

# Representation of Primes in Arithmetic Progression by Binary Quadratic Forms

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## 1. INTRODUCTION

We denote the strict class group of primitive integral binary quadratic forms of fixed nonsquare discriminant  $D$  under composition by  $H(D)$ . A genus  $G$  of  $H(D)$  is a subset which consists of all the classes giving the same values to the generic characters of  $H(D)$ . Composition induces a group structure on the set of genera of  $H(D)$ . The reader will find the basic properties of the genera of binary quadratic forms in [1, Chap. 4].

Let  $A$  and  $B$  be coprime integers. We are interested in the representability of the odd primes of an arithmetic progression  $\{An + B : n \in \mathbb{Z}\}$  by the genera and classes of  $H(D)$ . We prove

**THEOREM 1.** *Let  $A$  and  $B$  be coprime integers. Suppose that  $G$  is a genus of classes in  $H(D)$  having the property*

$$\text{Each prime } p \equiv B \pmod{A} \text{ with } p \nmid 2D \text{ is represented by a class from the genus } G. \quad (1.1)$$

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Let  $k$  be an integer coprime with  $2D$  which is represented by a class from the genus  $G'$  of  $H(D)$ . Then each prime  $q \equiv kB \pmod{A}$  is represented by a class from the genus  $GG'$ .

**THEOREM 2.** *Let  $A$  and  $B$  be coprime integers. Suppose that  $C$  is a class of  $H(D)$  having the property*

$$\text{Each prime } p \equiv B \pmod{A} \text{ with } p \nmid 2D \text{ is} \\ \text{represented by the class } C. \quad (1.2)$$

*Then each genus of  $H(D)$  contains exactly one class if  $C = C^{-1}$  and exactly two classes if  $C \neq C^{-1}$ . Moreover*

$$H(D) \approx \begin{cases} \mathbb{Z}_2 \times \mathbb{Z}_2 \times \cdots \times \mathbb{Z}_2, & \text{if } C = C^{-1}, \\ \mathbb{Z}_4 \times \mathbb{Z}_2 \times \cdots \times \mathbb{Z}_2, & \text{if } C \neq C^{-1}. \end{cases} \quad (1.3)$$

*Further, if  $k$  is an integer coprime with  $2D$  which is represented by the class  $K$  of  $H(D)$ , then each prime  $q \equiv kB \pmod{A}$  is represented by*

$$\begin{aligned} &\text{the class } CK, && \text{if } C = C^{-1}, \\ &\text{both the classes } CK \text{ and } C^{-1}K, && \text{if } C \neq C^{-1}, K = K^{-1}, \\ &\text{exactly one of the classes } CK \text{ and } C^{-1}K, && \text{if } C \neq C^{-1}, K \neq K^{-1}. \end{aligned}$$

The theorem of Kusaba [3, Théorème], proved using class field theory, is essentially the first part of Theorem 2 when  $D$  is a fundamental discriminant.

An immediate corollary of Theorem 2 is the following generalization of the theorem of Ramanujan first proved by Williams in [5], and its generalization proved by Halter-Koch in [2] using class field theory.

**COROLLARY.** *Let  $C$  be a class of  $H(D)$  having the property (1.2). Let  $k$  be an integer coprime with  $2D$  which is represented by an ambiguous ( $\equiv$  self-inverse) class of  $H(D)$ . Then each prime  $q \equiv kB \pmod{A}$  is represented by the class  $CK$ .*

In the proofs of Theorem 1 and Theorem 2, we suppose that  $A$  is even and  $B$  is odd. There is no loss of generality in doing this as, for odd primes  $p$ , we have

$$p \equiv B \pmod{A} \Leftrightarrow \begin{cases} p \equiv B \pmod{2A}, & \text{if } A \text{ odd, } B \text{ odd,} \\ p \equiv A + B \pmod{2A}, & \text{if } A \text{ odd, } B \text{ even.} \end{cases}$$

We conclude this introduction with a simple example.

**EXAMPLE.** The form class group  $H(-768)$  comprises 8 classes

$$I, A, A^2, A^3, B, AB, A^2B, A^3B,$$

TABLE I

Genera	Generic characters		
	$\left(\frac{k}{3}\right)$	$\left(\frac{-1}{k}\right)$	$\left(\frac{2}{k}\right)$
$I, A^2$	+	+	+
$A, A^3$	+	+	-
$B, A^2B$	+	-	-
$AB, A^3B$	+	-	+

which are the classes of the forms

$$X^2 + 192Y^2, 13X^2 + 8XY + 16Y^2, 4X^2 + 4XY + 49Y^2, 13X^2 - 8XY + 16Y^2, 12X^2 + 12XY + 19Y^2, 7X^2 - 4XY + 28Y^2, 3X^2 + 64Y^2, 7X^2 + 4XY + 28Y^2,$$

respectively. These classes fall into 4 genera as shown in Table I.

Each prime  $p \equiv 13 \pmod{24}$  satisfies  $(p/3) = (-1/p) = 1$ ,  $(2/p) = -1$  so that  $p$  is represented by the class  $A$ . The integer 31 is represented by the class  $AB$ , so that by Theorem 2 each prime  $p \equiv (13)(31) \equiv 19 \pmod{24}$  is represented by exactly one of the classes  $A(AB) = A^2B$  and  $A^{-1}(AB) = B$ . Table II indicates which of  $A^2B$  and  $B$  represents  $p$  for  $p$  (prime)  $\equiv 19 \pmod{24}$ ,  $p < 1000$ .

Numerical data suggest that for primes  $p \equiv 19 \pmod{24}$  we have

$$\begin{aligned} p &= 3x^2 + 64y^2, & \text{if } V_{(p+1)/4} &\equiv 2 \pmod{p}, \\ p &= 12x^2 + 12xy + 19y^2, & \text{if } V_{(p+1)/4} &\equiv -2 \pmod{p}, \end{aligned}$$

TABLE II

$p \equiv 19 \pmod{24}$	$A^2B$		$B$	
	$p = 3x^2 + 64y^2$	$p \equiv 19 \pmod{24}$	$p = 12x^2 + 12xy + 19y^2$	$p \equiv 19 \pmod{24}$
67	1,1	19	0,1	
139	5,1	43	1,1	
211	7,1	163	3,1	
283	3,2	379	5,1	
307	9,1	523	6,1	
331	5,2	547	1,5	
499	9,2	643	2,5	
571	13,1	691	7,1	
619	11,2	787	-3,7	
739	15,1	811	-2,7	
		859	-1,7	
		883	8,1	
		907	4,5	

where

$$\begin{aligned} V_{n+2} &= -4V_{n+1} - V_n \quad (n=0, 1, 2, \dots) \\ V_0 &= 2, \quad V_1 = -4. \end{aligned}$$

In addition to the basic theory of binary quadratic forms, our proof of Theorem 1 uses Dirichlet's theorem on primes in an arithmetic progression, and our proof of Theorem 2 uses Meyer's theorem [4] which asserts that a primitive integral binary quadratic form represents infinitely many primes in any arithmetic progression consistent with the generic characters of the form.

## 2. A PROPERTY OF LEGENDRE SYMBOLS

The following lemma is a consequence of Dirichlet's theorem on primes in an arithmetic progression and will be used in the proof of Theorem 1.

**LEMMA.** *Let  $\varepsilon = \pm 1$  and let  $A$  and  $B$  be coprime integers. Let  $S$  be a given finite set of primes.*

(i) *Let  $r$  be an odd prime. If the Legendre symbol  $((An + B)/r)$  has the value  $\varepsilon$  for all  $n \in \mathbb{Z}$  for which  $An + B$  is a prime  $\neq r$  and not belonging to  $S$  then  $r \mid A$ .*

(ii) *Suppose  $A$  is even and  $B$  is odd. If  $(-1/(An + B)) = \varepsilon$  (resp.  $(2/(An + B)) = \varepsilon$ ,  $(-2/(An + B)) = \varepsilon$ ) for all  $n \in \mathbb{Z}$  for which  $An + B$  is an odd prime not belonging to  $S$  then  $4 \mid A$  (resp.  $8 \mid A$ ,  $8 \mid A$ ).*

*Proof.* (i) Suppose  $r \nmid A$ . Let  $k$  be an integer such that  $(k/r) = -\varepsilon$ . Then by Dirichlet's theorem there is a prime  $q \notin S$  such that

$$\begin{aligned} q &\equiv B \pmod{A}, \\ q &\equiv k \pmod{r}. \end{aligned}$$

Then we have

$$\begin{aligned} \varepsilon &= \left(\frac{q}{r}\right) \quad (\text{as } q \equiv B \pmod{A}) \\ &= \left(\frac{k}{r}\right) \quad (\text{as } q \equiv k \pmod{r}) \\ &= -\varepsilon \quad (\text{choice of } k), \end{aligned}$$

which is a contradiction, and so  $r$  divides  $A$ .

(ii) We just treat the case  $(2/(An + B)) = \varepsilon$  as the other two cases are similar. Suppose  $8 \nmid A$ . As  $A$  is even we have (a)  $A \equiv 2 \pmod{4}$  or (b)  $A \equiv 4 \pmod{8}$ .

(a) By Dirichlet's theorem there is a prime  $q \notin S$  such that

$$q \equiv B \pmod{A/2},$$

$$\left(\frac{2}{q}\right) = -\varepsilon.$$

As  $q$ ,  $A/2$ , and  $B$  are odd, we see that  $q \equiv B \pmod{A}$  so that  $(2/q) = \varepsilon$ , which is a contradiction.

(b) By Dirichlet's theorem there is a prime  $q \notin S$  such that

$$q \equiv B \pmod{A/4} \tag{2.1}$$

and

$$q \equiv \begin{cases} 1 \pmod{8}, & \text{if } B \equiv 1 \pmod{4}, \varepsilon = -1, \\ 3 \pmod{8}, & \text{if } B \equiv 3 \pmod{4}, \varepsilon = 1, \\ 5 \pmod{8}, & \text{if } B \equiv 1 \pmod{4}, \varepsilon = 1, \\ 7 \pmod{8}, & \text{if } B \equiv 3 \pmod{4}, \varepsilon = -1. \end{cases} \tag{2.2}$$

Then, by (2.1) and (2.2), we have  $q \equiv B \pmod{A}$ , so that  $(2/q) = \varepsilon$ . But from (2.2) we see that  $(2/q) = -\varepsilon$ , which is a contradiction. ■

### 3. PROOF OF THEOREM 1

Associated with the discriminant  $D$  is the fundamental discriminant  $D_0$  defined by  $D = D_0 f^2$ , where  $f^2$  is the largest square dividing  $D$  such that  $D_0 \equiv 0$  or  $1 \pmod{4}$ . We also let  $D^*$  denote the product of the distinct odd primes dividing  $D$ , and we set

$$M = \begin{cases} 2D^*, & \text{if } D \equiv 1 \pmod{4} \text{ or } D \equiv 4 \pmod{16}, \\ 4D^*, & \text{if } D \equiv 12 \pmod{16} \text{ or } D \equiv 16 \pmod{32}, \\ 8D^*, & \text{if } D \equiv 0, 8, \text{ or } 24 \pmod{32}, \end{cases}$$

and observe that  $D_0 \mid M$ .

Now let  $r$  be an odd prime divisor of  $D$ , so that the Legendre symbol  $(\cdot/r)$  is a generic character for the discriminant  $D$  [1, p. 52]. From (1.1) we see that  $(p/r)$  has a fixed value for all primes  $p \equiv B \pmod{A}$  with  $p \nmid 2D$ , so that, by Lemma 1(i),  $r \mid A$ . In addition each supplementary generic

character [1, p. 52] has a fixed value for all primes  $p \equiv B \pmod{A}$  with  $p \nmid 2D$  so, by Lemma 1(ii), as  $A$  is assumed even and  $B$  odd, we have

$$\begin{aligned} 4 \mid A, & \quad \text{if } D \equiv 12 \pmod{16} \text{ or } D \equiv 16 \pmod{32}, \\ 8 \mid A, & \quad \text{if } D \equiv 0, 8, \text{ or } 24 \pmod{32}. \end{aligned}$$

Hence  $M \mid A$ . As a consequence of this we see that any prime  $p \equiv B \pmod{A}$  does not divide  $2D$ .

Let  $p$  be a prime  $\equiv B \pmod{A}$ . Thus  $p$  is represented by some class of the genus  $G$  and so  $(D/p) = 1$ . Similarly we have  $(D/k) = 1$ . Now, as  $D_0 \mid M \mid A$ , we have for any prime  $q \equiv kB \pmod{A}$

$$q \equiv kB \equiv kp \pmod{D_0},$$

so that as  $D = D_0 f^2$  we have

$$\left(\frac{D}{q}\right) = \left(\frac{D_0}{q}\right) = \left(\frac{D_0}{kp}\right) = \left(\frac{D_0}{k}\right)\left(\frac{D_0}{p}\right) = \left(\frac{D}{k}\right)\left(\frac{D}{p}\right) = 1,$$

and thus  $q$  is represented by some class  $K$  of  $H(D)$ . We now determine the genus to which the class  $K$  belongs.

Let  $\chi$  denote any one of the generic characters for the discriminant  $D$ . Then, for any prime  $p \equiv B \pmod{A}$  and any prime  $q \equiv kB \pmod{A}$ , we have

$$\begin{aligned} \chi(q) &= \chi(kB) && \text{(as } q \equiv kB \pmod{M}) \\ &= \chi(k) \chi(B) \\ &= \chi(k) \chi(p) && \text{(as } p \equiv B \pmod{M}) \end{aligned}$$

so that the genus of  $K$  is  $GG'$ .

We observe that in the example in Section 1 we have

$$\begin{aligned} D &= -768, & D_0 &= -3, & f &= 16, & D^* &= 3, \\ M &= 24, & A &= 24, & B &= 13. \end{aligned}$$

#### 4. PROOF OF THEOREM 2

Suppose the genus  $G$  of the class  $C$  contains a class  $J \neq C, C^{-1}$ . In view of the property (1.2) we have  $M \mid A$ , as in the proof of Theorem 1, and so the arithmetic progression  $\{An + B : n \in \mathbb{Z}\}$  is consistent with the generic characters of  $K$ . Hence, by Meyer's theorem [4],  $J$  represents infinitely many primes  $q \equiv B \pmod{A}$ . But each such prime  $q$  with  $q \nmid 2D$  is represented by  $C$ , and thus only by  $C$  and  $C^{-1}$ , which is a contradiction.

This proves that each genus contains exactly one class if  $C = C^{-1}$  and exactly two classes if  $C \neq C^{-1}$ . In particular the subgroup  $H(D)^2$  of  $H(D)$  (which is the principal genus of  $H(D)$ ) contains exactly one class if  $C = C^{-1}$  and exactly two classes if  $C \neq C^{-1}$ . This proves (1.3).

Finally, if  $k$  is an integer coprime with  $2D$  which is represented by the class  $K$ , and  $q$  is a prime  $\equiv kB \pmod{A}$ , then by Theorem 1,  $q$  is represented by a class in the genus of  $CK$ . This genus contains the four classes  $CK$ ,  $C^{-1}K$ ,  $CK^{-1}$ , and  $C^{-1}K^{-1}$ . If  $C = C^{-1}$  these four classes coincide and  $q$  is represented by  $CK$ . If  $C \neq C^{-1}$  and  $K = K^{-1}$  the genus of  $CK$  contains the two distinct inverse classes  $CK (= CK^{-1})$  and  $C^{-1}K (= C^{-1}K^{-1})$ , and  $q$  is represented by both classes. If  $C \neq C^{-1}$  and  $K \neq K^{-1}$  the genus of  $CK$  contains the two distinct non-inverse classes  $CK (= C^{-1}K^{-1})$  and  $C^{-1}K (= CK^{-1})$ , and  $q$  is represented by exactly one of these two classes.

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