

THE DISTRIBUTION OF SOLUTIONS OF CONGRUENCES

J. H. H. CHALK and K. S. WILLIAMS

1. *Introduction.* Let  $p$  be an odd prime and denote by  $[p]$ , the finite field of residue classes, mod  $p$ . In Euclidean  $n$ -space, let  $\mathcal{L}_n$  denote the lattice of points  $\mathbf{x} = (x_1, \dots, x_n)$  with integral coordinates and  $C = C(n, p)$ , the set of points of  $\mathcal{L}_n$  satisfying

$$0 \leq x_i < p, \quad (i = 1, 2, \dots, n). \tag{1}$$

We define a box  $\mathfrak{B} = \mathfrak{B}(n, \mathbf{h}, \mathbf{v})$  as the set of points  $\mathbf{x} \in C$  for which

$$\nu_i \leq x_i < \nu_i + h_i, \quad (i = 1, 2, \dots, n) \tag{2}$$

where

$$0 \leq \nu_i < \nu_i + h_i \leq p, \quad (i = 1, 2, \dots, n). \tag{3}$$

For  $n \geq 2$ , let  $f(\mathbf{x}) = f(x_1, x_2, \dots, x_n)$  be a polynomial in the  $n$  variables  $x_1, x_2, \dots, x_n$  of degree  $d \geq 2$ , fixed independently of  $p$ , and with coefficients in  $[p]$ . If  $f(\mathbf{x})$  is not homogeneous in  $x_1, \dots, x_n$ , we introduce the associated forms,  $F$  and  $f^*$ , defined by

$$F(x_0, x_1, \dots, x_n) = x_0^d f(x_1/x_0, \dots, x_n/x_0) \tag{4}$$

and

$$f^*(x_1, \dots, x_n) = F(0, x_1, \dots, x_n). \tag{5}$$

Let  $N(\mathfrak{B}) = N(p, n, f, \mathfrak{B})$  denote the number of  $\mathbf{x} \in \mathfrak{B}$  for which

$$f(\mathbf{x}) = 0, \quad [p] \tag{6}$$

where, for convenience, we count  $\mathbf{x} = \mathbf{0}$  as a solution when  $\mathbf{0} \in \mathfrak{B}$  and  $f(\mathbf{x})$  is a form. Thus in the special case when  $\mathfrak{B} = C$ , the integer  $N(C)$  is just the number of solutions of the congruence  $f(\mathbf{x}) \equiv 0 \pmod{p}$ , while generally,  $N(\mathfrak{B})$  represents the number of solutions in certain prescribed residue classes (namely, those defined by the points of  $\mathfrak{B}$ ), of the same congruence. By using a generalization of the inequalities of Vinogradov [11] and Mordell [8] we shall obtain estimates for  $N(\mathfrak{B})$  in terms of  $N(C)$  for "general" polynomials  $f(\mathbf{x})$ , when  $p$  is large. This general inequality was established in [3] and relevant details are summarized in the following lemma:

LEMMA 1. *Let  $f(\mathbf{x})$  be a function defined over  $[p]$  and taking values in  $[p]$  and put†*

$$\mathcal{F}(\mathbf{y}) = \sum_{\mathbf{x} \in C} \sum_{t=0}^{p-1} e\{tf(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}\}, \tag{7}$$

$$\mathcal{E}(\mathfrak{B}) = \sum_{\mathbf{0} \neq \mathbf{y} \in C} \left| \sum_{\mathbf{z} \in \mathfrak{B}} e(\mathbf{y} \cdot \mathbf{z}) \right|. \tag{8}$$

† For any real  $t$ ,  $e(t)$  stands for  $\exp(2\pi itp^{-1})$ .

Suppose that there is a constant  $\Phi$ , independent of  $\mathbf{y}$ , such that

$$|\mathcal{F}(\mathbf{y})| \leq \Phi, \text{ for all non-zero } \mathbf{y} \in C. \tag{9}$$

Then

$$N(\mathfrak{B}) = h_1 \dots h_n p^{-n} N(C) + \theta p^{-n-1} \Phi \mathcal{E}(\mathfrak{B}) \tag{10}$$

for some real number  $\theta$  satisfying  $|\theta| \leq 1$ . Moreover,  $\mathcal{E}(\mathfrak{B}) \leq Cp^n \log^n p$ , for some absolute constant  $C > 0$ . (11)

For convenience in referring to the inequality (10), we shall speak of  $h_1 \dots h_n p^{-n} N(C)$  and  $p^{-n-1} \Phi \mathcal{E}(\mathfrak{B})$  as the main and error terms, respectively. Note that the only reference to  $\mathfrak{B}$  in the error term occurs in  $\mathcal{E}(\mathfrak{B})$ , since  $\Phi$  is merely a bound for the complete exponential sum  $\mathcal{F}(\mathbf{y})$ . We remark that the estimate for  $\mathcal{E}(\mathfrak{B})$  in (11) is essentially best possible in the absence of any further restriction on the box  $\mathfrak{B}$ , for it can be easily verified that  $\mathcal{E}(\mathfrak{B}) \geq kp^n \log^n p$  for some absolute constant  $k > 0$  in the special case  $\nu_i = 1$ ,  $h_i = (p-1)/2$ , ( $i = 1, 2, \dots, n$ ), when  $p$  is large enough. It is of interest, therefore, to find an estimate  $\Phi$  for  $\mathcal{F}(\mathbf{y})$  which is sufficiently good, for  $p$  large, to ensure that the main term dominates the error term when the "sides"  $h_i$  of the box  $\mathfrak{B}$  are also large but bounded by  $O(p^{1-\delta})$ , for some fixed  $\delta > 0$  depending on  $n$  (and possibly on  $d$ ). This has been done in some special cases, e.g. for quadratic and diagonal polynomials (see [3], [8] and [9]). Results can also be obtained for other special polynomials when good estimates are known for the exponential sum in (7). In the general case, however, some restriction on  $f(\mathbf{x})$  is essential, e.g. we have to exclude polynomials such as  $f(\mathbf{x}) = x_1^d$ , for then  $N(\mathfrak{B}) = 0$  whenever  $\nu_1 > 0$ . Roughly speaking, we require  $N(C)$  large and  $\Phi$  small. The crude estimate for  $\mathcal{F}(\mathbf{y})$  is  $pN(C)$ , since on taking absolute values in (7) we have

$$|\mathcal{F}(\mathbf{y})| = \left| \sum_{\mathbf{x} \in C} e(-\mathbf{x} \cdot \mathbf{y}) \sum_{t=0}^{p-1} e(tf(\mathbf{x})) \right| \leq \sum_{\mathbf{x} \in C} \left| \sum_{t=0}^{p-1} e(tf(\mathbf{x})) \right| = pN(C), \tag{12}$$

and inspection of (10) shows that virtually any improvement on this would be effective for our purpose. In Theorem 1 we find that, for forms  $f(\mathbf{x})$  which have no linear factor over  $[p]$ , there is an improvement (by a factor which is about  $p$  when  $N(C)p^{-n+1}$  is bounded below) on the estimate in (12):

**THEOREM† 1.** *Let  $f(x)$  be a form over  $[p]$ , of degree  $d \geq 2$ , which admits no linear factors over  $[p]$ . Then*

$$N(\mathfrak{B}) = h_1 \dots h_n p^{-n} N(C) + O(p^{n-2} \log^n p), \text{ as } p \rightarrow \infty. \tag{13}$$

---

† Here, and throughout the paper, the constant in the  $O$ -symbol depends only upon  $n$  and  $d$ , unless explicitly stated otherwise.

COROLLARY 1. *If*

$$f(\mathbf{x}) = \eta \prod_{i=1}^t [f_i(\mathbf{x})]^{a_i}, \quad [p], \quad (\eta \text{ a unit}) \quad (14)$$

where  $f_i(\mathbf{x})$  are the irreducible factors of  $f(\mathbf{x})$  over  $[p]$ ,  $\deg f_i \geq 2$  ( $i = 1, 2, \dots, t$ ) and  $s \geq 1$  of these are absolutely irreducible (i.e. irreducible over the algebraic closure of  $[p]$ ), then

$$N(\mathfrak{B}) = h_1 \dots h_n p^{-n} \{s p^{n-1} + O(p^{n-3/2})\} + O(p^{n-2} \log^n p), \text{ as } p \rightarrow \infty. \quad (15)$$

COROLLARY 2. *If*  $0 < \epsilon < n^{-1}$ , let  $\nu_i \geq 0$  ( $i = 1, 2, \dots, n$ ) be chosen arbitrarily subject only to the condition  $\nu_i + p^{1-n-1+\epsilon} < p$ . Then, provided (15) holds, there is an integer  $p_0 = p_0(\epsilon, n, d)$  and an  $\mathbf{x} \in C$  for which  $f(\mathbf{x}) = 0$   $[p]$  and

$$\nu_i \leq x_i < \nu_i + p^{1-n-1+\epsilon}, \quad (i = 1, 2, \dots, n) \quad (16)$$

if  $p \geq p_0$ .

Our method depends upon an interpretation of  $\mathcal{F}(\mathbf{y})$  in terms of the numbers of solutions of pairs of simultaneous equations over  $[p]$  (see Lemma 11), and appears to be useful only when  $f(\mathbf{x})$  is homogeneous and the number of such pairs reduces to one. As the properties of  $\mathcal{F}(\mathbf{y})$  are vital to the effectiveness of the general inequality (10), we include in §3 an alternative, but generally less useful, interpretation of  $\mathcal{F}(\mathbf{y})$  in terms of equations obtained from  $f(\mathbf{x}) = 0$   $[p]$  by the addition of certain linear terms (again, this works only for forms when the homogeneity can be exploited). If we regard  $\mathcal{F}(\mathbf{y})$  as a complete exponential sum over  $(n+1)$  variables  $(x_1, \dots, x_n, t)$  the estimates of Davenport and Lewis [5] (for  $d=3$ ) and Birch [2] are applicable, but the results will involve the determination of certain invariants of  $f(\mathbf{x})$  over  $[p]$ , or over the algebraic closure of  $[p]$ . In the latter case, for example, if  $K = 2^{-d+1}$  and  $s$  is defined as the dimension of the singular locus of  $f(\mathbf{x})$  (see [5]) in the  $n$ -dimensional vector space of points  $\mathbf{x}$  over the algebraic closure of  $[p]$ , then Birch's result gives

$$\mathcal{F}(\mathbf{y}) = O\{p^{n+1-K(n-s)}\}, \quad (17)$$

which is effective in (10) when  $N(C)p^{-n+1}$  is bounded below and

$$s < n - 2^{d-1}. \quad (18)$$

So far as estimates for  $N(C)$  are concerned, we use the general theorem of Lang and Weil [6] on the number of points in an algebraic variety over a finite field. As Birch and Lewis [1] have observed, this specializes to the case of forms  $f(\mathbf{x})$  over  $[p]$ , which are absolutely irreducible over  $[p]$ , to give the asymptotic formula

$$N(C) = p^{n-1} + O(p^{n-3/2}), \text{ as } p \rightarrow \infty. \quad (19)$$

Corollary 1 is an elementary deduction from this and Theorem 1 (see Lemma 8). In fact we have  $N(C) = O(p^{n-2})$ , unless the form  $f$  has at least one absolutely irreducible factor over  $[p]$ . For polynomials  $f(\mathbf{x})$  which are not homogeneous we have no direct method of attack, though the simple device of working with the form  $F(x_0, x_1, \dots, x_n)$  in place of  $f(x_1, \dots, x_n)$ , and a "flat" box  $\mathfrak{B}_0$  in  $(n+1)$ -dimensions satisfying  $x_0 = 1$  is partially successful. However, the formula (13) with  $n+1$  in place of  $n$ , applied to a form  $F(x_0, x_1, \dots, x_n)$  with  $N(C)$  about  $p^n$  is clearly ineffective, since the main term is no larger than  $p^{n-1}$ , while the error is  $p^{n-1} \log^{n+1} p$ . This raises the question of whether the error term in (13) itself can be improved. But the example with  $f(x) = (x_1^2 + x_2^2)^m$ ,  $p \equiv 3 \pmod{4}$   $\nu_i = p - h_i = 1$ , ( $i = 1, 2, \dots, n$ ) in which  $f$  has no linear factors over  $[p]$  and

$$|N(\mathfrak{B}) - h_1 \dots h_n p^{-n} N(C)| = (1 - p^{-1})^n p^{n-2} \sim p^{n-2} \text{ as } p \rightarrow \infty,$$

shows that some further condition on  $f(\mathbf{x})$  is essential for such an improvement. In Theorem 2 we impose the restriction that the form  $f(\mathbf{x})$  be non-singular† and show that this leads to an improvement of about  $p^{-1/2}$  in the error term. In addition, it is easily shown that such forms are in general absolutely irreducible (cf. Lemma 9) and consequently (19) is applicable:

**THEOREM 2.** *If  $f(\mathbf{x})$  is a non-singular form of degree  $d$  in  $n \geq 2d + 1$  variables then*

$$N(\mathfrak{B}) = h_1 \dots h_n p^{-n} N(C) + O(p^{n-5/2} \log^n p) \text{ as } p \rightarrow \infty. \quad (20)$$

**COROLLARY 1.** *If  $f(\mathbf{x})$  is a non-singular form of degree  $d$  in  $n \geq 2d + 1$  variables, then*

$$N(\mathfrak{B}) = h_1 \dots h_n p^{-n} \{p^{n-1} + O(p^{n-3/2})\} + O(p^{n-5/2} \log^n p), \quad (21)$$

as  $p \rightarrow \infty$ .

**COROLLARY 2.** *If  $0 < \epsilon < 3/2n$ , let  $\nu_i \geq 0$  ( $i = 1, 2, \dots, n$ ) be chosen arbitrarily subject only to the condition  $\nu_i + p^{1-(3/2n)+\epsilon} < p$ . Then, provided (21) holds, there is an integer  $p_0 = p_0(\epsilon, n, d)$  and an  $\mathbf{x} \in C$  for which  $f(\mathbf{x}) = 0 \pmod{p}$  and*

$$\nu_i \leq x_i < \nu_i + p^{1-(3/2n)+\epsilon}, \quad (i = 1, 2, \dots, n) \quad (22)$$

if  $p \geq p_0$ .

Use of Chevalley's theorem [4] on the existence of a non-trivial zero  $[p]$  of a system of simultaneous equations over  $[p]$  is a convenient tool in the proof of Theorem 2 and gives rise to the condition on the number  $n$  of variables. Then, with the device of the "flat box" in  $(n+1)$ -dimensions, we deduce

† i.e., for any  $\mathbf{x} \neq \mathbf{0}$  of  $C$ , the  $n$  partial derivatives of the first order do not vanish simultaneously.

**THEOREM 3.** *If  $f(\mathbf{x})$  is a polynomial in  $n$  variables  $x_1, \dots, x_n$  of degree  $d \leq n/2$  and*

$$F(x_0, x_1, \dots, x_n) = x_0^d f(x_1/x_0, \dots, x_n/x_0)$$

*is non-singular, then for  $f(\mathbf{x})$ ,*

$$N(\mathfrak{B}) = h_1 \dots h_n p^{-1} + O(p^{n-3/2} \log^{n+1} p), \text{ as } p \rightarrow \infty. \quad (23)$$

**COROLLARY.** *If  $0 < \epsilon < 1/2n$ , let  $\nu_i \geq 0$  ( $i = 1, 2, \dots, n$ ) be chosen arbitrarily only to the condition  $\nu_i + p^{1-(2n)^{-1+\epsilon}} < p$ . Then provided (23) holds, there is an integer  $p_0 = p_0(\epsilon, n, d)$  and an  $\mathbf{x} \in C$  for which  $f(\mathbf{x}) = 0 [p]$  and*

$$\nu_i \leq x_i < \nu_i + p^{1-(2n)^{-1+\epsilon}}, \quad (i = 1, 2, \dots, n) \quad (24)$$

*if  $p \geq p_0$ .*

With regard to the corollaries where the existence of a solution of  $f(\mathbf{x}) = 0 [p]$  satisfying certain asymmetric inequalities is asserted, it is natural to enquire whether methods from the geometry of numbers are applicable. For the special case when  $f(\mathbf{x})$  is homogeneous and the box  $\mathfrak{B}$  is symmetric in  $\mathbf{0}$ , Minkowski's theorem on convex bodies is useful; for if  $(\xi_1, \dots, \xi_n) \neq (0, \dots, 0) [p]$  is some solution of  $f(\mathbf{x}) = 0 [p]$ , the subset of  $\mathcal{L}_n$  defined by

$$(x_1, \dots, x_n) = h(\xi_1, \dots, \xi_n) [p], \quad h \in [p],$$

is a lattice  $\Lambda$  of determinant  $p^{n-1}$  and so there is a point  $\mathbf{x} \neq \mathbf{0}$  of  $\Lambda$  in the cube

$$|x_i| \leq p^{1-n^{-1}} \quad (i = 1, 2, \dots, n)$$

and this point will satisfy  $f(\mathbf{x}) = 0 [p]$ , by the homogeneity of  $f(\mathbf{x})$ . However, for the general case, we have no information.

2. *Estimation of  $N(C)$ .* In 1954 Lang and Weil [6] deduced (as a consequence of Weil's work on algebraic curves) an estimate for the number of points of an absolutely irreducible variety  $V$ , of algebraic dimension  $r$  and degree  $d$  in  $m$ -dimensional projective space  $P^m$  over a finite field  $k_q$  with  $q$  elements. As pointed out by Birch and Lewis [1], the following lemma is the special case of this with  $r = m - 1 = n - 2$  and  $q = p$ .

**LEMMA 2.** *If  $f(\mathbf{x})$  is an absolutely irreducible form $\dagger$  over  $[p]$  in  $n$  variables and of degree  $d$  then*

$$N(C) = p^{n-1} + O(p^{n-3/2}), \text{ as } p \rightarrow \infty. \quad (25)$$

They also deduced from Lang and Weil's paper the following two lemmas.

$\dagger$  A special case of this was communicated to one of us by Dr. G. L. Watson.

$\ddagger$  We remark that an absolutely irreducible form in  $n$  variables defines an absolutely irreducible variety in projective  $(n-1)$ -space of dimension  $r = n-2$ ; for this, and the converse, see e.g. [12; Proposition 2, p. 74].

LEMMA 3. If  $f(\mathbf{x})$  is a form which is irreducible over  $[p]$ , but not absolutely irreducible, then all the zeros of  $f(\mathbf{x})$  are singular.

LEMMA 4. If  $f(\mathbf{x})$  is a form over  $[p]$  of degree  $d$  in  $n$  variables with no squared factors over  $[p]$ , then the number  $N^*$  of singular zeros of  $f$  satisfies

$$N^* = O(p^{n-2}), \text{ as } p \rightarrow \infty. \quad (26)$$

Combining Lemmas 3 and 4, we have

LEMMA 5. If  $f(\mathbf{x})$  is a form which is irreducible over  $[p]$ , but not absolutely irreducible, then

$$N(C) = O(p^{n-2}), \text{ as } p \rightarrow \infty. \quad (27)$$

The bound for  $N(C)$  in the following lemma is well known; a proof, by induction on  $n$ , was given by S. H. Min [7] in 1947.

LEMMA 6. Let  $f(\mathbf{x})$  be a polynomial with coefficients in  $[p]$ , not identically zero. Then

$$N(C) = O(p^{n-1}), \text{ as } p \rightarrow \infty. \quad (28)$$

A similar result can be deduced for a pair of polynomials†; to do this we use the fact that if  $F_1(\mathbf{x}), \dots, F_k(\mathbf{x})$  are  $k$  polynomials over  $[p]$ , at least one of which does not vanish identically, then there exist  $k$  polynomials  $\Phi_1(\mathbf{x}), \dots, \Phi_k(\mathbf{x})$  over  $[p]$ , such that

$$F_1\Phi_1 + \dots + F_k\Phi_k = d\Omega,$$

where  $d = d(\mathbf{x})$  is the highest common factor of  $F_1, \dots, F_k$  and  $\Omega$  is a polynomial over  $[p]$  which does not vanish identically and in which the variable  $x_1$  does not appear (for a proof, see [10; p. 192, Satz 101]). Further, the degree of  $\Omega$  is bounded in terms of the degrees of  $F_1, \dots, F_k$ . Here, the special rôle played by the variable  $x_1$  could equally well be taken by any of the other variables  $x_r$  ( $2 \leq r \leq n$ ). We also note that the greatest common divisor is unique, apart from units; in particular, the greatest common divisor of  $f$  and  $g$  over  $[p]$  will be denoted by  $(f, g)_p$ . If either  $f$  or  $g$  is independent of some  $x_i$ , i.e. it is a polynomial in  $x_j$  ( $j \neq i$ ;  $j = 1, 2, \dots, n$ ), then so is  $(f, g)_p$ . Thus if, say  $f$ , is identically zero then  $(f, g)_p = g$ , apart from unit factors.

LEMMA‡ 7. If  $f(\mathbf{x})$  and  $g(\mathbf{x})$  are polynomials in  $\mathbf{x} = (x_1, \dots, x_n)$ , where  $n \geq 2$ , with coefficients in  $[p]$ , of degrees  $k_1$  and  $k_2$  respectively, such that  $(f, g)_p = 1$ , then the number of solutions of the pair of simultaneous equations

$$f(\mathbf{x}) = g(\mathbf{x}) = 0, \quad [p],$$

† We are indebted to Professor H. A. Heilbronn, for a remark which suggested a lemma of this type.

‡ By elementary deductive arguments, it may be shown that this lemma is equivalent to Lemma 4. It would be of interest to know whether our elementary version of Lemma 7 is capable of extension to three or more polynomials.

is  $O(p^{n-2})$ , where the constant implied in the  $O$ -symbol depends only on  $n$ ,  $k_1$  and  $k_2$ .

*Proof.* We first prove the result for  $n=2$ . Since

$$(f(x_1, x_2), g(x_1, x_2))_p = 1$$

we can find  $a_1(x_1, x_2)$ ,  $a_2(x_1, x_2)$ ,  $b_1(x_1, x_2)$ ,  $b_2(x_1, x_2)$ ,  $\Omega_1(x_1) \neq 0$  and  $\Omega_2(x_2) \neq 0$  such that

$$a_1 f + b_1 g = \Omega_1(x_1),$$

and

$$a_2 f + b_2 g = \Omega_2(x_2).$$

Thus  $N(f=g=0) \leq N(\Omega_1=\Omega_2=0) = O(1)$ .

We now suppose that  $n \geq 3$  and make the inductive hypothesis that the result is true for all polynomials in  $(n-1)$  variables satisfying the conditions of the lemma. We consider three cases:

*Case (i).* Suppose that for some fixed  $i$  ( $1 \leq i \leq n$ ),  $f$  and  $g$  are polynomials in  $x_j$  ( $j=1, 2, \dots, n$ ) with  $j \neq i$ . Then we can apply the inductive hypothesis to the pair  $f, g$  and obtain

$$N(f=g=0) = O(p \cdot p^{(n-1)-2}) = O(p^{n-2}),$$

since to each set  $(x_1, \dots, x_{i-1}, x_{i+1}, \dots, x_n)$  there corresponds at most  $p$  values for  $x_i$ .

*Case (ii).* We now show that it is sufficient to consider the case when at least one of  $f$  and  $g$  is a polynomial in at most  $n-1$  of  $x_1, \dots, x_n$ . For, if  $(f, g)_p = 1$ , we can find polynomials  $a_1$  and  $b_1$  and a polynomial  $\Omega = \Omega(x_2, \dots, x_n)$ , independent of  $x_1$ , satisfying

$$a_1 f + b_1 g = \Omega(x_2, \dots, x_n).$$

If  $d_1 = (g, \Omega)_p$ , then  $d_1 = d_1(x_2, \dots, x_n)$  and  $(f, d_1)_p = 1$ . Putting  $g = d_1 g_1$ ,  $\Omega = d_1 \Omega_1$ , where  $(g_1, \Omega_1)_p = 1$ , we have

$$\begin{aligned} N(f=g=0) &= N(f=g=\Omega=0) \\ &= N(f=d_1 g_1=d_1 \Omega_1=0) \\ &\leq N(g_1=\Omega_1=0: d_1 \neq 0) + N(f=d_1=0) \\ &\leq N(g_1=\Omega_1=0) + N(f=d_1=0). \end{aligned}$$

Since  $\Omega_1$  and  $d_1$  are independent of  $x_1$  and

$$(g_1, \Omega_1)_p = (f, d_1)_p = 1$$

it suffices to consider the case described.

Case (iii). Suppose now that  $g$ , say, does not contain  $x_1$ . Proceed as in Case (ii), and define  $a_1, b_1, \Omega, d_1, g_1, \Omega_1$ . If  $d_1 = 1$ , then

$$N(f=g=0) \leq N(g_1 = \Omega_1 = 0)$$

and Case (i) can be applied to give the required result. If  $d_1 \neq 1$ , we get

$$N(f=g=0) \leq N(g_1 = \Omega_1 = 0) + N(f=d_1=0)$$

just as for Case (ii). Since  $g = d_1 g_1$  is independent of  $x_1$  so is  $g_1$  and since  $(g_1, \Omega_1)_p = 1$ , Case (i) applies to  $N(g_1 = \Omega_1 = 0)$ . Also, for  $N(f=d_1=0)$ , we note that  $d_1$  is independent of  $x_1$  and  $(f, d_1)_p = 1$ . Hence the pair  $f$  and  $d_1$  satisfy the same hypotheses as the pair  $f$  and  $g$ . Moreover,  $d_1$  is a non-unit divisor of  $g$  and therefore has lower degree than that of  $g$ . Hence the process can be repeated and after a certain number of steps, bounded in terms of the degree of  $g$ , we reach the condition  $(d_r, \Omega_r)_p = 1$  when the inductive hypothesis is applicable. Thus, writing  $g = d_0$ , we have

$$\begin{aligned} N(f=g=0) &= N(f=d_0=0) \\ &\leq N(g_1 = \Omega_1 = 0) + \dots + N(g_{r-1} = \Omega_{r-1} = 0) + N(f=d_r=0), \end{aligned}$$

where  $N(g_t = \Omega_t = 0) = O(p^{n-2}), (1 \leq t \leq r-1)$

by Case (i), and

$$N(f=d_r=0) \leq N(d_r = \Omega_r = 0) = O(p^{n-2}),$$

by our induction hypothesis. Moreover, the constants implied in the  $O$ -symbols are, by our process, bounded in terms of  $n, k_1$  and  $k_2$ . This proves the lemma. We can now prove

LEMMA 8. Let  $f(\mathbf{x})$  be a form of degree  $d$  in  $n$  variables, with coefficients in  $[p]$ , which does not vanish identically. Let  $s$  denote the number of absolutely irreducible factors over  $[p]$  in the unique decomposition (apart from units and order) of  $f = f_1^{\alpha_1} \dots f_r^{\alpha_r}$ , into powers of irreducible factors. Then

$$N(C) = O(p^{n-2}), \text{ as } p \rightarrow \infty, \text{ if } s = 0 \tag{29}$$

and

$$N(C) = sp^{n-1} + O(p^{n-3/2}), \text{ as } p \rightarrow \infty, \text{ if } s \geq 1. \tag{30}$$

Proof. Since

$$\begin{aligned} N(C) &= N(f(\mathbf{x})=0) \\ &= N(f_1 \dots f_r = 0) \\ &= \sum_{1 \leq i < r} N(f_i=0) - \sum_{1 \leq i < j < r} N(f_i=f_j=0) + \dots \\ &\quad + (-1)^{r-1} N(f_1 = \dots = f_r = 0), \end{aligned}$$

we have

$$\left| N(C) - \sum_{1 \leq i < r} N(f_i=0) \right| = O \left\{ \max_{1 \leq i < j < r} N(f_i=f_j=0) \right\} = O(p^{n-2}),$$



by Lemma 7. Thus if  $f_1, \dots, f_s$ , say, are absolutely irreducible over  $[p]$ ,

$$\begin{aligned} N(C) &= \sum_{1 \leq i \leq s} \left( p^{n-1} + O(p^{n-3/2}) \right) + \sum_{s+1 \leq i \leq r} O(p^{n-2}) + O(p^{n-2}) \\ &= sp^{n-1} + s \cdot O(p^{n-3/2}) + O(p^{n-2}), \end{aligned}$$

as required.

The next two lemmas are required for the proof of Theorems 2 and 3. They tell us, roughly, that if  $f(\mathbf{x})$  is a non-singular form over  $[p]$ , then both  $f(\mathbf{x})$  and  $f(x_1, \dots, x_{n-1}, 0)$  are absolutely irreducible over  $[p]$ , if  $n$  is large enough.

**LEMMA 9.** *If  $f(\mathbf{x})$  is a non-singular form over  $[p]$  of degree  $d$  in  $n \geq d+1$  variables, then  $f(\mathbf{x})$  is absolutely irreducible over  $[p]$ .*

*Proof.* Suppose, if possible, that the conclusion is false for some such  $f$ . Then there are two possibilities; case (a),  $f$  is irreducible but not absolutely irreducible over  $[p]$ , case (b),  $f$  is reducible over  $[p]$ .

*Case (a).* Since  $n \geq d+1$ , Chevalley's theorem [4] implies the existence of at least one non-zero solution  $\mathbf{x}$  of  $f(\mathbf{x})=0$   $[p]$ . By Lemma 3, this is a singular zero of  $f$ ; a contradiction.

*Case (b).* Suppose  $f=gh$ , where  $\deg g=d_1$ ,  $\deg h=d_2$  and  $d_1+d_2=d$ . As  $n \geq d+1$ , (i.e.  $n > d_1+d_2$ ) Chevalley's theorem tells us that there is a non-zero solution of  $g=h=0$ . But for such a solution we have

$$\frac{\partial f}{\partial x_i} = g \frac{\partial h}{\partial x_i} + h \frac{\partial g}{\partial x_i} = 0, \quad (i=1, 2, \dots, n),$$

whence it is a singular zero of  $f$ ; a contradiction.

*Remark.* The following example shows that the converse is false, i.e. there exist absolutely irreducible forms of degree  $d$  in  $n \geq d+1$  variables which are singular over  $[p]$ . Take

$$f(\mathbf{x}) = x_1 x_2^{d-1} - x_n^d, \quad (31)$$

where  $n \geq d+1 > 3$ ; then  $f$  is absolutely irreducible over  $[p]$  (see [1; Lemma 3]), but has a singular zero  $(1, 0, \dots, 0)$ .

**LEMMA 10.** *Let  $f(\mathbf{x})$  be a non-singular form over  $[p]$  in  $n \geq 2d+1$  variables. Then  $f(x_1, \dots, x_{n-1}, 0)$  is absolutely irreducible over  $[p]$ .*

*Proof.* Put

$$f(x_1, \dots, x_n) = a_d x_n^d + a_{d-1} x_n^{d-1} + \dots + a_1 x_n + a_0,$$

where

$$a_i = a_i(x_1, \dots, x_{n-1}), \quad i=0, 1, 2, \dots, d,$$

is a form of degree  $d-i$ , which possibly vanishes identically, and  $a_0=f(x_1, \dots, x_{n-1}, 0)$ . By Lemma 9,  $f(\mathbf{x})$  is absolutely irreducible over  $[p]$  since  $n \geq 2d+1 \geq d+1$ . Hence it is irreducible over  $[p]$  and  $a_0$  cannot vanish identically. Now suppose  $a_0$  is not absolutely irreducible over  $[p]$ . Then there are two possibilities; case (a),  $a_0$  is irreducible over  $[p]$  but is not absolutely irreducible over  $[p]$ , case (b),  $a_0$  is reducible over  $[p]$ .

Case (a). By Chevalley's Theorem [4], there is a non-zero solution  $(x_1^*, \dots, x_{n-1}^*)$  satisfying  $a_0=a_1=0$ , since  $n-1 > d+(d-1)$ , i.e.  $n \geq 2d+1$ . By Lemma 3, such a solution is a singular zero of  $a_0$ . Hence the partial derivatives  $\frac{\partial a_0}{\partial x_i}$  ( $i=1, 2, \dots, n-1$ ) vanish at  $(x_1, \dots, x_{n-1})=(x_1^*, \dots, x_{n-1}^*)$ . Put  $\mathbf{x}^*=(x_1^*, \dots, x_{n-1}^*, 0) \neq 0$ . Since

$$\frac{\partial f}{\partial x_i} = \frac{\partial a_d}{\partial x_i} x_n^d + \dots + \frac{\partial a_1}{\partial x_i} x_n + \frac{\partial a_0}{\partial x_i}, \quad (i=1, 2, \dots, n-1)$$

the derivatives  $\frac{\partial f}{\partial x_i}$  ( $i=1, 2, \dots, n-1$ ) vanish at  $\mathbf{x}=\mathbf{x}^*$ , and since

$$\frac{\partial f}{\partial x_n} = a_d dx_n^{d-1} + \dots + a_2 2x_n + a_1,$$

$\frac{\partial f}{\partial x_n}$  vanishes when  $\mathbf{x}=\mathbf{x}^*$ . Hence  $\mathbf{x}^*$  is a singular zero of  $f$ , contradicting the hypothesis that  $f$  is non-singular over  $[p]$ .

Case (b). Suppose  $a_0=hk$   $[p]$ , where  $\deg h=d_1$ ,  $\deg k=d_2$  and  $d_1+d_2=d$ . By Chevalley's Theorem [4], there is a solution

$$(x_1^*, \dots, x_{n-1}^*) \neq (0, \dots, 0)$$

satisfying  $h=k=a_1=0$  over  $[p]$ , since  $n-1 > d_1+d_2+(d-1)$ , i.e.  $n \geq 2d+1$ . Then the argument of Case (a) is applicable and we can show, similarly, that  $(x_1^*, \dots, x_{n-1}^*, 0)$  is a singular zero of  $f$ , contradicting our hypothesis for  $f$ . Hence  $a_0=f(x_1, \dots, x_{n-1}, 0)$  is absolutely irreducible over  $[p]$ .

### 3. Estimation of $\mathcal{F}(\mathbf{y})$ .

Definition. Let  $a(u, \mathbf{y})=a(u, \mathbf{y}, p, f, C)$  denote the number of solutions  $\mathbf{x} \in C$  of the pair of simultaneous equations

$$f(\mathbf{x})=\mathbf{x} \cdot \mathbf{y} - u = 0 \quad [p]. \tag{32}$$

Firstly, we express  $\mathcal{F}(\mathbf{y})$ , as defined in (7), in terms of  $a(u, \mathbf{y})$  in

LEMMA 11. 
$$\mathcal{F}(\mathbf{y})=p \sum_{u=0}^{p-1} e(-u) a(u, \mathbf{y}). \tag{33}$$

*Proof.* From (7) we have

$$\begin{aligned}
 \mathcal{F}(y) &= \sum_{\mathbf{x} \in C} \sum_{l=0}^{p-1} e(tf(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}) \\
 &= \sum_{\mathbf{x} \in C} e(-\mathbf{x} \cdot \mathbf{y}) \sum_{l=0}^{p-1} e(tf(\mathbf{x})) \\
 &= \sum_{u=0}^{p-1} \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = u}} e(-\mathbf{x} \cdot \mathbf{y}) \sum_{l=0}^{p-1} e(tf(\mathbf{x})) \\
 &= \sum_{u=0}^{p-1} \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = u}} e(-u) \sum_{l=0}^{p-1} e(tf(\mathbf{x})) \\
 &= \sum_{u=0}^{p-1} e(-u) \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = u}} \sum_{l=0}^{p-1} e(tf(\mathbf{x})).
 \end{aligned}$$

From the definition of  $a(u, y)$  we have

$$a(u, y) = \frac{1}{p} \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = u}} \sum_{l=0}^{p-1} e(tf(\mathbf{x})) \quad (34)$$

and the lemma follows.

Next, we note the following two properties of  $a(u, y)$  which lead to the interpretation of  $\mathcal{F}(y)$  in Lemma 15.

**LEMMA 12.**

$$\sum_{u=0}^{p-1} a(u, y) = N(C). \quad (35)$$

*Proof.* Trivial.

**LEMMA 13.** *If  $u \neq 0 [p]$ , then  $a(u, y) = a(1, y)$ .* (36)

*Proof.* As  $u \neq 0 [p]$ ,  $u^{-1}$  is uniquely defined by  $uu^{-1} = 1$ . Then the substitution  $\mathbf{x} = u\mathbf{z}$  maps  $C$  onto itself. Hence

$$\begin{aligned}
 a(u, y) &= \frac{1}{p} \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = 1}} \sum_{l=0}^{p-1} e(tf(u\mathbf{z})) \\
 &= \frac{1}{p} \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = 1}} \sum_{l=0}^{p-1} e(tu^d f(\mathbf{z})),
 \end{aligned}$$

since  $f$  is homogeneous of degree  $d$ . As  $u \neq 0 [p]$ , the substitution  $v = tu^d$  permutes  $[p]$ . Thus

$$a(u, y) = \frac{1}{p} \sum_{\substack{\mathbf{x} \in C \\ \mathbf{x} \cdot \mathbf{y} = 1}} \sum_{v=0}^{p-1} e(vf(\mathbf{z})) = a(1, y).$$

**LEMMA 14.** *If  $u \neq 0 [p]$ , then*

$$a(u, y) = (p-1)^{-1} \{N(C) - a(0, y)\}. \quad (37)$$

*Proof.* By Lemmas 12 and 13,

$$a(0, \mathbf{y}) + (p-1)a(u, \mathbf{y}) = N(C),$$

since  $u \not\equiv 0 \pmod{p}$ .

LEMMA 15.

$$\mathcal{F}(\mathbf{y}) = \frac{p}{p-1} \{pa(0, \mathbf{y}) - N(C)\}. \quad (38)$$

*Proof.* By Lemma 11,

$$\begin{aligned} \mathcal{F}(\mathbf{y}) &= p \sum_{u=0}^{p-1} e(-u)a(u, \mathbf{y}) \\ &= p \left\{ a(0, \mathbf{y}) + \sum_{u=1}^{p-1} e(-u)a(u, \mathbf{y}) \right\}, \\ &= p \left\{ a(0, \mathbf{y}) + \sum_{u=1}^{p-1} e(-u) \left[ \frac{N(C) - a(0, \mathbf{y})}{p-1} \right] \right\}, \\ &= p \left\{ a(0, \mathbf{y}) - \frac{N(C) - a(0, \mathbf{y})}{p-1} \right\}, \\ &= \frac{p}{p-1} \{pa(0, \mathbf{y}) - N(C)\}, \end{aligned}$$

on using Lemma 14.

With this interpretation of  $\mathcal{F}(\mathbf{y})$  the estimates available for  $a(0, \mathbf{y})$  in Lemma 7 and for  $N(C)$  in Lemma 8 are sufficient for our proof of Theorem 1. For Theorems 2, 3 we shall need a more precise estimate for  $a(0, \mathbf{y})$ :

LEMMA 16. *If  $f(\mathbf{x})$  is a form of degree  $d$ , which is non-singular over  $[p]$  and in  $n \geq 2d + 1$  variables then*

$$a(0, \mathbf{y}) = p^{n-2} + O(p^{n-5/2}) \quad (39)$$

*uniformly in  $0 \neq \mathbf{y} \in C$ .*

*Proof.* By definition  $a(0, \mathbf{y})$  is the number of  $\mathbf{x} \in C$  satisfying the pair of equations

$$f(\mathbf{x}) = \mathbf{x} \cdot \mathbf{y} = 0, \quad [p].$$

Since  $\mathbf{y} \neq 0 \pmod{p}$ , we can transform  $\mathbf{x}$  into  $\mathbf{x}'$  by a non-singular, homogeneous, linear transformation so that the above pair becomes

$$f_1(\mathbf{x}') = x'_n = 0, \quad [p].$$

This does not affect  $a(0, \mathbf{y})$  nor the non-singularity of  $f$ , but the coefficients of  $f_1$  will now depend on the  $y_i$ 's. Thus  $a(0, \mathbf{y})$  is just the number of solutions  $(x'_1, \dots, x'_{n-1}, 0)$  of

$$f_1(x'_1, \dots, x'_{n-1}, 0) = 0.$$

By Lemma 10,  $f_1(x'_1, \dots, x'_{n-1}, 0)$  is absolutely irreducible over  $[p]$  and so, by Lemma 2, we have

$$a(0, \mathbf{y}) = p^{n-2} + O(p^{n-5/2}), \text{ as } p \rightarrow \infty$$

uniformly in  $0 \neq \mathbf{y} \in C$ .

We give here an alternative interpretation of  $\mathcal{F}(\mathbf{y})$  which is useful in special cases but not, however, effective for our general problem.

LEMMA 17. Let  $f(\mathbf{x})$  be a form in  $x_1, \dots, x_n$  of degree  $d \geq 2$ , with coefficients in  $[p]$ . Let  $k_{p-1}$  be the multiplicative group of  $[p]$  and  $k_m$  the subgroup of  $k_{p-1}$  consisting of the  $(d-1)$ -th powers, where the order of  $k_m$  is  $m = \frac{p-1}{l}$ ,  $l = (d-1, p-1)$ . Let  $n_1, n_2, \dots, n_l$  be elements of  $k_{p-1}$ , one from each coset of  $k_m$  relative to  $k_{p-1}$ . Then

$$\mathcal{F}(\mathbf{y}) = \frac{p}{l} \sum_{i=1}^l N(C, f(\mathbf{x}) - n_i \mathbf{x} \cdot \mathbf{y}) - p^n. \quad (40)$$

*Proof.* If the elements of  $k_m$  are denoted by  $r_1, r_2, \dots, r_m$ , the cosets  $\mathcal{C}_i$  can be represented by  $\{n_i^{-1}r_1, \dots, n_i^{-1}r_m\}$ ,  $(i=1, 2, \dots, l)$ . Then, for  $\mathbf{y} \neq 0$   $[p]$ ,

$$\begin{aligned} \mathcal{F}(\mathbf{y}) &= \sum_{i=1}^{p-1} \sum_{\mathbf{x} \in C} e\{t f(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}\} \\ &= \sum_{i=1}^l \sum_{t \in \mathcal{C}_i} \sum_{\mathbf{x} \in C} e\{t f(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}\} \\ &= \sum_{i=1}^l \sum_{\mathbf{x} \in C} \sum_{j=1}^m e\{n_i^{-1}r_j f(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}\} \\ &= \frac{1}{l} \sum_{i=1}^l \sum_{\mathbf{x} \in C} \sum_{u=1}^{p-1} e\{n_i^{-1}u^{d-1}f(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}\}, \end{aligned}$$

since  $u^{d-1} = r_j$ ,  $[p]$  has exactly  $l$  solutions  $u$ , for each  $j=1, 2, \dots, m$ . Put  $\mathbf{x} = u^{-1}\mathbf{z}$   $[p]$ , so that  $C$  is mapped onto itself over  $[p]$  and note that

$$f(u^{-1}\mathbf{z}) = u^{-d}f(\mathbf{z}).$$

Then

$$\begin{aligned} \mathcal{F}(\mathbf{y}) &= \frac{1}{l} \sum_{i=1}^l \sum_{\mathbf{z} \in C} \sum_{u=1}^{p-1} e\{u^{-1}[n_i^{-1}f(\mathbf{z}) - \mathbf{z} \cdot \mathbf{y}]\} \\ &= \frac{1}{l} \sum_{i=1}^l \sum_{\mathbf{z} \in C} \sum_{u=1}^{p-1} e\{u[n_i^{-1}f(\mathbf{z}) - \mathbf{z} \cdot \mathbf{y}]\}, \\ &= \frac{1}{l} \sum_{i=1}^l \sum_{\mathbf{z} \in C} \left[ \sum_{u=0}^{p-1} e\{u[n_i^{-1}f(\mathbf{z}) - \mathbf{z} \cdot \mathbf{y}]\} - 1 \right], \\ &= \frac{p}{l} \sum_{i=1}^l N(C, n_i^{-1}f(\mathbf{x}) - \mathbf{x} \cdot \mathbf{y}) - p^n, \end{aligned}$$

as required.

4. *Proof of Theorem 1.* By Lemmas 6 and 7,

$$N(C) = O(p^{n-1})$$

$$a(0, \mathbf{y}) = O(p^{n-2}), \text{ uniformly in } \mathbf{y}.$$

Hence, by Lemma 15,

$$\mathcal{F}(\mathbf{y}) = O(p^{n-1}),$$

so we may take  $\Phi = O(p^{n-1})$  in Lemma 1, obtaining the result.

*Proof of Corollary 1.* This is immediate on substituting the estimate for  $N(C)$  given by Lemma 8 in the theorem.

*Proof of Corollary 2.* For arbitrary  $\epsilon$  satisfying  $0 < \epsilon < n^{-1}$ , take  $h_i = [p^{1-n-1+\epsilon}]$  and  $\nu_i \geq 0$  (subject to  $\nu_i + p^{1-n-1+\epsilon} < p$ ) in Corollary 1; then

$$h_1 \dots h_n p^{-n} \{sp^{n-1} + O(p^{n-3/2})\} = O(p^{n-2+n\epsilon})$$

exceeds the error term  $O(p^{n-2} \log^n p)$  for  $p \geq p_0 = p_0(\epsilon, n, d)$ , so  $N(\mathfrak{B}) > 0$  and the result follows.

*Proof of Theorem 2.* By Lemma 9,  $f(\mathbf{x})$  is absolutely irreducible over  $[p]$  since  $n \geq 2d + 1 \geq d + 1$ . Thus, by Lemma 2,  $N(C) = p^{n-1} + O(p^{n-3/2})$ . Also, by Lemma 16,  $a(0, \mathbf{y}) = p^{n-2} + O(p^{n-5/2})$  and so from Lemma 15 we have  $\mathcal{F}(\mathbf{y}) = O(p^{n-3/2})$  for  $\mathbf{y} \neq \mathbf{0}$ . The theorem then follows from Lemma 1 with  $\Phi = O(p^{n-3/2})$ .

*Proof of Corollary 1.* This is immediate on substituting the estimate for  $N(C)$  from Lemma 8.

*Proof of Theorem 3.* Applying Theorem 2, with  $n$  replaced by  $n + 1$ , to the box  $\mathfrak{B}_0$  and the form  $F$ , we have

$$N(\mathfrak{B}_0, F) = h_1 \dots h_n p^{-n-1} N(C_{n+1}, F) + O(p^{n-3/2} \log^{n+1} p),$$

as  $p \rightarrow \infty$ . By Lemma 9,  $F$  is absolutely irreducible over  $[p]$  and so, by Lemma 2,

$$N(C_{n+1}, F) = p^n + O(p^{n-1/2}).$$

Since  $N(\mathfrak{B}_0, F) = N(\mathfrak{B}, f)$ , the result follows.

The proofs of the corollaries to Theorems 2 and 3 are straightforward and follow the lines of that for Corollary 2 of Theorem 1.

5. *Extension to Galois Fields.* Let  $k_q$  denote the finite field of  $q = p^m$  elements and write  $k_p$  for  $[p]$ . Select any fixed basis  $\alpha_1, \dots, \alpha_m$  for  $k_q$ ; then any  $\alpha \in k_q$  may be expressed as

$$\alpha = c_1 \alpha_1 + \dots + c_m \alpha_m$$

with  $c_i \in k_p$  ( $i = 1, 2, \dots, m$ ). Denote the trace of  $\alpha$  from  $k_q$  to  $k_p$  by  $t(\alpha)$ , so that

$$t(\alpha) = \alpha + \alpha^p + \alpha^{p^2} + \dots + \alpha^{p^{m-1}} \in k_p$$

and  $t(\alpha + \beta) = t(\alpha) + t(\beta)$ , for all  $\alpha$  and  $\beta$  in  $k_q$ .

If we put

$$e(\alpha) = \exp \{2\pi i p^{-1} t(\alpha)\},$$

then it is easy to verify that the orthogonal property

$$\sum_{\alpha \in k_q} e(\lambda \alpha) = \begin{cases} q, & \text{if } \lambda = 0 \\ 0, & \text{otherwise,} \end{cases}$$

for the case  $m = 1$ , is preserved. We can now extend the definition of the box  $\mathfrak{B}$  to the vector space  $V$  of points  $\mathbf{x} = (x_1, x_2, \dots, x_n)$  over  $k_q$ , relative to our chosen basis, by

$$\mathfrak{B} = \{\mathbf{x} \mid \mathbf{x} \in V, x_i = c_{i1} \alpha_1 + \dots + c_{im} \alpha_m, 0 \leq \nu_{ij} \leq c_{ij} < \nu_{ij} + h_{ij} \leq p_j\},$$

where  $1 \leq i \leq n, 1 \leq j \leq m$ . It is now a routine matter to check that Lemma 1 goes through as it stands, but with  $p$  replaced by  $q$  and  $[p]$  replaced by  $k_q$ , apart from the estimate for  $\mathcal{E}(\mathfrak{B})$  in (11). With  $\mathcal{E}(\mathfrak{B})$  defined as

$$\sum_{\mathbf{0} \neq \mathbf{y} \in V} \left| \sum_{\mathbf{z} \in \mathfrak{B}} e(\mathbf{y} \cdot \mathbf{z}) \right|,$$

we shall repair this deficiency in Lemma 18. We note also that the estimates in §2 (see Lemmas 6, 7 and 8) which are used in the proof of Theorem 1 and its first corollary are readily extended to  $k_q$ . Then Theorem 1, for example, has the following generalization:

**THEOREM 1'.** *Let  $f(\mathbf{x})$  denote a form over  $k_q$ , of degree  $d \geq 2$ , which admits no linear factors over  $k_q$ . If  $N(V)$  denotes the number of zeros of  $f(\mathbf{x})$  in  $V$ , then*

$$N(\mathfrak{B}) = \left( \prod_{i,j=1}^{n,m} h_{ij} \right) q^{-n} N(V) + O(q^{n-2} \log^{mn} p), \tag{41}$$

where the constant in the  $O$ -symbol depends at most on  $m$  and  $n$ .

Counterparts for the other theorems about  $N(\mathfrak{B})$  may also be given, since the only new idea required is that in Lemma 18; the proof of which follows:

**LEMMA 18.** *There is an absolute constant  $p_0$  such that*

$$\sum_{\mathbf{y} \in V} \left| \sum_{\mathbf{z} \in \mathfrak{B}} e(\mathbf{y} \cdot \mathbf{z}) \right| < q^n (\log p)^{mn}, \tag{42}$$

for all  $p \geq p_0, m \geq 1, n \geq 1$ .

*Proof.* Since the given sum splits into a product of  $n$  sums of the type

$$\sum_{v_i \in k_i} \left| \sum_{z_i \in \mathfrak{B}_i} e(y_i z_i) \right|,$$

where

$$\mathfrak{B}_i = \{z_i \mid z_i \in k_q, z_i = c_{i1} \alpha_1 + \dots + c_{im} \alpha_m, v_{ij} \leq c_{ij} < v_{ij} + h_{ij}\},$$

$1 \leq j \leq m$ , it is sufficient to prove that this is less than  $(p \log p)^m$ , under the conditions stated. Dropping the subscripts  $i$  and writing

$$y = b_1 \alpha_1 + \dots + b_m \alpha_m$$

$$z = c_1 \alpha_1 + \dots + c_m \alpha_m$$

$$v_{ij} = v_j, \quad h_{ij} = h_j$$

for convenience, this sum has the shape

$$\sum_{b_1=0}^{p-1} \dots \sum_{b_m=0}^{p-1} \left| \sum_{c_1=v_1}^{v_1+h_1-1} \dots \sum_{c_m=v_m}^{v_m+h_m-1} e\{(b_1 \alpha_1 + \dots + b_m \alpha_m)(c_1 \alpha_1 + \dots + c_m \alpha_m)\} \right|. \quad (43)$$

Now, the inner sums over  $c_1, \dots, c_m$  can be expressed as

$$\prod_{k=1}^m \sum_{c_k=v_k}^{v_k+h_k-1} \exp \{2\pi i p^{-1} \eta_k c_k\},$$

where

$$\eta_k = \sum_{j=1}^m b_j t(\alpha_j \alpha_k).$$

The  $m \times m$  matrix

$$T = \{t(\alpha_j \alpha_k)\}$$

has determinant

$$[\det (\alpha_j p^{k-1})]^2,$$

and, as is well known [see, e.g., L. E. Dickson, *Linear Groups* (Dover, 1958), p. 52], this cannot vanish when  $\alpha_1, \dots, \alpha_m$  are linearly independent over  $k_p$ . Hence  $\det T \not\equiv 0 \pmod{p}$  and so the  $m$ -dimensional vector space  $V_m$  of points  $\mathbf{b} = (b_1, \dots, b_m)$  is mapped onto itself by  $T$ . Thus  $\sum_{\mathbf{b} \in V_m}$  can be replaced by  $\sum_{\boldsymbol{\eta} \in V_m}$ , where  $\boldsymbol{\eta} = T\mathbf{b}$ , and our sum (43) becomes

$$\prod_{k=1}^m \sum_{\eta_k=0}^{p-1} \left| \sum_{c_k=v_k}^{v_k+h_k-1} \exp \{2\pi i p^{-1} \eta_k c_k\} \right|.$$

Each of the  $m$  sums in this product is less than  $p \log p$  (see, e.g., [11; Ch. III, 11c]) for  $p \geq 60$  and so (42) holds with  $p_0 = 60$ .

### References

1. B. J. Birch and D. J. Lewis, "p-adic forms", *J. Indian Math. Soc.*, 23 (1959), 11-32.
2. B. J. Birch, "Forms in many variables", *Proc. Roy. Soc. (A)*, 265 (1962), 245-263. (See [5] p. 652.)
3. J. H. H. Chalk, "The number of solutions of congruences in incomplete residue systems", *Canadian J. of Math.*, 15 (1963), 291-296.



4. C. Chevalley, "Démonstration d'une hypothèse de M. Artin", *Abh. Math. Sem. Hamburg.*, 11 (1935), 73-75.
5. H. Davenport and D. J. Lewis, "Exponential sums in many variables", *American J. of Math.*, 84 (1962), 649-665.
6. S. Lang and A. Weil, "Number of points of varieties in finite fields", *American J. of Math.*, 76 (1954), 819-827.
7. S. H. Min, "On systems of algebraic equations and certain multiple exponential sums", *Quart. J. of Math.*, (Oxford), 18 (1947), 133-142.
8. L. J. Mordell, "On the number of solutions in incomplete residue sets of quadratic congruences", *Archiv der Math.*, 8 (1957), 153-157.
9. ———, "Incomplete exponential sums and incomplete residue systems for congruences", *Чехословацкий Математический Журнал (Czech. Math. J.)*, 14 (1964), 235-242.
10. O. Perron, *Algebra I* (2nd. ed. Berlin 1932).
11. I. M. Vinogradov, *Elements of number theory*, (Dover 1954), Chap. V, problem 12a, p. 102.
12. A. Weil, *Foundations of algebraic geometry* (New York), Amer. Math. Soc. Colloq. Pub., 29 (1946).

Department of Mathematics,  
University of Toronto,  
Toronto, Canada.

(Received on the 25th of January, 1965.)