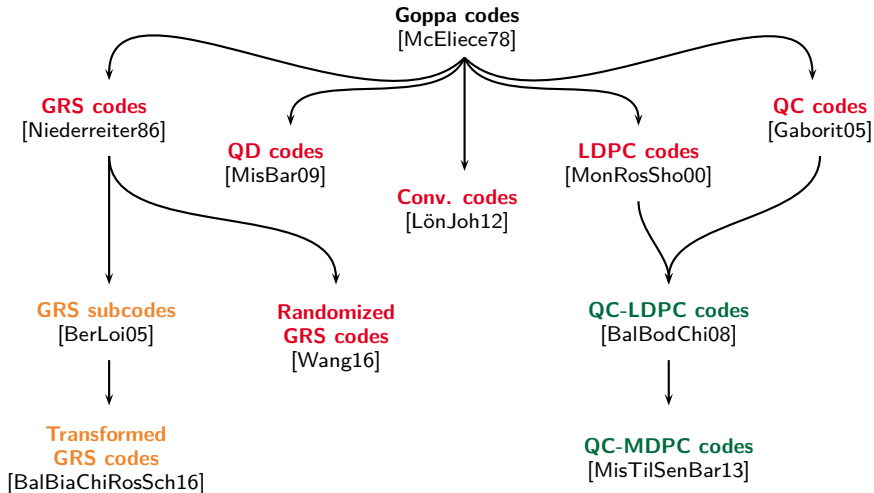
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## QC-LDPC code-based cryptosystems

- QC-LDPC codes bring important advantages in the framework of McEliece/Niederreiter cryptosystems:
    - The sparsity of their matrices enables very efficient decoding.
    - Quasi-cyclicity enables very compact keys.
  - quasi-cyclic low-density parity-check (QC-LDPC) code-based systems introduced in 2008.
  - quasi-cyclic moderate-density parity-check (QC-MDPC) code-based variants introduced in 2013.
- 
- ▶ M. Baldi, M. Bodrato, F. Chiaraluca, "A new analysis of the McEliece cryptosystem based on QC-LDPC codes", Proc. SCN 2008, vol. 5229 of LNCS, pp. 246–262, 2008.
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## Private QC-LDPC code

The private code is a QC-LDPC code with:

- rate  $R = \frac{n_0 - 1}{n_0}$  (with  $n_0 = 2, 3, 4$ ),
- redundancy  $r$  (in the order of some thousands),
- length  $n = n_0 \cdot r$ ,
- dimension  $k = (n_0 - 1) \cdot r$ .

### Secret QC-LDPC matrix

$$\mathbf{H} = [\mathbf{H}_0 | \mathbf{H}_1 | \dots | \mathbf{H}_{n_0-1}]$$

- Each  $\mathbf{H}_i$  is an  $r \times r$  circulant matrix.
- Prime values for  $r$  must be chosen to avoid folding attacks.

- ▶ M. Koochak Shooshtari, M. Ahmadian-Attari, T. Johansson and M. Reza Aref, "Cryptanalysis of McEliece cryptosystem variants based on quasi-cyclic low-density parity check codes," in IET Information Security, vol. 10, no. 4, pp. 194-202, 2016.

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$$\mathbf{H} = [\mathbf{H}_0 | \mathbf{H}_1 | \dots | \mathbf{H}_{n_0-1}]$$

- Each  $\mathbf{H}_i$  is an  $r \times r$  circulant matrix.
- Prime values for  $r$  must be chosen to avoid folding attacks.

► M. Koochak Shoostari, M. Ahmadian-Attari, T. Johansson and M. Reza Aref, "Cryptanalysis of McEliece cryptosystem variants based on quasi-cyclic low-density parity check codes," in IET Information Security, vol. 10, no. 4, pp. 194-202, 2016.



## Private QC-LDPC code

The private code is a QC-LDPC code with:

- rate  $R = \frac{n_0-1}{n_0}$  (with  $n_0 = 2, 3, 4$ ),
- redundancy  $r$  (in the order of some thousands),
- length  $n = n_0 \cdot r$ ,
- dimension  $k = (n_0 - 1) \cdot r$ .

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## Private QC-MDPC code

- Pick an  $n \times n$  sparse matrix  $\mathbf{Q}$  with average row/column weight  $1 \leq m \ll n$ .
- $\mathbf{Q}$  is in QC form, i.e., formed by  $n_0 \times n_0$  circulant blocks.

### Secret QC-MDPC matrix

$$\mathbf{H}' = \mathbf{H} \cdot \mathbf{Q} = [\mathbf{H}'_0 | \mathbf{H}'_1 | \dots | \mathbf{H}'_{n_0-1}]$$

- $\mathbf{H}'$  has density  $m$  times greater than  $\mathbf{H}$ , thus it describes a QC-MDPC code.
- QC-LDPC code-based systems pick a random  $\mathbf{H}$ , a random  $\mathbf{Q}$  and  $\mathbf{H}' = \mathbf{H} \cdot \mathbf{Q}$  as private key.
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# Public code

## Public key

Systematic  $\mathbf{G}$  for the private QC-MDPC code:

$$\mathbf{G} = \left[ \begin{array}{c} \mathbf{I} \\ \left( \mathbf{H}'_{n_0-1}^{-1} \cdot \mathbf{H}'_0 \right)^T \\ \left( \mathbf{H}'_{n_0-1}^{-1} \cdot \mathbf{H}'_1 \right)^T \\ \vdots \\ \left( \mathbf{H}'_{n_0-1}^{-1} \cdot \mathbf{H}'_{n_0-2} \right)^T \end{array} \right]$$

- $\mathbf{G}$  is dense:
  - It allows deriving dense parity-check matrices, which are unsuitable for iterative decoding.
  - Retrieving a sparse parity-check matrix requires an unfeasible computational effort.
- $\mathbf{G}$  can be in systematic form (owing to CCA2 secure conversion):
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# Encryption

- Alice has to encrypt a  $k$ -bit vector  $\mathbf{u}$ .
- She fetches Bob's public key  $\mathbf{G}$ .
- She generates a random binary intentional error vector  $\mathbf{e}$  with weight  $t$ .

## Encryption map

$$\mathbf{x} = \mathbf{u} \cdot \mathbf{G} + \mathbf{e} = \mathbf{c} + \mathbf{e}$$

- $\mathbf{c}$  is a codeword in the public code.
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## Syndrome computation

$$\mathbf{s} = \mathbf{H}' \cdot \mathbf{x}^T = \mathbf{H}' \cdot (\mathbf{c} + \mathbf{e})^T = \mathbf{H}' \cdot \mathbf{e}^T$$

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- $\mathbf{e}' = \mathbf{e}\mathbf{Q}^T$  is a binary vector with weight  $\leq t' = tm$ .
- From  $\mathbf{s}$  Bob can recover:
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## QC-LDPC/MDPC codes in the NIST contest

- LEDAcrypt (Low-density parity-check code-based cryptographic systems), providing:
  - A Niederreiter-based KEM with IND-CPA and ephemeral keys.
  - A Niederreiter-based KEM with IND-CCA2 and long-term keys.
  - A McEliece-based PKC with IND-CCA2.
- BIKE (Bit Flipping Key Encapsulation), providing:
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## Main attacks

### Decoding attacks

Aimed at decrypting one or more ciphertexts without knowing the private key.

- An information set decoding (ISD) algorithm can be exploited to perform decoding of the public code.

### Key recovery attacks

Aimed at recovering the private key from the public key.

- For any linear code, the rows of the parity-check matrix are codewords of its dual code.
- For QC-LDPC and QC-MDPC codes, these rows have low weight and can be searched through ISD algorithms.
- The quantum speedup due to Grover's algorithm must be taken into account.

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## Reaction attacks

### Observations

- 1 Iterative decoding algorithms do not have a deterministic decoding radius, which entails a non-zero decoding failure rate (DFR).
  - 2 The DFR depends on the structure of the secret matrix.
  - 3 Eve can estimate the DFR by observing Bob's reactions.
- In the CPA case, Eve performs many encryptions with suitably chosen error vectors and observes Bob's reactions during decryption.
  - In the CCA2 case, the error vectors cannot be chosen by Eve, who must exploit those resulting from each encryption to make her deductions.

### Countermeasure

Make the DFR negligible (i.e.,  $\leq 2^{-\lambda}$ ).

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## CCA security and $\delta$ -correctness

- QC-LDPC and QC-MDPC code-based cryptosystems alone provide semantic security only.
- According to [HHK2017], a KEM can be built having IND-CCA2 reduced to the OW-CPA security of the underlying deterministic public key cryptosystem.
- It is required that the decryption failure probability of the OW-CPA scheme is  $\delta \leq 2^{-\lambda}$ .

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Probability that a (possibly unbounded) adversary is able to induce a decryption failure on a valid ciphertext, taken as the **maximum** over all possible plaintexts, and averaged over all the keypairs.

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Decoding failure probability of a given code (i.e., keypair) **averaged** over all the possible plaintexts (i.e., error vectors).

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Decoding failure probability of a given code (i.e., keypair) **averaged** over all the possible plaintexts (i.e., error vectors).

- D. Hofheinz, K. Hovelmanns, and E. Kiltz, "A modular analysis of the Fujisaki-Okamoto transformation," in Theory of Cryptography - 15th International Conference, TCC 2017, Baltimore, MD, USA, November 12-15, 2017.

# The DFR problem

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Iterative decoders are algorithmic  $\Rightarrow$  no closed form formula for their error correction capability.

## Issue 2

Mathematical models of iterative decoding algorithms work under some idealistic assumptions (e.g., i.i.d. variables).

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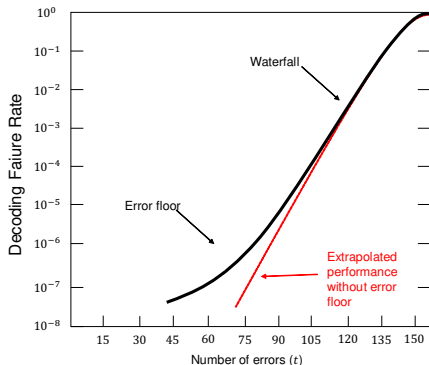
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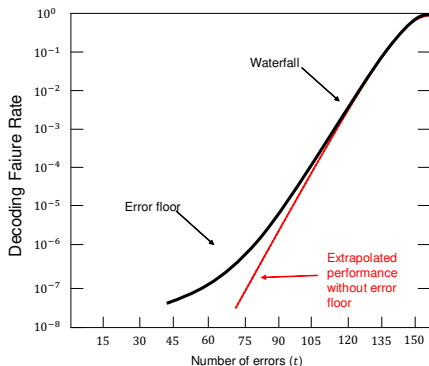
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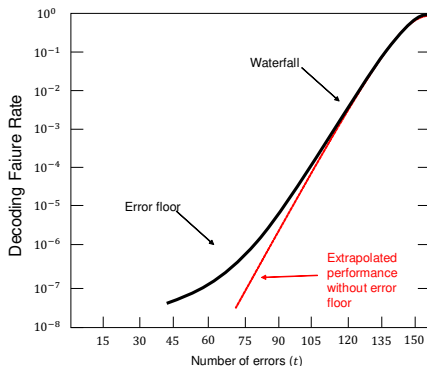
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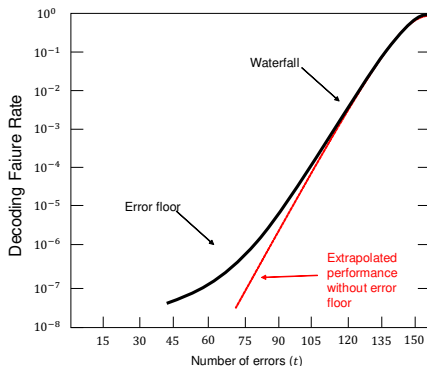
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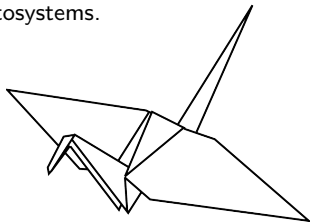
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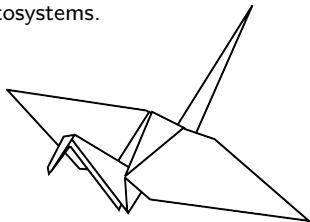
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- Among the 26 second round candidates of the NIST pqcrypto competition.
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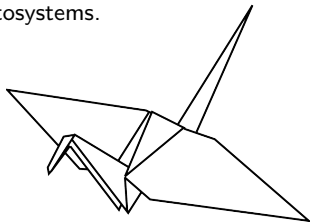
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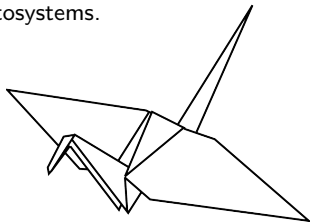
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- 1 Both KEM and PKC modes.
- 2 Closed-form upper bound on the DFR.
- 3 Solves the mismatch between  $\delta$ -correctness and DFR:
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  - This makes the maximum probability of a decryption failure over all plaintexts equal to the average failure probability over all plaintexts.
- 4 Algorithmic approach to the design of parameter sets.
- 5 Instances with:
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## LEDacrypt parameters

**Table:** Key, ciphertext and transmitted data sizes for LEDacrypt-KEM-CPA instances with ephemeral keys.

NIST Category	$n_0$	$p$	$d_v$	$t$	Private Key (B)	Public Key(B)	Ciphertext (B)	Shared Secret (B)	Transmitted data (B)
1	2	10,883	71	133	1,160	1,368	1,392	32	2,760
	3	8,237	79	84	1,920	2,064	1,056	32	3,120
	4	7,187	83	67	2,680	2,712	928	32	3,640
3	2	21,011	103	198	1,680	2,632	2,664	48	5,296
	3	15,373	117	125	2,840	3,856	1,960	48	5,816
	4	13,109	123	99	3,968	4,920	1,672	48	6,592
5	2	35,339	137	263	2,232	4,424	4,464	64	8,888
	3	25,603	155	166	3,760	6,416	3,248	64	9,664
	4	21,611	163	132	5,256	8,112	2,744	64	10,856

## BIKE

- BIKE parameters:

Security	$r$	$w$	$t$	DFR
Level 1	12,323	142	134	$2^{-128}$
Level 3	24,659	206	199	$2^{-192}$

- Private key, public key and ciphertext sizes (in bits):

Quantity	Size	Level 1	Level 3
Private key	$\ell + w \cdot \lceil \log_2(r) \rceil$	2,244	3,346
Public key	$r$	12,323	24,659
Ciphertext	$r + \ell$	12,579	24,915



## Weak keys in LEDACrypt

- Presented by Daniel Apon, Corbin McNeill, Ray Perlner and Angela Robinson at the 2019 Quantum Cryptanalysis Dagstuhl Seminar.
- Leverage the product structure of the public code parity-check matrix ( $\mathbf{H}' = \mathbf{H}\mathbf{Q}$ ).

### Rationale

Making guesses separately on  $\mathbf{H}$  and  $\mathbf{Q}$  (and projecting them onto  $\mathbf{H}'$ ) accelerates ISD with respect to making them directly on  $\mathbf{H}'$ .

- One key is weak if
  - Occurs with probability  $2^{-x}$ .
  - Requires the equivalent of  $2^y$  AES operations for ISD.
  - $x + y < \lambda$ , being  $\lambda$  the claimed security level.

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## Weak key examples

$n_0 = 2$ , cat. 5, IND-CPA

$x \approx 44$ ,  $y \approx 52$

$n_0 = 4$ , cat. 1, IND-CPA

$x \approx 40$ ,  $y \approx 50$

- This attack works well when:
  - $n_0$  is small and
  - the weights of  $\mathbf{H}$  and  $\mathbf{Q}$  are well balanced.
- Countermeasures:
  - increase  $n_0$ ,
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## Open research challenges

We still need to work on:

- Weak keys deriving from the product structure and their countermeasures
- Iterative decoders and their theoretical modeling (DFR)
- Cryptanalysis exploiting sparse and structured matrices
- QC-LDPC and QC-MDPC code-based signature schemes



## End of presentation

# Thank you!

`www.univpm.it/marco.baldi`

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