

## The Index of a Cyclic Quartic Field

By

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**Abstract.** Let  $K$  be a cyclic quartic field. Let  $i(K)$  denote the index of  $K$ . It is known that  $i(K) \in \{1, 2, 3, 4, 6, 12\}$ . In Part 1 of this paper we show that  $i(K)$  assumes all of these values and we give necessary and sufficient conditions for each to occur. In Part 2 an asymptotic formula is given for the number of cyclic quartic fields with discriminant  $\leq x$  and  $i(K) = i$  for each  $i \in \{1, 2, 3, 4, 6, 12\}$ .

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### Part 1: Characterization of Cyclic Quartic Fields of Given Index

**1.1. Introduction.** Let  $K$  be an algebraic number field of degree  $n$  over the rational field  $\mathbb{Q}$ . Let  $O_K$  denote the ring of integers of the field  $K$ . Let  $\{\omega_1 (= 1), \omega_2, \dots, \omega_n\}$  be an integral basis of  $K$ . The discriminant of the field  $K$  is denoted by  $d(K)$ . If  $\alpha \in K$  we denote the minimal polynomial of  $\alpha$  over  $\mathbb{Q}$  by  $f_\alpha$  and set  $d(\alpha) = \text{disc}(f_\alpha)$ . An element  $\alpha \in O_K$  is called a generator of  $K$  if  $K = \mathbb{Q}(\alpha)$ . It is known that  $\alpha \in O_K$  is a generator of  $K$  if and only if  $d(\alpha) \neq 0$ . For  $\alpha$  a generator of  $K$ , the index  $i(\alpha)$  of  $\alpha$  is the positive integer given by

$$i(\alpha) = \sqrt{\frac{d(\alpha)}{d(K)}}.$$

If  $\alpha \in O_K$  is not a generator of  $K$ , we set  $i(\alpha) = 0$ . Thus

$$d(\alpha) = i(\alpha)^2 d(K) \text{ for all } \alpha \in O_K.$$

The index of  $K$  is defined by

$$i(K) = \gcd\{i(\alpha) \mid \alpha \in O_K\} = \gcd\{i(\alpha) \mid \alpha \text{ a generator of } K\}.$$

Next we define the index form  $i(x_1, \dots, x_{n-1})$  of the algebraic number field  $K$  with respect to the integral basis  $\{\omega_1, \dots, \omega_n\}$ . Let  $x_1, \dots, x_n \in \mathbb{Z}$ . Set

$$\theta = x_1\omega_2 + \dots + x_{n-1}\omega_n \in O_K.$$

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Hence we can define  $f_{i1}, \dots, f_{in} \in \mathbb{Z}$  ( $i=0, 1, \dots, n-1$ ) by

$$\theta^i = f_{i1}\omega_1 + f_{i2}\omega_2 + \dots + f_{in}\omega_n, \quad i = 0, 1, \dots, n-1,$$

so that

$$f_{01} = 1, f_{02} = 0, \dots, f_{0n} = 0,$$

$$f_{11} = 0, f_{12} = x_1, \dots, f_{1n} = x_{n-1}.$$

Each nonzero  $f_{ij}$  is a homogeneous polynomial in  $x_1, \dots, x_{n-1}$  with coefficients in  $\mathbb{Z}$  of degree  $i$ . We define the  $n \times n$  matrix  $F$  by

$$F = \begin{bmatrix} f_{01} & f_{02} & \cdots & f_{0n} \\ f_{11} & f_{12} & \cdots & f_{1n} \\ \cdot & \cdot & \cdots & \cdot \\ f_{n-11} & f_{n-12} & \cdots & f_{n-1n} \end{bmatrix}.$$

The index form of  $K$  with respect to the integral basis  $\{\omega_1, \dots, \omega_n\}$  is defined by

$$i(x_1, \dots, x_{n-1}) = \det F = \begin{vmatrix} x_1 & x_2 & \cdots & x_{n-1} \\ f_{22} & f_{23} & \cdots & f_{2n} \\ \cdot & \cdot & \cdots & \cdot \\ f_{n-12} & f_{n-13} & \cdots & f_{n-1n} \end{vmatrix}.$$

Thus  $i(x_1, \dots, x_{n-1})$  is a homogeneous polynomial in  $x_1, \dots, x_{n-1}$  of degree  $(n-1)n/2$  with coefficients in  $\mathbb{Z}$ . Next we observe that

$$[1 \ \theta \ \cdots \ \theta^{n-1}]^T = F[\omega_1 \ \omega_2 \ \cdots \ \omega_n]^T,$$

so that

$$i(\theta)^2 d(K) = d(\theta) = (\det F)^2 d(\omega_1, \dots, \omega_n) = i(x_1, \dots, x_{n-1})^2 d(K).$$

Hence  $i(\theta) = |i(x_1, \dots, x_{n-1})|$ . Now let  $x_0 \in \mathbb{Z}$  and set

$$\alpha = x_0\omega_1 + x_1\omega_2 + \dots + x_{n-1}\omega_n = x_0 + \theta \in \mathcal{O}_K.$$

As  $d(\alpha) = d(\alpha + k)$  for any integer  $k$ , we have  $d(\alpha) = d(\alpha - x_0) = d(\theta)$ , so that

$$i(\alpha)^2 d(K) = d(\alpha) = d(\theta) = i(\theta)^2 d(K)$$

and thus  $i(\alpha) = i(\theta)$ . Hence we have

$$i(x_0\omega_1 + \dots + x_{n-1}\omega_n) = |i(x_1, \dots, x_{n-1})| \quad (1.1.1)$$

for all integers  $x_0, x_1, \dots, x_{n-1}$ , and

$$i(K) = \gcd\{i(x_1, \dots, x_{n-1}) \mid x_1, \dots, x_{n-1} \in \mathbb{Z}\}. \quad (1.1.2)$$

If  $K$  is a quadratic field (so that  $n = 2$ ) it is easy to show that  $i(K) = 1$ . If  $K$  is a cubic field ( $n = 3$ ) Engstrom has shown that  $i(K) = 1$  or  $2$  [2, p. 234]. Cubic fields with index 2 have been discussed by the authors in [17]. If  $K$  is a quartic field ( $n = 4$ ) Engstrom has shown that

$$i(K) = 1, 2, 3, 4, 6 \text{ or } 12. \quad (1.1.3)$$

Funakura [3, Theorem 5, p. 36] has shown that in the case of a pure quartic field  $i(K) = 1$  or  $2$ . Gaál, Pethö and Pohst proved in [4] for bicyclic quartic fields that the index  $i(K)$  can take each of the values in (1.1.3) and they gave necessary and sufficient conditions for each case, see also [5–7].

In Part 1 of this paper we show for cyclic quartic fields  $K$  that  $i(K)$  assumes each of the values 1, 2, 3, 4, 6, 12. In addition we determine necessary and sufficient conditions for each to occur, see Theorem A in Section 1.2.

In Part 2 we determine an asymptotic formula for the number of cyclic quartic fields  $K$  with  $d(K) \leq x$  and  $i(K) = i$  for  $i \in \{1, 2, 3, 4, 6, 12\}$ , see Theorem B in Section 2.1.

**1.2. Representation of a cyclic quartic field.** Hardy, Hudson, Richman, Williams and Holtz [8] have shown that each cyclic quartic field  $K$  can be expressed uniquely in the form

$$K = \mathbb{Q}\left(\sqrt{A(D + B\sqrt{D})}\right), \quad (1.2.1)$$

where  $A, B, C, D$  are integers such that

$$A \text{ is squarefree and odd}, \quad (1.2.2)$$

$$D = B^2 + C^2 \text{ is squarefree, } B > 0, C > 0, \quad (1.2.3)$$

$$(A, D) = 1. \quad (1.2.4)$$

Moreover each field of the form (1.2.1) satisfying (1.2.2) – (1.2.4) is a cyclic quartic field. It is convenient to distinguish five cases as follows (see [11, Theorem, p. 146]):

case (i)  $D \equiv 0 \pmod{2}$ ,

case (ii)  $D \equiv 1 \pmod{2}$ ,  $B \equiv 1 \pmod{2}$ ,

case (iii)  $D \equiv 1 \pmod{2}$ ,  $B \equiv 0 \pmod{2}$ ,  $A + B \equiv 3 \pmod{4}$ ,

case (iv)  $D \equiv 1 \pmod{2}$ ,  $B \equiv 0 \pmod{2}$ ,  $A + B \equiv 1 \pmod{4}$ ,  $A \equiv C \pmod{4}$ ,

case (v)  $D \equiv 1 \pmod{2}$ ,  $B \equiv 0 \pmod{2}$ ,  $A + B \equiv 1 \pmod{4}$ ,  $A \equiv -C \pmod{4}$ .

We observe that

$$\begin{aligned} D &\equiv 2 \pmod{4}, && \text{in case (i),} \\ D &\equiv 1 \pmod{4}, \quad C \equiv 0 \pmod{2}, && \text{in case (ii),} \\ D &\equiv 1 \pmod{4}, \quad C \equiv 1 \pmod{2}, && \text{in cases (iii), (iv), (v).} \end{aligned}$$

The main result of Part 1 is the following theorem which gives necessary and sufficient conditions for each of  $i(K) = 1, 2, 3, 4, 6, 12$ .

**Theorem A.**

<b>Theorem A.</b>				<i>A</i>	<i>B</i>	<i>D</i>	<i>i(K)</i>
<i>A</i>	<i>B</i>	<i>D</i>	<i>i(K)</i>				
				5 (12)	$\pm 1, \pm 2, \pm 5$ (12)	1 (3)	3
				7 (12)	0 (3)		3
1 (12)	$\pm 1, \pm 2, \pm 5$ (12)		1	11 (12)	$\pm 1$ (3)	1 (3)	3
3 (12)			1	1 (24)	$\pm 8$ (24)		4
5 (12)	$\pm 1, \pm 2, \pm 5$ (12)	2 (3)	1	5 (24)	$\pm 4$ (24)	2 (3)	4
5 (12)	$\pm 3, 6$ (12)		1	5 (24)	12 (24)		4
7 (12)	$\pm 1$ (3)		1	9 (24)	0 (8)		4
9 (12)	$\pm 1, 2$ (4)		1	13 (24)	$\pm 4$ (24)		4
11 (12)	0 (3)		1	17 (24)	0 (24)		4
11 (12)	$\pm 1$ (3)	2 (3)	1	17 (24)	$\pm 8$ (24)	2 (3)	4
1 (24)	$\pm 4$ (24)		2	21 (24)	4 (8)		4
5 (24)	0 (24)		2	1 (24)	12 (24)		6
5 (24)	$\pm 8$ (24)	2 (3)	2	5 (24)	$\pm 8$ (24)	1 (3)	6
9 (24)	4 (8)		2	13 (24)	0 (24)		6
13 (24)	$\pm 8$ (24)		2	17 (24)	$\pm 4$ (24)	1 (3)	6
17 (24)	$\pm 4$ (24)	2 (3)	2	1 (24)	0 (24)		12
17 (24)	12 (24)		2	5 (24)	$\pm 4$ (24)	1 (3)	12
21 (24)	0 (8)		2	13 (24)	12 (24)		12
1 (12)	$\pm 3, 6$ (12)		3	17 (24)	$\pm 8$ (24)	1 (3)	12

Note that in the table  $a \pmod b$  has been abbreviated to  $a (b)$ . Results needed for the proof of Theorem A are given in the remainder of this section as well as Section 1.3, and the proof completed in Section 1.4.

Our first task is to find the index form  $i(x, y, z)$  of  $K$ . It is shown in [8] (see also [16]) that

$$d(K) = 2^\lambda A^2 D^3, \tag{1.2.5}$$

where

$$\lambda = \begin{cases} 8, & \text{case (i),} \\ 6, & \text{case (ii),} \\ 4, & \text{case (iii),} \\ 0, & \text{cases (iv), (v).} \end{cases} \tag{1.2.6}$$

Set

$$\alpha = \sqrt{A(D + B\sqrt{D})}, \quad \beta = \sqrt{A(D - B\sqrt{D})}. \tag{1.2.7}$$

Hudson and Williams [11, Theorem, p. 146] have shown that an integral basis  $\{\omega_1, \omega_2, \omega_3, \omega_4\}$  for  $K$  is given as follows:

$$\begin{aligned} & \{1, \sqrt{D}, \alpha, \beta\}, \text{ case (i),} \\ & \left\{1, \frac{1}{2}(1 + \sqrt{D}), \alpha, \beta\right\}, \text{ case (ii),} \\ & \left\{1, \frac{1}{2}(1 + \sqrt{D}), \frac{1}{2}(\alpha + \beta), \frac{1}{2}(\alpha - \beta)\right\}, \text{ case (iii),} \\ & \left\{1, \frac{1}{2}(1 + \sqrt{D}), \frac{1}{4}(1 + \sqrt{D} + \alpha + \beta), \frac{1}{4}(1 - \sqrt{D} + \alpha - \beta)\right\}, \text{ case (iv),} \end{aligned}$$

$$\left\{ 1, \frac{1}{2}(1 + \sqrt{D}), \frac{1}{4}(1 + \sqrt{D} + \alpha - \beta), \frac{1}{4}(1 - \sqrt{D} + \alpha + \beta) \right\}, \text{case (v)}. \quad (1.2.8)$$

Using MAPLE we find that the index form  $i(x, y, z)$  of  $K$  with respect to the integral basis  $\{\omega_1, \omega_2, \omega_3, \omega_4\}$  given in (1.2.8) is given by

$$\begin{aligned} i(x, y, z) &= (Cy^2 - 2Byz - Cz^2)(D(2x^2 - Ay^2 - Az^2)^2 \\ &\quad - A^2(Cy^2 - 2Byz - Cz^2)^2), \text{ case (i)}, \\ i(x, y, z) &= \frac{1}{2}(Cy^2 - 2Byz - Cz^2)(D(x^2 - 2Ay^2 - 2Az^2)^2 \\ &\quad - 4A^2(Cy^2 - 2Byz - Cz^2)^2), \text{ case (ii)}, \\ i(x, y, z) &= \frac{1}{2}(-By^2 + 2Cyz + Bz^2)(D(x^2 - Ay^2 - Az^2)^2 \\ &\quad - A^2(-By^2 + 2Cyz + Bz^2)^2), \text{ case (iii)}, \\ i(x, y, z) &= \frac{1}{32}(-By^2 + 2Cyz + Bz^2)(D(4x^2 + (1 - A)y^2 \\ &\quad + (1 - A)z^2 + 4xy - 4xz - 2yz)^2 \\ &\quad - A^2(-By^2 + 2Cyz + Bz^2)^2), \text{ case (iv)}, \\ i(x, y, z) &= \frac{1}{32}(By^2 + 2Cyz - Bz^2)(D(4x^2 + (1 - A)y^2 \\ &\quad + (1 - A)z^2 + 4xy - 4xz - 2yz)^2 \\ &\quad - A^2(By^2 + 2Cyz - Bz^2)^2), \text{ case (v)}. \end{aligned}$$

For  $x, y, z \in \mathbb{Z}$ , we define

$$R = R(x, y, z) = \begin{cases} Cy^2 - 2Byz - Cz^2, & \text{case (i)}, \\ 2Cy^2 - 4Byz - 2Cz^2, & \text{case (ii)}, \\ -By^2 + 2Cyz + Bz^2, & \text{cases (iii), (iv)}, \\ By^2 + 2Cyz - Bz^2, & \text{case (v)}, \end{cases} \quad (1.2.9)$$

and

$$S = S(x, y, z) = \begin{cases} 2x^2 - Ay^2 - Az^2, & \text{case (i)}, \\ x^2 - 2Ay^2 - 2Az^2, & \text{case (ii)}, \\ x^2 - Ay^2 - Az^2, & \text{case (iii)}, \\ 4x^2 + (1 - A)y^2 + (1 - A)z^2 \\ \quad + 4xy - 4xz - 2yz, & \text{cases (iv), (v)}. \end{cases} \quad (1.2.10)$$

Then

$$i(x, y, z) = kR(DS^2 - A^2R^2), \quad (1.2.11)$$

where

$$k = \begin{cases} 1, & \text{case (i)}, \\ \frac{1}{4}, & \text{case (ii)}, \\ \frac{1}{2}, & \text{case (iii)}, \\ \frac{1}{32}, & \text{cases (iv), (v)}. \end{cases} \quad (1.2.12)$$

From (1.1.2) we see that the index  $i(K)$  of  $K$  is given by

$$i(K) = \gcd\{i(x, y, z) \mid x, y, z \in \mathbb{Z}\}. \quad (1.2.13)$$

**1.3. Conditions for divisibility of  $i(K)$  by 2, 3 and 4.** Let  $K$  be a cyclic quartic field given in the form (1.2.1). First we prove the following lemma.

**Lemma 1.3.1.** *If  $2 \mid d(K)$  then  $2 \nmid i(K)$ .*

*Proof.* Suppose  $2 \mid d(K)$ . Then, by Dedekind's theorem, 2 ramifies in  $O_K$ . If  $2 \mid i(K)$  then by [2, p. 234] either  $2O_K = P_1P_2P_3P_4$  or  $P_1P_2$ , where  $P_1, P_2, P_3, P_4$  are distinct prime ideals. This contradicts that 2 ramifies in  $O_K$ . Hence  $2 \nmid i(K)$ .  $\square$

We are now ready to give a necessary and sufficient condition for  $i(K)$  to be divisible by 2.

**Theorem 1.3.2.**  $2 \mid i(K) \iff A \equiv 1 \pmod{4}, B \equiv 0 \pmod{4}$ .

*Proof.* Suppose that  $2 \mid i(K)$ . Then, by Lemma 1.3.1, we have  $2 \nmid d(K)$  so that by (1.2.5) either case (iv) or case (v) holds. Then, by (1.2.9)–(1.2.12), we have

$$i(0, 1, 0) = -\frac{\epsilon B}{32}(D(1-A)^2 - A^2B^2), \quad (1.3.1)$$

where

$$\epsilon = \begin{cases} 1, & \text{case (iv),} \\ -1, & \text{case (v).} \end{cases} \quad (1.3.2)$$

Next we define integers  $M, N$  and  $T$  by

$$B = 2M, \quad C = \epsilon A + 4T, \quad A = 1 + 4N - 2M.$$

Using these together with  $D = B^2 + C^2$  in (1.3.1), we obtain in both cases

$$i(0, 1, 0) \equiv MN^2 + M^2N + M^5 \pmod{2}$$

so that

$$i(0, 1, 0) \equiv M \pmod{2}.$$

As  $2 \mid i(K)$ , by (1.2.13) we have  $i(0, 1, 0) \equiv 0 \pmod{2}$  so that  $M \equiv 0 \pmod{2}$ , and thus

$$A \equiv 1 \pmod{4}, \quad B \equiv 0 \pmod{4}. \quad (1.3.3)$$

Now suppose that (1.3.3) holds. As  $(B, C) = 1$  we have  $C \equiv 1 \pmod{2}$ . Hence  $D = B^2 + C^2 \equiv 1 \pmod{2}$ . Since  $B \equiv 0 \pmod{2}$  and  $A + B \equiv 1 \pmod{4}$ , either case (iv) or case (v) holds. We define integers  $T, U$  and  $V$  by

$$A = 1 + 4T, \quad B = 4U, \quad C = \epsilon A + 4V,$$

where  $\epsilon$  is defined in (1.3.2). Substituting these and  $D = B^2 + C^2$  into  $i(x, y, z)$  and reducing the coefficients modulo 2 and the powers by  $x^n \equiv x \pmod{2}$  ( $n = 1, 2, \dots$ ), we obtain

$$i(x, y, z) \equiv 0 \pmod{2} \text{ for all integers } x, y, z.$$

Hence

$$i(K) \equiv 0 \pmod{2}.$$

This completes the proof of the theorem.  $\square$

Now we turn to the divisibility of  $i(K)$  by 3. We require some preliminary results.

**Lemma 1.3.3.** *If  $i(K) \equiv 0 \pmod{3}$  then  $A \not\equiv 0 \pmod{3}$ .*

*Proof.* Suppose that  $i(K) \equiv 0 \pmod{3}$ . If  $A \equiv 0 \pmod{3}$  then  $d(K) \equiv 0 \pmod{3}$  by (1.2.5), so that, by Dedekind's theorem,  $3O_K$  ramifies, contradicting [2, p. 234] that  $3O_K$  splits completely. Hence  $A \not\equiv 0 \pmod{3}$ .  $\square$

**Lemma 1.3.4.** *If  $i(K) \equiv 0 \pmod{3}$  then either  $B \equiv 0 \pmod{3}$  and  $C \not\equiv 0 \pmod{3}$  or  $B \not\equiv 0 \pmod{3}$  and  $C \equiv 0 \pmod{3}$ .*

*Proof.* Suppose that  $i(K) \equiv 0 \pmod{3}$ . Then  $i(x, y, z) \equiv 0 \pmod{3}$  for all  $x, y, z \in \mathbb{Z}$ . Thus in particular we have

$$\begin{aligned} i(0, 1, 0) &\equiv 0 \pmod{3}, \text{ cases (i), (ii), (iii),} \\ i(0, 1, 1) &\equiv 0 \pmod{3}, \text{ cases (iv), (v).} \end{aligned}$$

Now

$$\begin{aligned} R(0, 1, 0) &= \begin{cases} C, & \text{case (i),} \\ 2C, & \text{case (ii),} \\ -B, & \text{case (iii),} \end{cases} \\ R(0, 1, 1) &= 2C, \text{ cases (iv), (v),} \\ S(0, 1, 0) &= \begin{cases} -A, & \text{case (i),} \\ -2A, & \text{case (ii),} \\ -A, & \text{case (iii),} \end{cases} \\ S(0, 1, 1) &= -2A, \text{ cases (iv), (v),} \end{aligned}$$

so that modulo 3 we have

$$\begin{aligned} &R(0, 1, 0)(DS(0, 1, 0)^2 - A^2R(0, 1, 0)^2) \\ &\equiv \begin{cases} C(DA^2 - A^2C^2), & \text{case (i),} \\ 2C(4DA^2 - 4A^2C^2), & \text{case (ii),} \\ -B(DA^2 - A^2C^2), & \text{case (iii),} \end{cases} \\ &\equiv \begin{cases} A^2B^2C, & \text{case (i),} \\ -A^2B^2C, & \text{case (ii),} \\ -A^2BC^2, & \text{case (iii),} \end{cases} \end{aligned}$$

and in cases (iv) and (v)

$$R(0, 1, 1)(DS(0, 1, 1)^2 - A^2R(0, 1, 1)^2) \equiv 2C(4DA^2 - 4A^2C^2) \equiv -A^2B^2C.$$

Hence by (1.2.11) in all cases we have  $ABC \equiv 0 \pmod{3}$ . But by Lemma 1.3.3 we have  $A \not\equiv 0 \pmod{3}$ . Thus  $BC \equiv 0 \pmod{3}$ . But  $(B, C) = 1$  so that either  $B \equiv 0 \pmod{3}$ ,  $C \not\equiv 0 \pmod{3}$  or  $B \not\equiv 0 \pmod{3}$ ,  $C \equiv 0 \pmod{3}$ .  $\square$

**Lemma 1.3.5.** *If  $i(K) \equiv 0 \pmod{3}$  and  $B \equiv 0 \pmod{3}$  then we have  $A \equiv 1 \pmod{3}$ .*

*Proof.* Suppose that  $i(K) \equiv 0 \pmod{3}$  and  $B \equiv 0 \pmod{3}$ . Then  $C \not\equiv 0 \pmod{3}$  and  $D = B^2 + C^2 \equiv 1 \pmod{3}$ . Also, by (1.2.13), we have

$$\begin{aligned} i(1, 1, 0) &\equiv 0 \pmod{3}, & \text{cases (i), (ii),} \\ i(1, 1, 1) &\equiv 0 \pmod{3}, & \text{cases (iii), (iv), (v).} \end{aligned}$$

Now by (1.2.9) and (1.2.10) we have

$$\begin{aligned} R(1, 1, 0) &= C, & \text{case (i),} \\ R(1, 1, 0) &= 2C, & \text{case (ii),} \\ R(1, 1, 1) &= 2C, & \text{cases (iii), (iv), (v),} \end{aligned}$$

and

$$\begin{aligned} S(1, 1, 0) &= 2 - A, & \text{case (i),} \\ S(1, 1, 0) &= 1 - 2A, & \text{case (ii),} \\ S(1, 1, 1) &= 1 - 2A, & \text{case (iii),} \\ S(1, 1, 1) &= 4 - 2A, & \text{cases (iv), (v).} \end{aligned}$$

Hence, by (1.2.11), in all cases we have

$$(2 - A)^2 - A^2 \equiv 0 \pmod{3},$$

so that

$$A \equiv 1 \pmod{3},$$

as asserted.  $\square$

**Lemma 1.3.6.** *If  $i(K) \equiv 0 \pmod{3}$  and  $C \equiv 0 \pmod{3}$  then we have  $A \equiv 2 \pmod{3}$ .*

*Proof.* Suppose that  $i(K) \equiv 0 \pmod{3}$  and  $C \equiv 0 \pmod{3}$ . Then  $B \not\equiv 0 \pmod{3}$  and  $D = B^2 + C^2 \equiv 1 \pmod{3}$ . By (1.2.13) we have

$$\begin{aligned} i(1, 1, 1) &\equiv 0 \pmod{3}, & \text{cases (i), (ii),} \\ i(1, 1, 0) &\equiv 0 \pmod{3}, & \text{case (iii),} \\ i(0, 1, 0) &\equiv 0 \pmod{3}, & \text{cases (iv), (v).} \end{aligned}$$

Now, by (1.2.9) and (1.2.10), we have

$$\begin{aligned} R(1, 1, 1) &= -2B, & \text{case (i),} \\ R(1, 1, 1) &= -4B, & \text{case (ii),} \\ R(1, 1, 0) &= -B, & \text{case (iii),} \\ R(0, 1, 0) &= -B, & \text{case (iv),} \\ R(0, 1, 0) &= B, & \text{case (v),} \end{aligned}$$

and

$$\begin{aligned} S(1, 1, 1) &= 2 - 2A, & \text{case (i),} \\ S(1, 1, 1) &= 1 - 4A, & \text{case (ii),} \\ S(1, 1, 0) &= 1 - A, & \text{case (iii),} \\ S(0, 1, 0) &= 1 - A, & \text{case (iv),} \\ S(0, 1, 0) &= 1 - A, & \text{case (v).} \end{aligned}$$

Hence, by (1.2.11), in all cases we have

$$(1 - A)^2 - A^2 \equiv 0 \pmod{3},$$

and thus

$$A \equiv 2 \pmod{3},$$

as claimed.  $\square$

**Lemma 1.3.7.** *If  $A \equiv 1 \pmod{3}$  and  $B \equiv 0 \pmod{3}$  then we have  $i(K) \equiv 0 \pmod{3}$ .*

*Proof.* Suppose that  $A \equiv 1 \pmod{3}$  and  $B \equiv 0 \pmod{3}$  so that  $C \not\equiv 0 \pmod{3}$  and  $D = B^2 + C^2 \equiv 1 \pmod{3}$ .

*Cases (i), (ii).* We have

$$R(x, y, z) \equiv \pm C(y^2 - z^2) \pmod{3}, \quad S(x, y, z) \equiv \mp(x^2 + y^2 + z^2) \pmod{3}.$$

If  $y^2 \equiv z^2 \pmod{3}$  then  $R(x, y, z) \equiv 0 \pmod{3}$  and by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ . If  $y^2 \not\equiv z^2 \pmod{3}$  then  $R(x, y, z) \not\equiv 0 \pmod{3}$  and  $S(x, y, z) \equiv \mp(x^2 + 1) \equiv \pm 1 \not\equiv 0 \pmod{3}$  so that  $DS(x, y, z)^2 - A^2R(x, y, z)^2 \equiv 1 - 1 \equiv 0 \pmod{3}$  and thus by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ .

*Case (iii).* We have

$$R(x, y, z) \equiv -Cyz \pmod{3}, \quad S(x, y, z) \equiv x^2 - y^2 - z^2 \pmod{3}.$$

If  $yz \equiv 0 \pmod{3}$  then  $R(x, y, z) \equiv 0 \pmod{3}$  and by (1.2.11) we have  $i(x, y, z) \equiv 0 \pmod{3}$ . If  $yz \not\equiv 0 \pmod{3}$  then  $y^2 \equiv z^2 \equiv 1 \pmod{3}$  so that  $R(x, y, z) \not\equiv 0 \pmod{3}$  and  $S(x, y, z) \equiv x^2 - 2 \not\equiv 0 \pmod{3}$  so that  $DS(x, y, z)^2 - A^2R(x, y, z)^2 \equiv 1 - 1 \equiv 0 \pmod{3}$  and thus by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ .

*Cases (iv), (v).* We have

$$R(x, y, z) \equiv -Cyz \pmod{3}, \quad S(x, y, z) \equiv x^2 + xy - xz + yz \pmod{3}.$$

If  $yz \equiv 0 \pmod{3}$  then  $R(x, y, z) \equiv 0 \pmod{3}$  and by (1.2.11) we see that  $i(x, y, z) \equiv 0 \pmod{3}$ . If  $yz \not\equiv 0 \pmod{3}$  then  $R(x, y, z) \not\equiv 0 \pmod{3}$  and

$$S(x, y, z) \equiv \begin{cases} x^2 + 1 \not\equiv 0 \pmod{3}, & \text{if } y \equiv z \pmod{3}, \\ (x + y)^2 + 1 \not\equiv 0 \pmod{3}, & \text{if } y \equiv -z \pmod{3}, \end{cases}$$

so that

$$DS(x, y, z)^2 - A^2R(x, y, z)^2 \equiv 1 - 1 \equiv 0 \pmod{3}$$

and thus by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ .

Hence in all five cases we have shown that  $i(x, y, z) \equiv 0 \pmod{3}$  for all integers  $x, y, z$  so that by (1.2.13)  $i(K) \equiv 0 \pmod{3}$ .  $\square$

**Lemma 1.3.8.** *If  $A \equiv 2 \pmod{3}$  and  $C \equiv 0 \pmod{3}$  then we have  $i(K) \equiv 0 \pmod{3}$ .*

*Proof.* Suppose that  $A \equiv 2 \pmod{3}$  and  $C \equiv 0 \pmod{3}$  so that  $B \not\equiv 0 \pmod{3}$  and  $D = B^2 + C^2 \equiv 1 \pmod{3}$ .

Cases (i), (ii). We have

$$R(x, y, z) \equiv \pm B y z \pmod{3}, \quad S(x, y, z) \equiv \pm(-x^2 + y^2 + z^2) \pmod{3}.$$

If  $yz \equiv 0 \pmod{3}$  then  $R(x, y, z) \equiv 0 \pmod{3}$  and by (1.2.11) we have  $i(x, y, z) \equiv 0 \pmod{3}$ . If  $yz \not\equiv 0 \pmod{3}$  then  $R(x, y, z) \not\equiv 0 \pmod{3}$  and  $S(x, y, z) \equiv \mp(x^2 + 1) \not\equiv 0 \pmod{3}$  so that  $DS(x, y, z)^2 - A^2R(x, y, z)^2 \equiv 1 - 1 \equiv 0 \pmod{3}$  and thus by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ .

Case (iii). We have

$$R(x, y, z) \equiv -B(y^2 - z^2) \pmod{3}, \quad S(x, y, z) \equiv x^2 + y^2 + z^2 \pmod{3}.$$

If  $y^2 \equiv z^2 \pmod{3}$  then  $R(x, y, z) \equiv 0 \pmod{3}$  and by (1.2.11) we have  $i(x, y, z) \equiv 0 \pmod{3}$ . If  $y^2 \not\equiv z^2 \pmod{3}$  then  $R(x, y, z) \not\equiv 0 \pmod{3}$  and  $S(x, y, z) \equiv x^2 + 1 \not\equiv 0 \pmod{3}$  so that  $DS(x, y, z)^2 - A^2R(x, y, z)^2 \equiv 1 - 1 \equiv 0 \pmod{3}$  and thus by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ .

Cases (iv), (v). We have

$$R(x, y, z) \equiv \mp B(y^2 - z^2) \pmod{3}, \\ S(x, y, z) \equiv x^2 - y^2 - z^2 + xy - xz + yz \pmod{3}.$$

If  $y^2 \equiv z^2 \pmod{3}$  then  $R(x, y, z) \equiv 0 \pmod{3}$  and by (1.2.11) we have  $i(x, y, z) \equiv 0 \pmod{3}$ . If  $y^2 \not\equiv z^2 \pmod{3}$  then  $R(x, y, z) \not\equiv 0 \pmod{3}$  and

$$S(x, y, z) \equiv \begin{cases} (x+z)^2 + 1 \pmod{3}, & \text{if } y \equiv 0 \pmod{3}, \\ (x-y)^2 + 1 \pmod{3}, & \text{if } z \equiv 0 \pmod{3}, \end{cases}$$

so that  $S(x, y, z) \not\equiv 0 \pmod{3}$ . Hence  $DS(x, y, z)^2 - A^2R(x, y, z)^2 \equiv 1 - 1 \equiv 0 \pmod{3}$  and thus by (1.2.11)  $i(x, y, z) \equiv 0 \pmod{3}$ .

Hence in all five cases we have shown that  $i(x, y, z) \equiv 0 \pmod{3}$  for all integers  $x, y, z$  so that by (1.2.13) we have  $i(K) \equiv 0 \pmod{3}$ .  $\square$

We can now give a necessary and sufficient condition for  $i(K)$  to be divisible by 3.

**Theorem 1.3.9.**

$$3 \mid i(K) \iff A \equiv 1 \pmod{3}, B \equiv 0 \pmod{3} \text{ or } A \equiv 2 \pmod{3}, C \equiv 0 \pmod{3}.$$

*Proof.* This result follows immediately from Lemmas 1.3.3–1.3.8.  $\square$

As

$$\begin{aligned} D \equiv 1 \pmod{3} &\iff B^2 + C^2 \equiv 1 \pmod{3} \\ &\iff \text{exactly one of } B \text{ or } C \equiv 0 \pmod{3}, \end{aligned}$$

we can reformulate Theorem 1.3.9 as

**Theorem 1.3.9.**

$$\begin{aligned} 3 \mid i(K) &\iff A \equiv 1 \pmod{3}, \quad B \equiv 0 \pmod{3} \text{ or} \\ &\quad A \equiv 2 \pmod{3}, \quad B \equiv \pm 1 \pmod{3}, \quad D \equiv 1 \pmod{3}. \end{aligned}$$

Next we determine a necessary and sufficient condition for  $i(K)$  to be divisible by 4.

**Theorem 1.3.10.**

$$\begin{aligned} 4 \mid i(K) &\iff A \equiv 1 \pmod{8}, \quad B \equiv 0 \pmod{8} \text{ or} \\ &\quad A \equiv 5 \pmod{8}, \quad B \equiv 4 \pmod{8}. \end{aligned}$$

*Proof.* Assume  $4 \mid i(K)$ . By Theorem 1.3.2 we have  $A \equiv 1 \pmod{4}, B \equiv 0 \pmod{4}$  so that either case (iv) or case (v) holds. Define integers  $M, N$  and  $T$  by

$$A = 1 + 4M, \quad B = 4N, \quad C = \epsilon A + 4T, \quad (1.3.4)$$

where  $\epsilon$  is defined in (1.3.2). From (1.2.9) and (1.2.10) we obtain

$$R(0, 1, 0) = -\epsilon B, \quad S(0, 1, 0) = 1 - A,$$

so that by (1.2.11) we have

$$i(0, 1, 0) = -\frac{\epsilon B}{32}(D(1 - A)^2 - A^2 B^2).$$

Appealing to (1.3.4) we obtain

$$i(0, 1, 0) = -2\epsilon N(DM^2 - A^2 N^2) \equiv 2N(M^2 - N^2) \equiv 2N(M + 1) \pmod{4}.$$

Also from (1.2.9) and (1.2.10) we obtain

$$R(0, 1, 2) = 3\epsilon B + 4C, \quad S(0, 1, 2) = 1 - 5A,$$

so that by (1.2.11) we have

$$i(0, 1, 2) = \frac{(3\epsilon B + 4C)}{32}(D(1 - 5A)^2 - A^2(3\epsilon B + 4C)^2).$$

Appealing to (1.3.4) we obtain

$$\begin{aligned} i(0, 1, 2) &= 2(3\epsilon N + C)(D(A + M)^2 - A^2(3\epsilon N + C)^2) \\ &\equiv 2(\epsilon N + \epsilon)(A + M - A(\epsilon N + C)) \pmod{4} \\ &\equiv 2(N + 1)(1 + M - N - 1) \pmod{4} \\ &\equiv 2M(N + 1) \pmod{4}. \end{aligned}$$

As  $4 \mid i(K)$  we have by (1.2.13)  $i(0, 1, 0) \equiv i(0, 1, 2) \equiv 0 \pmod{4}$  so that

$$(M + 1)N \equiv M(N + 1) \equiv 0 \pmod{2},$$

that is

$$M \equiv N \equiv 0 \pmod{2} \text{ or } M \equiv N \equiv 1 \pmod{2}.$$

Thus by (1.3.4) we have

$$A \equiv 1 \pmod{8}, \quad B \equiv 0 \pmod{8} \text{ or } A \equiv 5 \pmod{8}, \quad B \equiv 4 \pmod{8}.$$

Conversely suppose that

$$A \equiv 1 \pmod{8}, \quad B \equiv 0 \pmod{8} \text{ or } A \equiv 5 \pmod{8}, \quad B \equiv 4 \pmod{8}.$$

As  $B \equiv 0 \pmod{4}$  and  $(B, C) = 1$  we have  $C \equiv 1 \pmod{2}$  so that  $D = B^2 + C^2 \equiv 1 \pmod{8}$ . Also  $A + B \equiv 1 \pmod{8}$ . Hence either case (iv) or case (v) occurs. We can define integers  $T, U$  and  $V$  by

$$A = 1 + 4T, \quad B = 8U - 4T, \quad C = \epsilon(1 + 4T) + 4V,$$

where  $\epsilon$  is defined in (1.3.2).

First we determine  $D$  modulo 16. We have

$$D = B^2 + C^2 = (8U - 4T)^2 + (\epsilon + 4\epsilon T + 4V)^2 \equiv 1 + 8(T + V) \pmod{16}.$$

Secondly

$$\begin{aligned} R &= R(x, y, z) = -\epsilon B y^2 + 2C y z + \epsilon B z^2 \\ &= -\epsilon(8U - 4T)y^2 + 2(\epsilon + 4\epsilon T + 4V)yz + \epsilon(8U - 4T)z^2 \\ &= 2\epsilon y z + 4\epsilon T(y^2 - z^2) + 8(\epsilon U(z^2 - y^2) + (\epsilon T + V)yz), \end{aligned}$$

so that

$$\frac{R}{2} = \epsilon y z + 2\epsilon T(y^2 - z^2) + 4(\epsilon U(z^2 - y^2) + (\epsilon T + V)yz),$$

$$\begin{aligned} \left(\frac{R}{2}\right)^2 &\equiv y^2 z^2 + 4(T^2(y^2 - z^2)^2 + T(y^2 - z^2)yz) \\ &\quad + 8\epsilon y z(\epsilon U(z^2 - y^2) + (\epsilon T + V)yz) \pmod{16} \\ &\equiv y^2 z^2 + 4T(y^2 - z^2)(T(y^2 - z^2) + yz) + 8y^2 z^2(T + V) \pmod{16}, \end{aligned}$$

as  $yz(z^2 - y^2) \equiv 0 \pmod{2}$ . Thirdly

$$\begin{aligned} S &= S(x, y, z) = 4x^2 + (1 - A)y^2 + (1 - A)z^2 + 4xy - 4xz - 2yz \\ &= 4x^2 - 4Ty^2 - 4Tz^2 + 4xy - 4xz - 2yz \\ &= -2yz + 4(x^2 - Ty^2 - Tz^2 + xy - xz) \end{aligned}$$

so that

$$\frac{S}{2} = -yz + 2(x^2 - Ty^2 - Tz^2 + xy - xz),$$

$$\begin{aligned} \left(\frac{S}{2}\right)^2 &= y^2 z^2 - 4yz(x^2 - Ty^2 - Tz^2 + xy - xz) + 4(x^2 - Ty^2 - Tz^2 + xy - xz)^2 \\ &= y^2 z^2 + 4(x^2 - Ty^2 - Tz^2 + xy - xz)(x^2 - Ty^2 - Tz^2 + xy - xz - yz). \end{aligned}$$

Fourthly

$$D\left(\frac{S}{2}\right)^2 \equiv y^2z^2 + 4(x^2 - Ty^2 - Tz^2 + xy - xz)(x^2 - Ty^2 - Tz^2 + xy - xz - yz) \\ + 8(T + V)y^2z^2 \pmod{16}.$$

Fifthly

$$A^2\left(\frac{R}{2}\right)^2 \equiv (1 + 8T)(y^2z^2 + 4T(y^2 - z^2)(T(y^2 - z^2) + yz) \\ + 8y^2z^2(T + V)) \pmod{16} \\ \equiv y^2z^2 + 4T(y^2 - z^2)(T(y^2 - z^2) + yz) + 8Vy^2z^2 \pmod{16}.$$

Hence

$$D\left(\frac{S}{2}\right)^2 - A^2\left(\frac{R}{2}\right)^2 \\ \equiv 4((x^2 - Ty^2 - Tz^2 + xy - xz)(x^2 - Ty^2 - Tz^2 + xy - xz - yz) \\ - T(y^2 - z^2)(T(y^2 - z^2) + yz) + 2Ty^2z^2) \pmod{16} \\ \equiv 4(x^4 - 2Tx^2y^2 - 2Tx^2z^2 - 2Txy^3 + 2Ty^3z^2 + 2Txz^3 - 2Txyz^2 \\ + 2Txy^2z + 2x^3y - 2x^3z - 2x^2yz + x^2y^2 + x^2z^2 + xyz^2 \\ - x^2yz - xy^2z + 2Ty^2z^2) \pmod{16}$$

so that

$$\frac{1}{4}\left(D\left(\frac{S}{2}\right)^2 - A^2\left(\frac{R}{2}\right)^2\right) \\ \equiv (x^4 + x^2y^2 + x^2z^2 + xyz^2 - x^2yz - xy^2z) \\ + 2T(-x^2y^2 - x^2z^2 + y^2z^2 - xy^3 + yz^3 + xz^3 - xyz^2 + xy^2z) \\ + 2(x^3y - x^3z - x^2yz) \pmod{4}.$$

Then, by (1.2.11), we have

$$i(x, y, z) = \frac{1}{4}\left(\frac{R}{2}\right)\left(D\left(\frac{S}{2}\right)^2 - A^2\left(\frac{R}{2}\right)^2\right) \\ \equiv (\epsilon yz + 2\epsilon T(y^2 - z^2)) \times \\ (x^4 + x^2y^2 + x^2z^2 + xyz^2 - x^2yz - xy^2z + 2(x^3y - x^3z - x^2yz) \\ + 2T(-x^2y^2 - x^2z^2 + y^2z^2 - xy^3 + yz^3 + xz^3 - xyz^2 + xy^2z)) \\ \equiv \epsilon(yz(x^4 + x^2y^2 + x^2z^2 + xyz^2 - x^2yz - xy^2z) \\ + 2yz(x^3y - x^3z - x^2yz) \\ + 2Tyz(-x^2y^2 - x^2z^2 + y^2z^2 - xy^3 + yz^3 + xz^3 - xyz^2 + xy^2z) \\ + 2T(y^2 - z^2)(x^4 + x^2y^2 + x^2z^2 + xyz^2 - x^2yz - xy^2z)) \pmod{4}.$$

Now, as

$$yz(y \pm z), \quad yz(y^2 \pm z^2), \quad (y^2 - z^2)(x^4 + x^2y^2 + x^2z^2)$$

are all even, we have

$$\begin{aligned} & 2Tyz(-x^2y^2 - x^2z^2 + y^2z^2 - xy^3 + yz^3 + xz^3 - xy^2z + xy^2z) \\ &= (-2Tx^2)yz(y^2 + z^2) + (2Tyz^2)yz(y + z) - (2Txy^2)yz(y - z) \\ &\quad - (2Txz^2)yz(y - z) \\ &\equiv 0 \pmod{4} \end{aligned}$$

and

$$\begin{aligned} & 2T(y^2 - z^2)(x^4 + x^2y^2 + x^2z^2 + xyz^2 - x^2yz - xy^2z) \\ &= (2T)((y^2 - z^2)(x^4 + x^2y^2 + x^2z^2)) + (2Tx(z - x - y))((yz)(y^2 - z^2)) \\ &\equiv 0 \pmod{4}. \end{aligned}$$

Hence

$$\begin{aligned} i(x, y, z) &\equiv \epsilon xyz(x^3 + xy^2 + xz^2 + yz^2 - xyz - y^2z + 2x^2y - 2x^2z - 2xyz) \\ &\equiv \epsilon xyz(x^3 + 2(y + z)x^2 + (y^2 + yz + z^2)x + (yz^2 - y^2z)) \\ &\equiv \epsilon xyz((x + y)(x + z)(x + y + z) - 2yz(x - y)) \\ &\equiv 0 \pmod{4} \end{aligned}$$

as

$$xyz(x + y)(x + z)(x + y + z) \equiv 0 \pmod{4}$$

and

$$xy(x - y) \equiv 0 \pmod{2}.$$

Thus, by (1.2.13), we have  $4 \mid i(K)$ .

This completes the proof of Theorem 1.3.10.  $\square$

**1.4. Conditions for  $i(K) = 1, 2, 3, 4, 6, 12$ : Proof of Theorem A.** Theorems 1.4.1, 1.4.2 and 1.4.3 are immediate consequences of Theorems 1.3.2, 1.3.9 and 1.3.10.

**Theorem 1.4.1.**

$$\begin{aligned} 2 \nmid i(K) &\iff A \equiv 1 \pmod{4}, \quad B \equiv 1, 2, 3 \pmod{4} \text{ or} \\ &A \equiv 3 \pmod{4}. \end{aligned}$$

**Theorem 1.4.2.**

$$\begin{aligned} 2 \parallel i(K) &\iff A \equiv 1 \pmod{8}, \quad B \equiv 4 \pmod{8}, \text{ or} \\ &A \equiv 5 \pmod{8}, \quad B \equiv 0 \pmod{8}. \end{aligned}$$

**Theorem 1.4.3.**

$$\begin{aligned} 3 \nmid i(K) &\iff A \equiv 0 \pmod{3} \text{ or} \\ &A \equiv 1 \pmod{3}, \quad B \equiv \pm 1 \pmod{3} \text{ or} \\ &A \equiv 2 \pmod{3}, \quad C \equiv \pm 1 \pmod{3}. \end{aligned}$$

If  $D \equiv 1 \pmod{3}$  then

$$B \equiv 0 \pmod{3}, C \equiv \pm 1 \pmod{3} \text{ or } B \equiv \pm 1 \pmod{3}, C \equiv 0 \pmod{3},$$

and if  $D \equiv 2 \pmod{3}$  then

$$B \equiv \pm 1 \pmod{3}, C \equiv \pm 1 \pmod{3}.$$

Thus Theorem 1.4.3 can be reformulated as

**Theorem 1.4.3.**

$$\begin{aligned} 3 \nmid i(K) &\iff A \equiv 0 \pmod{3} \text{ or} \\ &A \equiv 1 \pmod{3}, B \equiv \pm 1 \pmod{3} \text{ or} \\ &A \equiv 2 \pmod{3}, B \equiv 0 \pmod{3} \text{ or} \\ &A \equiv 2 \pmod{3}, B \equiv \pm 1 \pmod{3}, D \equiv 2 \pmod{3}. \end{aligned}$$

*Proof of Theorem A.* We recall from (1.1.3) that for a cyclic quartic field  $K$  we have  $i(K) = 1, 2, 3, 4, 6$  or  $12$ . These possibilities can be recognized as follows:

$$\begin{aligned} i(K) = 1 &\iff 2 \nmid i(K), 3 \nmid i(K), \\ i(K) = 2 &\iff 2 \parallel i(K), 3 \nmid i(K), \\ i(K) = 3 &\iff 2 \nmid i(K), 3 \mid i(K), \\ i(K) = 4 &\iff 4 \mid i(K), 3 \nmid i(K), \\ i(K) = 6 &\iff 2 \parallel i(K), 3 \mid i(K), \\ i(K) = 12 &\iff 4 \mid i(K), 3 \mid i(K). \end{aligned}$$

Appealing to Theorems 1.3.9, 1.3.10, 1.4.1, 1.4.2 and 1.4.3, we obtain Theorem A.  $\square$

**Part 2: Asymptotic Number of Cyclic Quartic Fields  
with Discriminant  $\leq x$  and Given Index**

**2.1. Introduction.** We define for a positive integer  $i$

$N(x; i)$  = number of cyclic quartic fields  $K$  with

$$d(K) \leq x \text{ and } i(K) = i. \quad (2.1.1)$$

By Engstrom's theorem [2, p. 234] we have

$$N(x; i) = 0 \text{ for } i \neq 1, 2, 3, 4, 6, 12.$$

In Section 2.6 we prove the following theorem after some preliminary results in Sections 2.2–2.5.

**Theorem B.** For  $i = 1, 2, 3, 4, 6, 12$  we have

$$N(x; i) = \alpha_i x^{\frac{1}{2}} + O(x^{\frac{1}{2}} \log^3 x),$$

where

$$\begin{aligned}\alpha_1 &= \frac{1}{128\pi^2} \left( (208 + 13\sqrt{2})c(3/2) - (48 - 3\sqrt{2})c(3/2, -3) - 104c(3/2, 8) \right. \\ &\quad \left. + 24c(3/2, -24) + 16\sqrt{2} \right), \\ \alpha_2 &= \frac{1}{32\pi^2} (13c(3/2) + 13c(3/2, 8) - 3c(3/2, -3) - 3c(3/2, -24)), \\ \alpha_3 &= \frac{3}{128\pi^2} \left( (16 + \sqrt{2})c(3/2) + (16 - \sqrt{2})c(3/2, -3) - 8c(3/2, 8) \right. \\ &\quad \left. - 8c(3/2, -24) \right), \\ \alpha_4 &= \frac{1}{32\pi^2} (13c(3/2) + 13c(3/2, 8) - 3c(3/2, -3) - 3c(3/2, -24)), \\ \alpha_6 &= \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3) + c(3/2, 8) + c(3/2, -24)), \\ \alpha_{12} &= \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3) + c(3/2, 8) + c(3/2, -24)),\end{aligned}$$

where the constants  $c(\alpha)$  and  $c(\alpha, \Delta)$  are defined in (2.3.2) and (2.3.3) respectively.

We note that

$$\begin{aligned}\alpha_1 &\approx 0.0970153, & \alpha_2 &\approx 0.0067627, & \alpha_3 &\approx 0.0101764, \\ \alpha_4 &\approx 0.0067627, & \alpha_6 &\approx 0.0006321, & \alpha_{12} &\approx 0.0006321.\end{aligned}$$

**2.2. Some estimates involving  $d(n)$ .** In this section we give some estimates for certain sums involving the divisor function

$$d(n) = \sum_{d|n} 1,$$

which will be needed in Section 2.3.

**Lemma 2.2.1.** *Let  $c_1, c_2, \dots$  be a sequence of real numbers and set*

$$C(x) = \sum_{n \leq x} c_n, \quad x \geq 1. \tag{2.2.1}$$

*Let  $f(t)$  be a real-valued function of  $t$  which has a continuous derivative for  $t \geq 1$ . Then for  $x \geq 1$*

$$\sum_{n \leq x} c_n f(n) = C(x)f(x) - \int_1^x C(t)f'(t)dt.$$

*Proof.* This elementary lemma is well-known, see for example [9, Theorem 421, p. 346]. □

Our next lemma is a simple consequence of Lemma 2.2.1.

**Lemma 2.2.2.** *Let  $c_1, c_2, \dots$  be a sequence of real numbers and define  $C(x)$  as in (2.2.1). Let  $f(t)$  be a real-valued function of  $t$  such that*

- (a)  $f'(t)$  exists and is continuous for  $t \geq 1$ ,
- (b)  $\lim_{x \rightarrow \infty} C(x)f(x) = 0$ ,
- (c)  $\int_x^\infty C(t)f'(t)dt$  converges for  $x \geq 1$ .

Then for  $x \geq 1$

$$\sum_{n > x} c_n f(n) = -C(x)f(x) - \int_x^\infty C(t)f'(t)dt.$$

*Proof.* Let  $x$  and  $y$  be real numbers such that  $1 \leq x < y$ . In view of condition (a) we can apply Lemma 2.2.1 to obtain

$$\sum_{n \leq x} c_n f(n) = C(x)f(x) - \int_1^x C(t)f'(t)dt \tag{2.2.2}$$

and

$$\sum_{n \leq y} c_n f(n) = C(y)f(y) - \int_1^y C(t)f'(t)dt. \tag{2.2.3}$$

Subtracting (2.2.2) from (2.2.3) we deduce that

$$\sum_{x < n \leq y} c_n f(n) = C(y)f(y) - C(x)f(x) - \int_x^y C(t)f'(t)dt.$$

Letting  $y \rightarrow +\infty$ , in view of conditions (b) and (c), we obtain

$$\sum_{x < n} c_n f(n) = -C(x)f(x) - \int_x^\infty C(t)f'(t)dt$$

as asserted. □

**Lemma 2.2.3.** *Let  $\epsilon > 0$ . Then there exists a positive constant  $A(\epsilon)$  such that*

$$d(n) \leq A(\epsilon)n^\epsilon$$

*for all positive integers  $n$ .*

*Proof.* See [9, Theorem 315, p. 260]. □

**Lemma 2.2.4.**

$$\sum_{n \leq x} d(n) = x \log x + (2\gamma - 1)x + O(x^{\frac{1}{2}}),$$

where  $\gamma$  is Euler's constant.

*Proof.* See [9, Theorem 320, p. 264]. □

**Lemma 2.2.5.** *For  $\alpha > 1$*

$$\sum_{n > x} \frac{d(n)}{n^\alpha} = O_\alpha \left( \frac{\log x}{x^{\alpha-1}} \right),$$

where the constant implied by the  $O$ -symbol depends only on  $\alpha$ .

*Proof.* We choose  $c_n = d(n)$  and  $f(t) = \frac{1}{t^\alpha}$ . With this choice condition (a) of Lemma 2.2.2 is satisfied. By Lemma 2.2.4 we have

$$C(x) = \sum_{n \leq x} d(n) = x \log x + (2\gamma - 1)x + O(x^{\frac{1}{2}}). \quad (2.2.4)$$

Thus

$$\lim_{x \rightarrow \infty} C(x)f(x) = \lim_{x \rightarrow \infty} \left( \frac{\log x}{x^{\alpha-1}} + \frac{2\gamma - 1}{x^{\alpha-1}} + O\left(\frac{1}{x^{\alpha-\frac{1}{2}}}\right) \right) = 0,$$

as  $\alpha > 1$ , so that condition (b) of Lemma 2.2.2 is satisfied. By (2.2.4) we have  $C(t) = O(t \log t)$  so that

$$C(t)f'(t) = O_\alpha\left(\frac{\log t}{t^\alpha}\right).$$

As  $\int_x^\infty \frac{\log t}{t^\alpha} dt$  converges for  $\alpha > 1$ , the integral  $\int_x^\infty C(t)f'(t) dt$  also converges. Thus condition (c) of Lemma 2.2.2 is satisfied. Now

$$\int_x^\infty \frac{\log t}{t^\alpha} dt = \frac{\log x}{(\alpha - 1)x^{\alpha-1}} + \frac{1}{(\alpha - 1)^2 x^{\alpha-1}}$$

so by Lemma 2.2.2 we have

$$\sum_{n > x} \frac{d(n)}{n^\alpha} = O\left(\frac{\log x}{x^{\alpha-1}}\right) + O_\alpha\left(\int_x^\infty \frac{\log t}{t^\alpha} dt\right) = O_\alpha\left(\frac{\log x}{x^{\alpha-1}}\right),$$

as asserted. □

**Lemma 2.2.6.**

$$\sum_{n \leq x} d^2(n) = O(x \log^3 x).$$

*Proof.* See [10, Theorem 5.3, p. 111], [15, p. 175]. □

**Lemma 2.2.7.** *Let  $\alpha > 0$ . Then*

$$\sum_{n \leq x} \frac{d^2(n)}{n^\alpha} = \begin{cases} O_\alpha(x^{1-\alpha} \log^3 x), & \text{if } \alpha \neq 1, \\ O(\log^4 x), & \text{if } \alpha = 1. \end{cases}$$

*Proof.* We choose  $c_n = d^2(n)$  and  $f(t) = \frac{1}{t^\alpha}$ . By Lemma 2.2.6 we have  $C(x) = O(x \log^3 x)$ . Appealing to Lemma 2.2.1 we obtain

$$\sum_{n \leq x} \frac{d^2(n)}{n^\alpha} = O(x^{1-\alpha} \log^3 x) - \int_1^x O_\alpha\left(\frac{\log^3 t}{t^\alpha}\right) dt.$$

The asserted result follows as

$$\int_1^x O_\alpha\left(\frac{\log^3 t}{t^\alpha}\right) dt = O_\alpha\left(\int_1^x \frac{\log^3 t}{t^\alpha} dt\right) = O_\alpha\left(\log^3 x \int_1^x \frac{dt}{t^\alpha}\right)$$

and

$$\int_1^x \frac{dt}{t^\alpha} = \begin{cases} \frac{x^{1-\alpha}}{1-\alpha} - \frac{1}{1-\alpha}, & \text{if } \alpha \neq 1, \\ \log x, & \text{if } \alpha = 1. \end{cases}$$

We remark that the case  $\alpha = 1$  of Lemma 2.2.7 is a special case of [10, Theorem 5.3, p. 111].  $\square$

**2.3. Further estimates.** Throughout this section  $\Delta$  denotes a nonsquare integer such that  $\Delta \equiv 0$  or  $1 \pmod{4}$  and  $\left(\frac{\Delta}{n}\right) = (\Delta/n)$  is the Legendre-Jacobi-Kronecker symbol. We define

$$\varphi = \{n \in \mathbb{N}, n > 1 \mid n = \text{product of distinct primes } \equiv 1 \pmod{4}\}. \quad (2.3.1)$$

We emphasize that  $1 \notin \varphi$ . We write “ $n$  sqf” to indicate that  $n$  is squarefree and “ $n = \square$ ” to denote that  $n$  is a perfect square. As usual  $p$  denotes a prime.  $\phi(n)$  is Euler’s phi function and  $\mu(n)$  is the Möbius function.

For  $\alpha > 1$  we have

$$\left| \frac{2}{p^{\alpha-1}(p+1)} \right| \leq \frac{2}{p^\alpha}, \quad \left| \frac{2(\Delta/p)}{p^{\alpha-1}(p+1)} \right| \leq \frac{2}{p^\alpha},$$

so that both of the infinite series

$$\sum_{p \equiv 1 \pmod{4}} \frac{2}{p^{\alpha-1}(p+1)}, \quad \sum_{p \equiv 1 \pmod{4}} \frac{2(\Delta/p)}{p^{\alpha-1}(p+1)},$$

converge absolutely. Hence both of the infinite products

$$\prod_{p \equiv 1 \pmod{4}} \left( 1 + \frac{2}{p^{\alpha-1}(p+1)} \right), \quad \prod_{p \equiv 1 \pmod{4}} \left( 1 + \frac{2(\Delta/p)}{p^{\alpha-1}(p+1)} \right),$$

converge absolutely. We define

$$c(\alpha) = \prod_{p \equiv 1 \pmod{4}} \left( 1 + \frac{2}{p^{\alpha-1}(p+1)} \right) - 1, \quad (2.3.2)$$

and

$$c(\alpha, \Delta) = \prod_{p \equiv 1 \pmod{4}} \left( 1 + \frac{2(\Delta/p)}{p^{\alpha-1}(p+1)} \right) - 1. \quad (2.3.3)$$

We note that if  $m \in \mathbb{N}$  is not divisible by a prime  $\equiv 1 \pmod{4}$  then

$$c(\alpha, \Delta) = c(\alpha, \Delta m^2).$$

**Lemma 2.3.1.** For  $\alpha > 1$

$$(a) \quad \sum_{\substack{n=1 \\ n \in \varphi}}^{\infty} d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left( 1 - \frac{1}{p^2} \right)^{-1} = c(\alpha),$$

$$(b) \quad \sum_{\substack{n=1 \\ n \in \varphi}}^{\infty} \left( \frac{\Delta}{n} \right) d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left( 1 - \frac{1}{p^2} \right)^{-1} = c(\alpha, \Delta).$$

Before proving Lemma 2.3.1 we note that

$$0 < n^{-1} \phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} \leq 1 \quad (2.3.4)$$

for all positive integers  $n$  as

$$\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} = n \prod_{p|n} \left(1 - \frac{1}{p}\right) \left(1 - \frac{1}{p^2}\right)^{-1} = n \prod_{p|n} \left(1 + \frac{1}{p}\right)^{-1}.$$

*Proof.* We treat parts (a) and (b) together. We set

$$f(n) = \begin{cases} 1, & \text{part (a),} \\ \left(\frac{\Delta}{n}\right), & \text{part (b),} \end{cases} \quad (2.3.5)$$

so that  $f(n)$  is a multiplicative function of  $n$  satisfying  $|f(n)| \leq 1$  for all  $n$ . As  $\alpha > 1$  we can choose  $\epsilon$  so that  $\alpha - 1 > \epsilon > 0$ . Set  $\beta = \alpha - \epsilon$  so that  $\beta > 1$ . By Lemma 2.2.3 we have  $d(n) \leq A(\epsilon)n^\epsilon$ . Then

$$\left| f(n)d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} \right| \leq \frac{A(\epsilon)}{n^\beta},$$

so that the infinite series

$$\sum_{\substack{n=1 \\ n \in \wp}}^{\infty} f(n)d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1}$$

converges absolutely. As

$$f(n)d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1}$$

is a multiplicative function of  $n$ , we have as  $1 \notin \wp$ ,

$$\begin{aligned} & \sum_{\substack{n=1 \\ n \in \wp}}^{\infty} f(n)d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} \\ &= \prod_{p \equiv 1 \pmod{4}} \left(1 + f(p)d(p)p^{-\alpha-1}\phi(p) \left(1 - \frac{1}{p^2}\right)^{-1}\right) - 1 \\ &= \prod_{p \equiv 1 \pmod{4}} \left(1 + \frac{2f(p)}{p^{\alpha-1}(p+1)}\right) - 1 \\ &= \begin{cases} c(\alpha), & \text{part (a),} \\ c(\alpha, \Delta), & \text{part (b),} \end{cases} \end{aligned}$$

as asserted. □

**Lemma 2.3.2.** For  $\alpha > 1$

- (a) 
$$\sum_{\substack{n > x \\ n \in \wp}} d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} = O_\alpha\left(\frac{\log x}{x^{\alpha-1}}\right),$$
- (b) 
$$\sum_{\substack{n > x \\ n \in \wp}} \left(\frac{\Delta}{n}\right) d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} = O_\alpha\left(\frac{\log x}{x^{\alpha-1}}\right).$$

*Proof.* We define  $f(n)$  as in (2.3.5). By (2.3.4) and Lemma 2.2.5 we have

$$\left| \sum_{\substack{n > x \\ n \in \wp}} f(n)d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} \right| \leq \sum_{n > x} \frac{d(n)}{n^\alpha} = O_\alpha\left(\frac{\log x}{x^{\alpha-1}}\right).$$

**Lemma 2.3.3.** For  $\alpha > 1$

- (a) 
$$\sum_{\substack{n \leq x \\ n \in \wp}} d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} = c(\alpha) + O_\alpha\left(\frac{\log x}{x^{\alpha-1}}\right),$$
- (b) 
$$\sum_{\substack{n \leq x \\ n \in \wp}} \left(\frac{\Delta}{n}\right) d(n)n^{-\alpha-1}\phi(n) \prod_{p|n} \left(1 - \frac{1}{p^2}\right)^{-1} = c(\alpha, \Delta) + O_\alpha\left(\frac{\log x}{x^{\alpha-1}}\right).$$

*Proof.* This follows immediately from Lemmas 2.3.1 and 2.3.2.  $\square$

**Lemma 2.3.4.** Let  $m$  be a positive integer. Then

- (a) 
$$\sum_{\substack{n \leq x \\ n \text{ sqf} \\ (n,m)=1}} 1 = \frac{6}{\pi^2} x \frac{\phi(m)}{m} \prod_{p|m} \left(1 - \frac{1}{p^2}\right)^{-1} + O(x^{\frac{1}{2}}d(m)),$$
- (b) 
$$\sum_{\substack{n \leq x \\ n \text{ sqf} \\ (n,m)=1}} \left(\frac{\Delta}{n}\right) = O(|\Delta|d(m)x^{\frac{1}{2}}).$$

We will use the following two well-known results

$$\sum_{d|n} \mu(d) = \begin{cases} 1, & \text{if } n = 1, \\ 0, & \text{if } n > 1, \end{cases} \quad (2.3.6)$$

and

$$\sum_{d^2|n} \mu(d) = \begin{cases} 1, & \text{if } n \text{ is squarefree,} \\ 0, & \text{otherwise.} \end{cases} \quad (2.3.7)$$

*Proof.* We have by (2.3.7) and (2.3.6)

$$\begin{aligned}
\sum_{\substack{n \leq x \\ n \text{ sqf} \\ (n,m)=1}} 1 &= \sum_{\substack{n \leq x \\ (n,m)=1}} \sum_{d^2|n} \mu(d) \\
&= \sum_{\substack{ad^2 \leq x \\ (ad^2,m)=1}} \mu(d) \\
&= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \mu(d) \sum_{\substack{a \leq d^{-2}x \\ (a,m)=1}} 1 \\
&= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \mu(d) \sum_{a \leq d^{-2}x} \sum_{e|(a,m)} \mu(e) \\
&= \sum_{\substack{d \leq x^{1/2} \\ (d,m)=1}} \mu(d) \sum_{e|m} \mu(e) \sum_{\substack{a \leq d^{-2}x \\ e|a}} 1 \\
&= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \mu(d) \sum_{e|m} \mu(e) \sum_{b \leq d^{-2}e^{-1}x} 1 \\
&= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \mu(d) \sum_{e|m} \mu(e) \left[ \frac{x}{d^2 e} \right] \\
&= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \mu(d) \sum_{e|m} \mu(e) \left( \frac{x}{d^2 e} + O(1) \right) \\
&= x \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \frac{\mu(d)}{d^2} \sum_{e|m} \frac{\mu(e)}{e} + O(x^{\frac{1}{2}} d(m)) \\
&= x \frac{\phi(m)}{m} \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \frac{\mu(d)}{d^2} + O(x^{\frac{1}{2}} d(m)).
\end{aligned}$$

Now

$$\begin{aligned}
\sum_{\substack{d \leq x^{1/2} \\ (d,m)=1}} \frac{\mu(d)}{d^2} &= \sum_{\substack{d=1 \\ (d,m)=1}}^{\infty} \frac{\mu(d)}{d^2} - \sum_{\substack{d > x^{1/2} \\ (d,m)=1}} \frac{\mu(d)}{d^2} \\
&= \prod_{p \nmid m} \left( 1 - \frac{1}{p^2} \right) + O\left( \frac{1}{x^{\frac{1}{2}}} \right) \\
&= \frac{6}{\pi^2} \prod_{p|m} \left( 1 - \frac{1}{p^2} \right)^{-1} + O\left( \frac{1}{x^{\frac{1}{2}}} \right).
\end{aligned}$$

Finally

$$\begin{aligned} \sum_{\substack{n \leq x \\ n \text{ sqf} \\ (n,m)=1}} 1 &= x \frac{\phi(m)}{m} \left( \frac{6}{\pi^2} \prod_{p|n} \left( 1 - \frac{1}{p^2} \right)^{-1} + O\left(\frac{1}{x^{\frac{1}{2}}}\right) \right) + O(x^{\frac{1}{2}}d(m)) \\ &= \frac{6}{\pi^2} x \frac{\phi(m)}{m} \prod_{p|m} \left( 1 - \frac{1}{p^2} \right)^{-1} + O(x^{\frac{1}{2}}d(m)). \end{aligned}$$

(b) By (2.3.7) we have

$$\begin{aligned} \sum_{\substack{n \leq x \\ n \text{ sqf} \\ (n,m)=1}} \left( \frac{\Delta}{n} \right) &= \sum_{\substack{n \leq x \\ (n,m)=1}} \left( \frac{\Delta}{n} \right) \sum_{d^2|n} \mu(d) \\ &= \sum_{\substack{ad^2 \leq x \\ (ad^2,m)=1}} \left( \frac{\Delta}{ad^2} \right) \mu(d) \\ &= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,m)=1}} \mu(d) \left( \frac{\Delta}{d^2} \right) \sum_{\substack{a \leq x/d^2 \\ (a,m)=1}} \left( \frac{\Delta}{a} \right) \\ &= \sum_{\substack{d \leq x^{\frac{1}{2}} \\ (d,\Delta m)=1}} \mu(d) \sum_{\substack{a \leq x/d^2 \\ (a,m)=1}} \left( \frac{\Delta}{a} \right). \end{aligned}$$

Next, by (2.3.6), we have

$$\begin{aligned} \sum_{\substack{a \leq x/d^2 \\ (a,m)=1}} \left( \frac{\Delta}{a} \right) &= \sum_{a \leq x/d^2} \left( \frac{\Delta}{a} \right) \sum_{e|(a,m)} \mu(e) \\ &= \sum_{e|m} \mu(e) \sum_{\substack{a \leq x/d^2 \\ e|a}} \left( \frac{\Delta}{a} \right) \\ &= \sum_{e|m} \mu(e) \left( \frac{\Delta}{e} \right) \sum_{b \leq d^{-2}e^{-1}x} \left( \frac{\Delta}{b} \right). \end{aligned}$$

Now, as  $\left(\frac{\Delta}{e}\right)$  is a character mod  $|\Delta|$  ([10, Theorem 3.2, p. 305]), we have ([10, Theorem 2.3, p. 155])

$$\left| \sum_{b \leq d^{-2}e^{-1}x} \left( \frac{\Delta}{b} \right) \right| \leq |\Delta|,$$

so that

$$\left| \sum_{\substack{a \leq d^{-2}x \\ (a,m)=1}} \left( \frac{\Delta}{a} \right) \right| \leq |\Delta|d(m)$$

and

$$\left| \sum_{\substack{n \leq x \\ n \text{ sqf} \\ (n,m)=1}} \left( \frac{\Delta}{n} \right) \right| \leq |\Delta|d(m)x^{\frac{1}{2}}.$$

This completes the proof of Lemma 2.3.4.  $\square$

**Lemma 2.3.5.** *Let  $m$  be a positive integer with the following property:*

$$\text{if } p \mid m \text{ then } p \not\equiv 1 \pmod{4}. \quad (2.3.8)$$

Let  $\alpha > 1$  and set

$$\beta = \begin{cases} 3, & \text{if } \alpha \neq 2, \\ 4, & \text{if } \alpha = 2. \end{cases}$$

Then

$$\begin{aligned} (a) \quad \sum_{\substack{D \leq y \\ D \in \wp}} d(D) \sum_{\substack{A \leq zD^{-\alpha} \\ A \text{ sqf} \\ (A,mD)=1}} 1 &= \frac{6}{\pi^2} c(\alpha) z \frac{\phi(m)}{m} \prod_{p \mid m} \left( 1 - \frac{1}{p^2} \right)^{-1} \\ &\quad + O_{\alpha} \left( \frac{z \log y}{y^{\alpha-1}} \right) + O_{\alpha} \left( d(m) z^{\frac{1}{2}} y^{1-\frac{\alpha}{2}} \log^{\beta} y \right), \\ (b) \quad \sum_{\substack{D \leq y \\ D \in \wp}} \left( \frac{\Delta}{D} \right) d(D) \sum_{\substack{A \leq zD^{-\alpha} \\ A \text{ sqf} \\ (A,mD)=1}} 1 &= \frac{6}{\pi^2} c(\alpha, \Delta) z \frac{\phi(m)}{m} \prod_{p \mid m} \left( 1 - \frac{1}{p^2} \right)^{-1} \\ &\quad + O_{\alpha} \left( \frac{z \log y}{y^{\alpha-1}} \right) + O_{\alpha} \left( d(m) z^{\frac{1}{2}} y^{1-\frac{\alpha}{2}} \log^{\beta} y \right). \end{aligned}$$

*Proof.* By (2.3.8) we see that

$$(m, D) = 1 \text{ for all } D \in \wp$$

so that

$$d(mD) = d(m)d(D) \text{ for all } D \in \wp.$$

Thus, for  $D \in \varphi$ , we have by Lemma 2.3.4 (a)

$$\sum_{\substack{A \leq zD^{-\alpha} \\ A \text{ sqf} \\ (A,mD)=1}} 1 = \frac{6}{\pi^2} z \frac{\phi(m)}{m} \prod_{p|m} \left(1 - \frac{1}{p^2}\right)^{-1} D^{-\alpha-1} \phi(D) \prod_{p|D} \left(1 - \frac{1}{p^2}\right)^{-1} \\ + O\left(z^{\frac{1}{2}} D^{-\frac{\alpha}{2}} d(m) d(D)\right).$$

(a) Hence

$$\sum_{\substack{D \leq y \\ D \in \varphi}} d(D) \sum_{\substack{A \leq zD^{-\alpha} \\ A \text{ sqf} \\ (A,mD)=1}} 1 \\ = \frac{6}{\pi^2} z \frac{\phi(m)}{m} \prod_{p|m} \left(1 - \frac{1}{p^2}\right)^{-1} \sum_{\substack{D \leq y \\ D \in \varphi}} d(D) D^{-\alpha-1} \phi(D) \prod_{p|D} \left(1 - \frac{1}{p^2}\right)^{-1} \\ + O\left(z^{\frac{1}{2}} d(m) \sum_{D \leq y} \frac{d^2(D)}{D^{\frac{\alpha}{2}}}\right).$$

Appealing to Lemma 2.3.3 (a), Lemma 2.2.7 and (2.3.4), we obtain

$$\sum_{\substack{D \leq y \\ D \in \varphi}} d(D) \sum_{\substack{A \leq zD^{-\alpha} \\ A \text{ sqf} \\ (A,mD)=1}} 1 = \frac{6}{\pi^2} z \frac{\phi(m)}{m} \prod_{p|m} \left(1 - \frac{1}{p^2}\right)^{-1} \left(c(\alpha) + O_{\alpha}\left(\frac{\log y}{y^{\alpha-1}}\right)\right) \\ + O_{\alpha}\left(z^{\frac{1}{2}} d(m) y^{1-\frac{\alpha}{2}} \log^{\beta} y\right) \\ = \frac{6}{\pi^2} c(\alpha) z \frac{\phi(m)}{m} \prod_{p|m} \left(1 - \frac{1}{p^2}\right)^{-1} + O_{\alpha}\left(\frac{z \log y}{y^{\alpha-1}}\right) \\ + O_{\alpha}\left(d(m) z^{\frac{1}{2}} y^{1-\frac{\alpha}{2}} \log^{\beta} y\right).$$

(b) The proof is exactly the same as for part (a) except that Lemma 2.3.3 (b) is used in place of Lemma 2.3.3 (a).  $\square$

**Lemma 2.3.6.** *Let  $r$  and  $s$  be real numbers. Let  $\Delta, \Delta'$  be nonsquare integers such that  $\Delta\Delta'$  is nonsquare and  $\Delta, \Delta' \equiv 0, 1 \pmod{4}$ . Then*

$$(a) \quad \sum_{\substack{D \leq 2^{-r} x^{\frac{1}{3}} \\ D \in \varphi}} d(D) \left(1 \pm \left(\frac{\Delta}{D}\right)\right) \sum_{\substack{1 \leq A \leq 2^{-s} x^{\frac{1}{2}} D^{\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} 1 \\ = \frac{4}{2^s \pi^2} (c(3/2) \pm c(3/2, \Delta)) x^{\frac{1}{2}} + O_{r,s}(x^{\frac{1}{3}} \log^3 x).$$

$$(b) \sum_{\substack{D \leq 2^{-r}x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left(1 \pm \left(\frac{\Delta}{D}\right)\right) \sum_{\substack{1 \leq A \leq 2^{-s}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\ = \frac{3}{2^s\pi^2} (c(3/2) \pm c(3/2, \Delta))x^{\frac{1}{2}} + O_{r,s}(x^{\frac{1}{3}} \log^3 x).$$

$$(c) \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left(1 + \left(\frac{\Delta}{D}\right)\right) \left(1 + \left(\frac{\Delta'}{D}\right)\right) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\ = \frac{3}{\pi^2} (c(3/2) + c(3/2, \Delta) + c(3/2, \Delta') + c(3/2, \Delta\Delta'))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x).$$

*Proof.* (a) Taking

$$y = 2^{-r}x^{\frac{1}{3}}, \quad z = 2^{-s}x^{\frac{1}{2}}, \quad \alpha = 3/2 \text{ (so that } \beta = 3), \quad m = 2,$$

in Lemma 2.3.5 (a) (b), we obtain

$$\sum_{\substack{D \leq 2^{-r}x^{\frac{1}{3}} \\ D \in \wp}} d(D) \sum_{\substack{1 \leq A \leq 2^{-s}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} 1 = \frac{4}{2^s\pi^2} c(3/2)x^{\frac{1}{2}} + O_{r,s}(x^{\frac{1}{3}} \log^3 x)$$

and

$$\sum_{\substack{D \leq 2^{-r}x^{\frac{1}{3}} \\ D \in \wp}} \left(\frac{\Delta}{D}\right) d(D) \sum_{\substack{1 \leq A \leq 2^{-s}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} 1 = \frac{4}{2^s\pi^2} c(3/2, \Delta)x^{\frac{1}{2}} + O_{r,s}(x^{\frac{1}{3}} \log^3 x).$$

Adding and subtracting these equations, we obtain the asserted result.

(b) Taking

$$y = 2^{-r}x^{\frac{1}{3}}, \quad z = 2^{-s}x^{\frac{1}{2}}, \quad \alpha = 3/2, \quad m = 6,$$

in Lemma 2.3.5 (a) (b), we obtain in a similar manner the result of part (b).

(c) Taking

$$y = x^{\frac{1}{3}}, \quad z = x^{\frac{1}{2}}, \quad \alpha = 3/2, \quad m = 6,$$

in Lemma 2.3.5 (a) and in Lemma 2.3.5 (b) for  $\Delta$ ,  $\Delta'$  and  $\Delta\Delta'$  and adding the resulting equations, we obtain the result of part (c).  $\square$

**Lemma 2.3.7.** *Let  $r$  and  $s$  be real numbers. Let  $m$  be a positive integer and let  $\Delta$  be a nonsquare integer  $\equiv 0$  or  $1 \pmod{4}$ . Then*

$$\sum_{D \leq 2^{-r}x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq 2^{-s}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,mD)=1}} \left(\frac{\Delta}{A}\right) \right| = O_{\Delta,m,r,s}(x^{\frac{1}{3}} \log^3 x).$$

*Proof.* By Lemma 2.3.4 (b) we have

$$\sum_{\substack{1 \leq A \leq 2^{-s}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,mD)=1}} \left(\frac{\Delta}{A}\right) = O(|\Delta|d(mD)2^{-\frac{s}{2}}x^{\frac{1}{4}}D^{-\frac{3}{4}}) = O_{\Delta,m,s}(x^{\frac{1}{4}}d(D)D^{-\frac{3}{4}}),$$

as  $d(mD) \leq d(m)d(D)$  ([10, Theorem 5.1, p. 111]). Then, appealing to Lemma 2.2.7 with  $\alpha = \frac{3}{4}$ , we obtain

$$\begin{aligned} \sum_{D \leq 2^{-r}x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq 2^{-s}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,mD)=1}} \left(\frac{\Delta}{A}\right) \right| &= O_{\Delta,m,s} \left( x^{\frac{1}{3}} \sum_{D \leq 2^{-r}x^{\frac{1}{3}}} \frac{d^2(D)}{D^{\frac{3}{4}}} \right) \\ &= O_{\Delta,m,r,s}(x^{\frac{1}{3}} \log^3 x), \end{aligned}$$

as asserted. □

**2.4. Representations by binary quadratic forms.** A binary quadratic form is an expression of the type  $ax^2 + bxy + cy^2$ , where  $a, b, c$  are real numbers. We write  $(a, b, c)$  for  $ax^2 + bxy + cy^2$ . The discriminant  $d$  of  $(a, b, c)$  is the real number  $d = b^2 - 4ac$ . The binary quadratic form  $(a, b, c)$  is said to be integral if  $a, b, c$  are integers in which case  $d$  is an integer  $\equiv 0$  or  $1 \pmod{4}$ . An integral binary quadratic form is said to be primitive if  $\gcd(a, b, c) = 1$ . A binary quadratic form is said to be positive-definite if it only assumes positive values for  $(x, y) (\neq (0, 0)) \in \mathbb{R}^2$ , equivalently  $a > 0$  and  $d < 0$ . By a form we shall mean a positive-definite primitive integral binary quadratic form. Two forms  $(a, b, c)$  and  $(A, B, C)$  are said to be equivalent if there exist  $p, q, r, s \in \mathbb{Z}$  with  $ps - qr = 1$  such that

$$ax^2 + bxy + cy^2 = A(px + qy)^2 + B(px + qy)(rx + sy) + C(rx + sy)^2.$$

Equivalent forms have the same discriminant. The positive integer  $n$  is said to be represented by the form  $(a, b, c)$  if there exist  $x, y \in \mathbb{Z}$  such that  $n = ax^2 + bxy + cy^2$ . Equivalent forms represent the same positive integers. A form  $(a, b, c)$  is said to be reduced [1, p. 68] if

$$-a < b \leq a \leq c \text{ with } b \geq 0 \text{ if } a = c.$$

A classical result of Gauss asserts that every form is equivalent to one and only one reduced form [1, p. 71]. We denote the finite set of reduced forms of discriminant  $d$  by  $H(d)$ . We also set

$$N_{(a,b,c)}(n) = \text{number of } (x, y) \in \mathbb{Z}^2 \text{ such that } n = ax^2 + bxy + cy^2.$$

Dirichlet has evaluated the sum

$$\sum_{(a,b,c) \in H(d)} N_{(a,b,c)}(n).$$

**Theorem 2.4.1.** (Dirichlet) *If  $(n, d) = 1$  then*

$$\sum_{(a,b,c) \in H(d)} N_{(a,b,c)}(n) = w(d) \sum_{k|n} \left( \frac{d}{k} \right),$$

where

$$w(d) = \begin{cases} 6, & \text{if } d = -3, \\ 4, & \text{if } d = -4, \\ 2, & \text{if } d < -4. \end{cases}$$

*Proof.* A proof of Dirichlet's theorem can be found for example in [1, Theorem 64, p. 78], [10, Theorem 4.1, p. 307], see also [12].  $\square$

We now derive from Theorem 2.4.1 the results that we shall need for discriminants  $-36$ ,  $-64$ ,  $-144$ ,  $-256$ ,  $-576$  and  $-2304$ . As the proofs are very similar we just prove the results for discriminants  $-36$  and  $-2304$ .

**Lemma 2.4.2.** *Let  $D$  be a positive integer such that  $D \in \wp$  and  $D \equiv 2 \pmod{3}$ . Then*

$$N_{(1,0,9)}(2D) = N_{(2,2,5)}(D) = 2d(D).$$

**Lemma 2.4.3.** *Let  $D$  be a positive integer such that  $D \in \wp$  and  $D \equiv 1 \pmod{8}$ . Then*

$$N_{(1,0,16)}(D) = 2d(D).$$

**Lemma 2.4.4.** *Let  $D$  be a positive integer such that  $D \in \wp$  and  $D \equiv 1 \pmod{3}$ . Then*

$$N_{(1,0,36)}(D) + N_{(4,0,9)}(D) = 2d(D).$$

**Lemma 2.4.5.** *Let  $D$  be a positive integer such that  $D \in \wp$  and  $D \equiv 1 \pmod{8}$ . Then*

$$N_{(1,0,64)}(D) + N_{(4,4,17)}(D) = 2d(D).$$

**Lemma 2.4.6.** *Let  $D$  be a positive integer such that  $D \in \wp$  and  $D \equiv 1 \pmod{3}$ . Then*

$$N_{(1,0,144)}(D) + N_{(4,4,37)}(D) + N_{(9,0,16)}(D) + N_{(13,10,13)}(D) = 2d(D).$$

*If in addition  $D \equiv 1 \pmod{8}$  then*

$$N_{(1,0,144)}(D) + N_{(9,0,16)}(D) = 2d(D).$$

**Lemma 2.4.7.** *Let  $D$  be a positive integer such that  $D \in \wp$  and  $D \equiv 1 \pmod{24}$ . Then*

$$N_{(1,0,576)}(D) + N_{(4,4,145)}(D) + N_{(9,0,64)}(D) + N_{(25,14,25)}(D) = 2d(D).$$

*Proof of Lemma 2.4.2.* Let

$$A = \{(x, y) \in \mathbb{Z}^2 \mid 2D = x^2 + 9y^2\}$$

and

$$B = \{(x, y) \in \mathbb{Z}^2 \mid D = 2x^2 + 2xy + 5y^2\}.$$

The mapping  $f : A \rightarrow B$  given by

$$f((x, y)) = \left( \frac{x-y}{2}, y \right)$$

is a bijection. Hence

$$N_{(1,0,9)}(2D) = N_{(2,2,5)}(D).$$

The set of reduced forms of discriminant  $-36$  is

$$H(-36) = \{(1, 0, 9), (2, 2, 5)\}.$$

Let  $n$  be a positive integer with  $(n, -36) = 1$ . Then, by Theorem 2.4.1, we have

$$N_{(1,0,9)}(n) + N_{(2,2,5)}(n) = 2 \sum_{k|n} \left( \frac{-36}{k} \right).$$

Now

$$x^2 + 9y^2 \equiv x^2 \equiv 0 \text{ or } 1 \pmod{3}$$

so that the form  $(1, 0, 9)$  does not represent integers  $\equiv 2 \pmod{3}$ . Hence

$$N_{(1,0,9)}(D) = 0$$

and so

$$N_{(2,2,5)}(D) = 2 \sum_{k|D} \left( \frac{-36}{k} \right).$$

Now  $D$  is a product of distinct primes  $\equiv 1 \pmod{4}$  so that

$$\sum_{k|D} \left( \frac{-36}{k} \right) = \sum_{k|D} \left( \frac{-2^2 3^2}{k} \right) = \sum_{k|D} \left( \frac{-1}{k} \right) = \sum_{k|D} 1 = d(D).$$

Hence

$$N_{(2,2,5)}(D) = 2d(D),$$

as asserted. □

*Proof of Lemma 2.4.7.* The set of reduced forms of discriminant  $-2304$  is

$$\begin{aligned} H(-2304) = \{ & (1, 0, 576), (4, 4, 145), (5, 4, 116), (5, -4, 116), \\ & (9, 0, 64), (9, 6, 65), (9, -6, 65), (13, 6, 45), \\ & (13, -6, 45), (16, 8, 37), (16, -8, 37), (17, 12, 36), \\ & (17, -12, 36), (20, 4, 29), (20, -4, 29), (25, 14, 25)\}. \end{aligned}$$

Let  $n$  be a positive integer satisfying  $(n, -2304) = 1$ . Then, by Theorem 2.4.1, we obtain

$$N_{(1,0,576)}(n) + N_{(4,4,145)}(n) + \cdots + N_{(25,14,25)}(n) = 2 \sum_{k|n} \left( \frac{-2304}{k} \right).$$

Clearly

$$9x^2 \pm 6xy + 65y^2 \equiv 0, 2 \pmod{3},$$

$$17x^2 \pm 12xy + 36y^2 \equiv 0, 2 \pmod{3},$$

$$5x^2 \pm 4xy + 116y^2 \equiv \frac{1}{5}((5x \pm 2y)^2 + 576y^2) \equiv 0, 2 \pmod{3},$$

$$20x^2 \pm 4xy + 29y^2 \equiv \frac{1}{5}((10x \pm y)^2 + 144y^2) \equiv 0, 2 \pmod{3},$$

so that none of these forms represents an integer  $\equiv 1 \pmod{3}$ . Hence

$$N_{(9, \pm 6, 65)}(D) = N_{(17, \pm 12, 36)}(D) = N_{(5, \pm 4, 116)}(D) = N_{(20, \pm 4, 29)}(D) = 0.$$

Also

$$16x^2 \pm 8xy + 37y^2 \equiv 0, 4, 5 \pmod{8},$$

$$13x^2 \pm 6xy + 45y^2 \equiv \frac{1}{5}((x \pm 15y)^2 + 64x^2) \equiv 0, 4, 5 \pmod{8},$$

so that none of these forms represents an integer  $\equiv 1 \pmod{8}$ . Hence

$$N_{(16, \pm 8, 37)}(D) = N_{(13, \pm 6, 45)}(D) = 0.$$

Thus

$$N_{(1, 0, 576)}(D) + N_{(4, 4, 145)}(D) + N_{(9, 0, 64)}(D) + N_{(25, 14, 25)}(D) = 2 \sum_{k|D} \left( \frac{-2304}{k} \right).$$

As  $D$  is a product of distinct primes  $\equiv 1 \pmod{4}$ , we have

$$\sum_{k|D} \left( \frac{-2304}{k} \right) = \sum_{k|D} \left( \frac{-2^8 3^2}{k} \right) = \sum_{k|D} \left( \frac{-1}{k} \right) = \sum_{k|D} 1 = d(D).$$

Finally

$$N_{(1, 0, 576)}(D) + N_{(4, 4, 145)}(D) + N_{(9, 0, 64)}(D) + N_{(25, 14, 25)}(D) = 2d(D),$$

as asserted.  $\square$

**2.5. Number of cyclic quartic fields with discriminant  $\leq x$  and index divisible by 2, 3, 4, 6 or 12.** Let  $a$  and  $b$  be integers with  $0 \leq a < b$ . We define for  $x > 0$

$$N(x, a, b) = \text{number of cyclic quartic fields } K \text{ with} \\ d(K) \leq x \text{ and } i(K) \equiv a \pmod{b}. \quad (2.5.1)$$

First we determine an asymptotic formula for  $N(x, 0, 2)$  valid for large  $x$ .

**Theorem 2.5.1.**

$$N(x, 0, 2) = \frac{1}{\pi^2} (c(3/2) + c(3/2, 8)) x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x),$$

where  $c(\alpha)$  and  $c(\alpha, \Delta)$  are defined in (2.3.2) and (2.3.3) respectively.

*Proof.* By (1.2.1)–(1.2.6) and Theorem 1.3.2 we have

$N(x, 0, 2) =$  number of  $(A, B, D) \in \mathbb{Z} \times \mathbb{N} \times \mathbb{N}$  such that

$$\begin{aligned} A^2 D^3 &\leq x \\ A &\equiv 1 \pmod{4}, \quad B \equiv 0 \pmod{4} \\ A &\text{ sqf} \\ B &\geq 1, \quad D \geq 2, \quad D \text{ sqf} \\ D - B^2 &= \square \\ (A, D) &= 1 \end{aligned}$$

so that

$$N(x, 0, 2) = \sum_{\substack{2 \leq D \leq x^{\frac{1}{3}} \\ D \text{ sqf}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \equiv 1 \pmod{4} \\ A \text{ sqf} \\ (A, D) = 1}} \sum_{\substack{B > 0 \\ B \equiv 0 \pmod{4} \\ D - B^2 = \square}} 1.$$

As  $D \geq 2$  is squarefree and  $D = B^2 + C^2$  with  $B \equiv 0 \pmod{4}$  and  $C$  odd, we see that  $D \in \varphi$  and  $D \equiv 1 \pmod{8}$  so that

$$N(x, 0, 2) = \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \equiv 1 \pmod{4} \\ A \text{ sqf} \\ (A, D) = 1}} \sum_{\substack{B > 0 \\ B \equiv 0 \pmod{4} \\ D - B^2 = \square}} 1.$$

Next

$$\begin{aligned} \sum_{\substack{B > 0 \\ B \equiv 0 \pmod{4} \\ D - B^2 = \square}} 1 &= \sum_{\substack{B > 0 \\ D - 16B^2 = \square}} 1 = \sum_{\substack{B < 0 \\ D - 16B^2 = \square}} 1 \\ &= \frac{1}{2} \sum_{\substack{B \neq 0 \\ D - 16B^2 = \square}} 1 = \frac{1}{2} \sum_{\substack{B \\ D - 16B^2 = \square}} 1 \\ &= \frac{1}{4} \sum_{\substack{B, C \\ D - 16B^2 = C^2}} 1 = \frac{1}{4} N_{(1,0,16)}(D) \\ &= \frac{1}{2} d(D), \end{aligned}$$

by Lemma 2.4.2. Thus

$$N(x, 0, 2) = \frac{1}{2} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} d(D) \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \equiv 1 \pmod{4} \\ A \text{ sqf} \\ (A, D) = 1}} 1.$$

For  $A$  odd, exactly one of  $A$  and  $-A$  is  $\equiv 1 \pmod{4}$ , so that

$$N(x, 0, 2) = \frac{1}{2} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{8}}} d(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1}} 1.$$

For  $D \in \varnothing$  we have  $D \equiv 1 \pmod{4}$  and

$$\left(\frac{8}{D}\right) = \left(\frac{2}{D}\right) = \begin{cases} 1, & \text{if } D \equiv 1 \pmod{8}, \\ -1, & \text{if } D \equiv 5 \pmod{8}, \end{cases}$$

so that

$$N(x, 0, 2) = \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing}} d(D) \left(1 + \left(\frac{8}{D}\right)\right) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1}} 1.$$

Appealing to Lemma 2.3.6 (a) (with  $r = 0$ ,  $s = 0$  and  $\Delta = 8$ ), we obtain

$$N(x, 0, 2) = \frac{1}{\pi^2} (c(3/2) + c(3/2, 8)) x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x),$$

as asserted. □

Next we determine an asymptotic formula for  $N(x, 0, 3)$ .

**Theorem 2.5.2.**

$$N(x, 0, 3) = \frac{3}{128\pi^2} ((24 + \sqrt{2})c(3/2) + (24 - \sqrt{2})c(3/2, -3)) x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x).$$

*Proof.* By (1.2.1)–(1.2.6) and Theorem 1.3.9 we have

$$N(x, 0, 3) = \text{number of } (A, B, D) \in \mathbb{Z} \times \mathbb{N} \times \mathbb{N} \text{ such that}$$

$$2^\alpha A^2 D^3 \leq x \text{ with } \alpha \text{ given by (1.2.6),}$$

$$A \text{ sqf and odd,}$$

$$B \geq 1, D \geq 2, D \text{ sqf,}$$

$$D - B^2 = \square,$$

$$(A, D) = 1,$$

$$A \equiv 1 \pmod{3}, B \equiv 0 \pmod{3}, \text{ or}$$

$$A \equiv 2 \pmod{3}, D - B^2 \equiv 0 \pmod{9}.$$

We observe that  $D = 2$  does not contribute to  $N(x, 0, 3)$ . Taking the four possibilities for  $\alpha$  ( $= 0, 4, 6, 8$ ) together with the two possibilities  $A \equiv 1 \pmod{3}$ ,  $B \equiv 0 \pmod{3}$  and  $A \equiv 2 \pmod{3}$ ,  $D - B^2 \equiv 0 \pmod{9}$ , we can express  $N(x, 0, 3)$  as the sum of eight subsums as follows:

$$N(x, 0, 3) = \sum_{i=1}^8 S_i,$$

where

$$\begin{aligned}
S_1 &= \sum_{\substack{2 \leq D \leq x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 1 \pmod{3}}} \sum_{\substack{B > 0 \\ D-B^2=\square \\ B \equiv 0 \pmod{2} \\ B \equiv 0 \pmod{3} \\ B \equiv 1-A \pmod{4}}} 1, \\
S_2 &= \sum_{\substack{2 \leq D \leq x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 2 \pmod{3}}} \sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{9} \\ B \equiv 0 \pmod{2} \\ B \equiv 1-A \pmod{4}}} 1, \\
S_3 &= \sum_{\substack{2 \leq D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq 2^{-2} x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 1 \pmod{3}}} \sum_{\substack{B > 0 \\ D-B^2=\square \\ B \equiv 0 \pmod{2} \\ B \equiv 0 \pmod{3} \\ B \equiv 3-A \pmod{4}}} 1, \\
S_4 &= \sum_{\substack{2 \leq D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq 2^{-2} x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 2 \pmod{3}}} \sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{9} \\ B \equiv 0 \pmod{2} \\ B \equiv 3-A \pmod{4}}} 1, \\
S_5 &= \sum_{\substack{2 \leq D \leq 2^{-2} x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq 2^{-3} x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 1 \pmod{3}}} \sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{4} \\ B \equiv 1 \pmod{2} \\ B \equiv 0 \pmod{3}}} 1, \\
S_6 &= \sum_{\substack{2 \leq D \leq 2^{-2} x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq 2^{-3} x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 2 \pmod{3}}} \sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{36} \\ B \equiv 1 \pmod{2}}} 1, \\
S_7 &= \sum_{\substack{2 \leq D \leq 2^{-\frac{11}{3}} x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq 2^{-\frac{11}{2}} x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 1 \pmod{3}}} \sum_{\substack{B > 0 \\ 2D-B^2=\square \\ B \equiv 0 \pmod{3}}} 1, \\
S_8 &= \sum_{\substack{2 \leq D \leq 2^{-\frac{11}{3}} x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{2}}} \sum_{\substack{|A| \leq 2^{-\frac{11}{2}} x^{\frac{1}{3}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D)=1 \\ A \equiv 2 \pmod{3}}} \sum_{\substack{B > 0 \\ 2D-B^2=\square \equiv 0 \pmod{9}}} 1,
\end{aligned}$$

where in the sums  $S_7$  and  $S_8$  we replaced  $D$  by  $2D$ .

Next we examine the inner sums over  $B$  for each of  $S_1, \dots, S_8$ . First we consider the inner sum for  $S_1$ .

$$\sum_{\substack{B > 0 \\ D-B^2 = \square \\ B \equiv 0 \pmod{2} \\ B \equiv 0 \pmod{3} \\ B \equiv 1-A \pmod{4}}} 1 = \frac{1}{4} \sum_{\substack{B, C \\ D=C^2+36B^2 \\ 6B \equiv 1-A \pmod{4}}} 1$$

$$= \begin{cases} \frac{1}{4} \sum_{\substack{B, C \\ D=C^2+144B^2}} 1, & A \equiv 1 \pmod{4}, \\ \frac{1}{4} \sum_{\substack{B, C \\ D=C^2+36B^2 \\ B \equiv 1 \pmod{2}}} 1, & A \equiv 3 \pmod{4}. \end{cases}$$

For the sum  $S_1$  we have  $D \equiv 1 \pmod{2}$  so that  $C \equiv 1 \pmod{2}$ . Thus, in the case  $A \equiv 3 \pmod{4}$ , we have  $B \equiv C \equiv 1 \pmod{2}$ , so that there exists an integer  $X$  such that  $C = B + 2X$ . Then

$$\sum_{\substack{B, C \\ D=C^2+36B^2 \\ B \equiv 1 \pmod{2}}} 1 = \sum_{\substack{B, X \\ D=4X^2+4XB+37B^2}} 1 = N_{(4, 4, 37)}(D).$$

Hence

$$\sum_{\substack{B > 0 \\ D-B^2 = \square \\ B \equiv 0 \pmod{2} \\ B \equiv 0 \pmod{3} \\ B \equiv 1-A \pmod{4}}} 1 = \begin{cases} \frac{1}{4} N_{(1, 0, 144)}(D), & A \equiv 1 \pmod{4}, \\ \frac{1}{4} N_{(4, 4, 37)}(D), & A \equiv 3 \pmod{4}. \end{cases}$$

Similarly for the inner sum of  $S_2$  we find that

$$\sum_{\substack{B > 0 \\ D-B^2 = \square \equiv 0 \pmod{9} \\ B \equiv 0 \pmod{2} \\ B \equiv 1-A \pmod{4}}} 1 = \begin{cases} \frac{1}{4} N_{(9, 0, 16)}(D), & A \equiv 1 \pmod{4}, \\ \frac{1}{4} N_{(13, 10, 13)}(D), & A \equiv 3 \pmod{4}, \end{cases}$$

for the inner sum of  $S_3$

$$\sum_{\substack{B > 0 \\ D-B^2 = \square \\ B \equiv 0 \pmod{2} \\ B \equiv 0 \pmod{3} \\ B \equiv 3-A \pmod{4}}} 1 = \begin{cases} \frac{1}{4} N_{(4, 4, 37)}(D), & A \equiv 1 \pmod{4}, \\ \frac{1}{4} N_{(1, 0, 144)}(D), & A \equiv 3 \pmod{4}, \end{cases}$$

for the inner sum of  $S_4$

$$\sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{9} \\ B \equiv 0 \pmod{2} \\ B \equiv 3-A \pmod{4}}} 1 = \begin{cases} \frac{1}{4}N_{(13, 10, 13)}(D), & A \equiv 1 \pmod{4}, \\ \frac{1}{4}N_{(9, 0, 16)}(D), & A \equiv 3 \pmod{4}, \end{cases}$$

for the inner sum of  $S_5$

$$\sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{4} \\ B \equiv 1 \pmod{2} \\ B \equiv 0 \pmod{3}}} 1 = \frac{1}{4}N_{(4, 0, 9)}(D),$$

for the inner sum of  $S_6$

$$\sum_{\substack{B > 0 \\ D-B^2=\square \equiv 0 \pmod{36} \\ B \equiv 1 \pmod{2}}} 1 = \frac{1}{4}N_{(1, 0, 36)}(D),$$

for the inner sum of  $S_7$

$$\sum_{\substack{B > 0 \\ 2D-B^2=\square \\ B \equiv 0 \pmod{3}}} 1 = \frac{1}{4}N_{(1, 0, 9)}(2D),$$

and for the inner sum of  $S_8$

$$\sum_{\substack{B > 0 \\ 2D-B^2=\square \equiv 0 \pmod{9}}} 1 = \frac{1}{4}N_{(1, 0, 9)}(2D).$$

Thus

$$S_1 = \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} \left( N_{(1, 0, 144)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 6D)=1 \\ A \equiv \pm 1 \pmod{12}}} 1 + N_{(4, 4, 37)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 6D)=1 \\ A \equiv \pm 5 \pmod{12}}} 1 \right),$$

$$\begin{aligned}
S_2 &= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} \left( N_{(9,0,16)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1 \\ A \equiv \pm 5 \pmod{12}}} 1 + N_{(13,10,13)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1 \\ A \equiv \pm 1 \pmod{12}}} 1 \right), \\
S_3 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} \left( N_{(4,4,37)}(D) \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1 \\ A \equiv \pm 1 \pmod{12}}} 1 + N_{(1,0,144)}(D) \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1 \\ A \equiv \pm 5 \pmod{12}}} 1 \right), \\
S_4 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} \left( N_{(13,10,13)}(D) \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1 \\ A \equiv \pm 5 \pmod{12}}} 1 + N_{(9,0,16)}(D) \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1 \\ A \equiv \pm 1 \pmod{12}}} 1 \right), \\
S_5 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-2} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} N_{(4,0,9)}(D) \sum_{\substack{1 \leq A \leq 2^{-3} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1, \\
S_6 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-2} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} N_{(1,0,36)}(D) \sum_{\substack{1 \leq A \leq 2^{-3} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1, \\
S_7 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-\frac{11}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 2 \pmod{3}}} N_{(1,0,9)}(2D) \sum_{\substack{1 \leq A \leq 2^{-11/2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1, \\
S_8 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-\frac{11}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 2 \pmod{3}}} N_{(1,0,9)}(2D) \sum_{\substack{1 \leq A \leq 2^{-11/2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1.
\end{aligned}$$

Now we determine  $S_1 + S_2$ . We have for  $(A, 6) = 1$

$$A \equiv \pm 1 \pmod{12} \iff \left(\frac{12}{A}\right) = 1, \quad A \equiv \pm 5 \pmod{12} \iff \left(\frac{12}{A}\right) = -1,$$

so that

$$\begin{aligned} S_1 + S_2 &= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{3}}} (N_{(1,0,144)}(D) + N_{(13,10,13)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 + \left(\frac{12}{A}\right) \right\} \\ &+ \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{3}}} (N_{(4,4,37)}(D) + N_{(9,0,16)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 - \left(\frac{12}{A}\right) \right\} \\ &= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{3}}} (N_{(1,0,144)}(D) + N_{(13,10,13)}(D)) \\ &\quad + N_{(4,4,37)}(D) + N_{(9,0,16)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\ &+ \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{3}}} (N_{(1,0,144)}(D) + N_{(13,10,13)}(D)) \\ &\quad - N_{(4,4,37)}(D) - N_{(9,0,16)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{12}{A}\right). \end{aligned}$$

Appealing to Lemma 2.4.3, we obtain

$$\begin{aligned} S_1 + S_2 &= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{3}}} d(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 + E_{12}, \\ &= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi}} d(D) \left\{ 1 + \left(\frac{-3}{D}\right) \right\} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 + E_{12}, \end{aligned}$$

where

$$E_{12} = O \left( \left| \sum_{D \leq x^{1/3}} d(D) \right| \sum_{\substack{1 \leq A \leq x^{1/2} D^{-3/2} \\ A \text{ sqf} \\ (A, 6D)=1}} \left( \frac{12}{A} \right) \right).$$

Then, appealing to Lemma 2.3.6 (b) (with  $r = s = 0$  and  $\Delta = -3$ ) and Lemma 2.3.7 (with  $r = s = 0$ ,  $m = 6$  and  $\Delta = 12$ ), we deduce that

$$S_1 + S_2 = \frac{3}{8\pi^2} (c(3/2) + c(3/2, -3)) x^{\frac{1}{2}} + O(x^{\frac{1}{2}} \log^3 x).$$

Next we determine  $S_3 + S_4$ . We have

$$\begin{aligned} S_3 + S_4 &= \frac{1}{8} \sum_{\substack{D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} (N_{(4, 4, 37)}(D) + N_{(9, 0, 16)}(D)) \\ &\quad \times \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 6D)=1}} \left\{ 1 + \left( \frac{12}{A} \right) \right\} \\ &+ \frac{1}{8} \sum_{\substack{D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} (N_{(1, 0, 144)}(D) + N_{(13, 10, 13)}(D)) \\ &\quad \times \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 6D)=1}} \left\{ 1 - \left( \frac{12}{A} \right) \right\} \\ &= \frac{1}{8} \sum_{\substack{D \leq 2^{-\frac{4}{3}} x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} (N_{(1, 0, 144)}(D) + N_{(13, 10, 13)}(D)) \\ &\quad + N_{(4, 4, 37)}(D) + N_{(9, 0, 16)}(D)) \sum_{\substack{1 \leq A \leq 2^{-2} x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 6D)=1}} 1 \end{aligned}$$

$$\begin{aligned}
& -\frac{1}{8} \sum_{\substack{D \leq 2^{-\frac{4}{3}}x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} (N_{(1,0,144)}(D) + N_{(13,10,13)}(D)) \\
& \quad - N_{(4,4,37)}(D) - N_{(9,0,16)}(D)) \sum_{\substack{1 \leq A \leq 2^{-2}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{12}{A}\right).
\end{aligned}$$

Appealing to Lemma 2.4.3, we obtain

$$\begin{aligned}
S_3 + S_4 &= \frac{1}{4} \sum_{\substack{D \leq 2^{-\frac{4}{3}}x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} d(D) \sum_{\substack{1 \leq A \leq 2^{-2}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 + E_{34}, \\
&= \frac{1}{8} \sum_{\substack{D \leq 2^{-\frac{4}{3}}x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left\{ 1 + \left(\frac{-3}{D}\right) \right\} \sum_{\substack{1 \leq A \leq 2^{-2}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 + E_{34},
\end{aligned}$$

where

$$E_{34} = O \left( \left| \sum_{D \leq 2^{-\frac{4}{3}}x^{1/3}} d(D) \right| \left| \sum_{\substack{1 \leq A \leq 2^{-2}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{12}{A}\right) \right| \right).$$

Then, appealing to Lemma 2.3.6 (b) (with  $r = 4/3$ ,  $s = 2$  and  $\Delta = -3$ ) and Lemma 2.3.7 (with  $r = 4/3$ ,  $s = 2$ ,  $m = 6$  and  $\Delta = 12$ ), we deduce that

$$S_3 + S_4 = \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x).$$

Now we turn to  $S_5 + S_6$ . We have

$$S_5 + S_6 = \frac{1}{4} \sum_{\substack{D \leq 2^{-2}x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} (N_{(1,0,36)}(D) + N_{(4,0,9)}(D)) \sum_{\substack{1 \leq A \leq 2^{-3}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1.$$

Appealing to Lemma 2.4.4, we obtain

$$S_5 + S_6 = \frac{1}{2} \sum_{\substack{D \leq 2^{-2}x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{3}}} d(D) \sum_{\substack{1 \leq A \leq 2^{-3}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1$$

$$= \frac{1}{4} \sum_{\substack{D \leq 2^{-2}x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left\{ 1 + \left( \frac{-3}{D} \right) \right\} \sum_{\substack{1 \leq A \leq 2^{-3}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1.$$

Then, appealing to Lemma 2.3.6 (b) (with  $r = 2$ ,  $s = 3$  and  $\Delta = -3$ ), we deduce that

$$S_5 + S_6 = \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3))x^{\frac{1}{2}} + O(x^{\frac{1}{2}} \log^3 x).$$

Finally we consider  $S_7 + S_8$ . We have

$$S_7 + S_8 = \frac{1}{2} \sum_{\substack{D \leq 2^{-\frac{11}{3}}x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 2 \pmod{3}}} N_{(1,0,9)}(2D) \sum_{\substack{1 \leq A \leq 2^{-\frac{11}{2}}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1.$$

Appealing to Lemma 2.4.5, we obtain

$$\begin{aligned} S_7 + S_8 &= \sum_{\substack{D \leq 2^{-\frac{11}{3}}x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 2 \pmod{3}}} d(D) \sum_{\substack{1 \leq A \leq 2^{-\frac{11}{3}}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\ &= \frac{1}{2} \sum_{\substack{D \leq 2^{-\frac{11}{3}}x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left\{ 1 - \left( \frac{-3}{D} \right) \right\} \sum_{\substack{1 \leq A \leq 2^{-\frac{11}{3}}x^{\frac{1}{2}}D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1. \end{aligned}$$

Appealing to Lemma 2.3.6 (b) (with  $r = 11/3$ ,  $s = 11/2$  and  $\Delta = -3$ ), we obtain

$$S_7 + S_8 = \frac{3}{2^{\frac{13}{2}}\pi^2} (c(3/2) - c(3/2, -3))x^{\frac{1}{2}} + O(x^{\frac{1}{2}} \log^3 x).$$

Finally

$$\begin{aligned} N(x, 0, 3) &= (S_1 + S_2) + (S_3 + S_4) + (S_5 + S_6) + (S_7 + S_8) \\ &= x^{\frac{1}{2}} \left\{ \frac{3}{8\pi^2} (c(3/2) + c(3/2, -3)) + \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3)) \right. \\ &\quad \left. + \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3)) + \frac{3}{64\sqrt{2}\pi^2} (c(3/2) - c(3/2, -3)) \right\} \\ &\quad + O(x^{\frac{1}{2}} \log^3 x) \\ &= x^{\frac{1}{2}} \left\{ \frac{3(24 + \sqrt{2})}{128\pi^2} c(3/2) + \frac{3(24 - \sqrt{2})}{128\pi^2} c(3/2, -3) \right\} + O(x^{\frac{1}{2}} \log^3 x), \end{aligned}$$

as asserted.  $\square$

Our next goal is to determine an asymptotic formula for  $N(x, 0, 4)$ .

**Theorem 2.5.3.**

$$N(x, 0, 4) = \frac{1}{2\pi^2} (c(3/2) + c(3/2, 8))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x).$$

*Proof.* By (1.2.1)–(1.2.6) and Theorem 1.3.10 we have

$N(x, 0, 4) =$  number of  $(A, B, D) \in \mathbb{Z} \times \mathbb{N} \times \mathbb{N}$  such that

$$\begin{aligned} A^2 D^3 &\leq x, \\ A &\equiv 1 \pmod{8}, B \equiv 0 \pmod{8} \text{ or} \\ A &\equiv 5 \pmod{8}, B \equiv 4 \pmod{8}, \\ A &\text{ sqf,} \\ B &\geq 1, D \geq 2, D \text{ sqf,} \\ D - B^2 &= \square, \\ (A, D) &= 1, \end{aligned}$$

so that

$$\begin{aligned} N(x, 0, 4) &= \sum_{\substack{2 \leq D \leq x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{8}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 1 \pmod{8}}} \sum_{\substack{B > 0 \\ D - B^2 = \square \\ B \equiv 0 \pmod{8}}} 1 \\ &+ \sum_{\substack{2 \leq D \leq x^{\frac{1}{3}} \\ D \text{ sqf} \\ D \equiv 1 \pmod{8}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 5 \pmod{8}}} \sum_{\substack{B > 0 \\ D - B^2 = \square \\ B \equiv 4 \pmod{8}}} 1. \end{aligned}$$

First we examine the inner sums over  $B$ . We have

$$\begin{aligned} \sum_{\substack{B > 0 \\ D - B^2 = \square \\ B \equiv 0 \pmod{8}}} 1 &= \sum_{\substack{B > 0 \\ D - 64B^2 = \square}} 1 = \frac{1}{2} \sum_{\substack{B \\ D - 64B^2 = \square}} 1 \\ &= \frac{1}{4} \sum_{\substack{B, C \\ D - 64B^2 = C^2}} 1 = \frac{1}{4} N_{(1, 0, 64)}(D), \end{aligned}$$

and (remembering that  $D$  is squarefree and odd)

$$\begin{aligned} \sum_{\substack{B > 0 \\ B \equiv 4 \pmod{8} \\ D - B^2 = \square}} 1 &= \frac{1}{2} \sum_{\substack{B \\ B \equiv 4 \pmod{8} \\ D - B^2 = \square}} 1 = \frac{1}{4} \sum_{\substack{B, C \\ B \equiv 4 \pmod{8} \\ D = B^2 + C^2}} 1 \\ &= \frac{1}{4} \sum_{\substack{B, C \\ B \equiv 1 \pmod{2} \\ D = 16B^2 + C^2}} 1 = \frac{1}{4} \sum_{\substack{B, C \\ B \equiv C \equiv 1 \pmod{2} \\ D = 16B^2 + C^2}} 1 \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{4} \sum_{\substack{B, X \\ B \equiv 1 \pmod{2} \\ D = 16B^2 + (B+2X)^2}} 1 = \frac{1}{4} \sum_{\substack{B, X \\ B \equiv 1 \pmod{2} \\ D = 4X^2 + 4XB + 17B^2}} 1 \\
&= \frac{1}{4} \sum_{\substack{B, X \\ D = 4X^2 + 4XB + 17B^2}} 1 = \frac{1}{4} N_{(4, 4, 17)}(D).
\end{aligned}$$

Hence

$$\begin{aligned}
N(x, 0, 4) &= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} N_{(1, 0, 64)}(D) \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 1 \pmod{8} \\ (A, D) = 1}} 1 \\
&+ \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} N_{(4, 4, 17)}(D) \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 5 \pmod{8} \\ (A, D) = 1}} 1 \\
&= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} N_{(1, 0, 64)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 1 \pmod{8} \\ (A, D) = 1}} 1 \\
&+ \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} N_{(4, 4, 17)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 3 \pmod{8} \\ (A, D) = 1}} 1 \\
&= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} N_{(1, 0, 64)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D) = 1}} \left\{ 1 + \left( \frac{8}{A} \right) \right\} \\
&+ \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} N_{(4, 4, 17)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D) = 1}} \left\{ 1 - \left( \frac{8}{A} \right) \right\} \\
&= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8}}} (N_{(1, 0, 64)}(D) + N_{(4, 4, 17)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 2D) = 1}} 1
\end{aligned}$$

$$+ \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{8}}} (N_{(1,0,64)}(D) - N_{(4,4,17)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} \left(\frac{8}{A}\right).$$

Appealing to Lemma 2.4.6, we obtain

$$\begin{aligned} N(x, 0, 4) &= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{8}}} d(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} 1 \\ &\quad + O \left( \sum_{D \leq x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} \left(\frac{8}{A}\right) \right| \right) \\ &= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left\{ 1 + \left(\frac{8}{D}\right) \right\} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,2D)=1}} 1 + O(x^{\frac{1}{3}} \log^3 x), \end{aligned}$$

by Lemma 2.3.7 (with  $r = 0, s = 0, m = 2$  and  $\Delta = 8$ ). Then, appealing to Lemma 2.3.6 (a) (with  $r = s = 0$  and  $\Delta = 8$ ), we obtain

$$N(x, 0, 4) = \frac{1}{2\pi^2} (c(3/2) + c(3/2, 8))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x),$$

as asserted. □

Next we turn to the evaluation of  $N(x, 0, 6)$ .

**Theorem 2.5.4.**

$$\begin{aligned} N(x, 0, 6) &= \frac{3}{16\pi^2} (c(3/2) + c(3/2, -3) + c(3/2, 8) + c(3/2, -24))x^{\frac{1}{2}} \\ &\quad + O(x^{\frac{1}{3}} \log^3 x). \end{aligned}$$

*Proof.* By (1.2.1)–(1.2.6), Theorem 1.3.2 and Theorem 1.3.9, we have

$$N(x, 0, 6) = \text{number of } (A, B, D) \in \mathbb{Z} \times \mathbb{N} \times \mathbb{N}$$

such that

$$\begin{aligned} A^2 D^3 &\leq x, \\ A &\equiv 1 \pmod{4}, B \equiv 0 \pmod{4}, \\ A &\equiv 1 \pmod{3}, B \equiv 0 \pmod{3} \text{ or} \\ A &\equiv 2 \pmod{3}, D - B^2 \equiv 0 \pmod{9}, \end{aligned}$$

$$\begin{aligned}
& A \text{ sqf}, \\
& B \geq 1, D \geq 2, D \text{ sqf}, \\
& D - B^2 = \square, \\
& (A, D) = 1,
\end{aligned}$$

so that

$$\begin{aligned}
N(x, 0, 6) = & \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8} \\ D \equiv 1 \pmod{3}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 1 \pmod{4} \\ A \equiv 1 \pmod{3}}} \sum_{\substack{B > 0 \\ B \equiv 0 \pmod{4} \\ B \equiv 0 \pmod{3} \\ D - B^2 = \square}} 1 \\
& + \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{8} \\ D \equiv 1 \pmod{3}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 1 \pmod{4} \\ A \equiv 2 \pmod{3}}} \sum_{\substack{B > 0 \\ B \equiv 0 \pmod{4} \\ D - B^2 = \square \equiv 0 \pmod{9}}} 1.
\end{aligned}$$

Examining the inner sums over  $B$ , we obtain

$$\begin{aligned}
\sum_{\substack{B > 0 \\ B \equiv 0 \pmod{12} \\ D - B^2 = \square}} 1 &= \sum_{\substack{B > 0 \\ D - 144B^2 = \square}} 1 = \frac{1}{2} \sum_{\substack{B \\ D - 144B^2 = \square}} 1 \\
&= \frac{1}{4} \sum_{\substack{B, C \\ D - 144B^2 = C^2}} 1 = \frac{1}{4} N_{(1, 0, 144)}(D)
\end{aligned}$$

and

$$\begin{aligned}
\sum_{\substack{B > 0 \\ B \equiv 0 \pmod{4} \\ D - B^2 = \square \equiv 0 \pmod{9}}} 1 &= \sum_{\substack{B > 0 \\ D - 16B^2 = 9\square}} 1 = \frac{1}{2} \sum_{\substack{B \\ D - 16B^2 = 9\square}} 1 \\
&= \frac{1}{4} \sum_{\substack{B, C \\ D - 16B^2 = 9C^2}} 1 = \frac{1}{4} N_{(9, 0, 16)}(D).
\end{aligned}$$

Hence

$$\begin{aligned}
N(x, 0, 6) = & \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(1, 0, 144)}(D) \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 1 \pmod{12} \\ (A, D) = 1}} 1 \\
& + \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(9, 0, 16)}(D) \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 5 \pmod{12} \\ (A, D) = 1}} 1
\end{aligned}$$

$$\begin{aligned}
&= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(1,0,144)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 1 \pmod{12} \\ (A,D)=1}} 1 \\
&+ \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(9,0,16)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 5 \pmod{12} \\ (A,D)=1}} 1 \\
&= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(1,0,144)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 + \left( \frac{12}{A} \right) \right\} \\
&+ \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(9,0,16)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 - \left( \frac{12}{A} \right) \right\} \\
&= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} (N_{(1,0,144)}(D) + N_{(9,0,16)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\
&+ \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} (N_{(1,0,144)}(D) - N_{(9,0,16)}(D)) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left( \frac{12}{A} \right).
\end{aligned}$$

Appealing to Lemma 2.4.3, we deduce

$$\begin{aligned}
N(x, 0, 6) &= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} d(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\
&+ O\left( \sum_{D \leq x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left( \frac{12}{A} \right) \right| \right).
\end{aligned}$$

Then, appealing to Lemma 2.3.7 (with  $r = 0$ ,  $s = 0$ ,  $m = 6$  and  $\Delta = 12$ ), we obtain

$$N(x, 0, 6) = \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi}} d(D) \left\{ 1 + \left( \frac{-3}{D} \right) \right\} \left\{ 1 + \left( \frac{8}{D} \right) \right\} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 + O(x^{\frac{1}{3}} \log^3 x).$$

Finally, appealing to Lemma 2.3.6 (c) (with  $\Delta = -3$  and  $\Delta' = 8$ ), we obtain

$$N(x, 0, 6) = \frac{3}{16\pi^2} (c(3/2) + c(3/2, -3) + c(3/2, 8) + c(3/2, -24))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x),$$

as asserted.  $\square$

Our final theorem of this section is an asymptotic formula for  $N(x, 0, 12)$ .

**Theorem 2.5.5.**

$$N(x, 0, 12) = \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3) + c(3/2, 8) + c(3/2, -24))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x).$$

*Proof.* By (1.2.1)–(1.2.6) and Theorems 1.3.9 and 1.3.10, we have

$$N(x, 0, 12) = \text{number of } (A, B, D) \in \mathbb{Z} \times \mathbb{N} \times \mathbb{N}$$

such that

$$\begin{aligned} & A^2 D^3 \leq x, \\ & A \equiv 1 \pmod{24}, B \equiv 0 \pmod{24}, \text{ or} \\ & A \equiv 5 \pmod{24}, B \equiv \pm 4 \pmod{24}, D \equiv 1 \pmod{3}, \text{ or} \\ & A \equiv 13 \pmod{24}, B \equiv 12 \pmod{24}, \text{ or} \\ & A \equiv 17 \pmod{24}, B \equiv \pm 8 \pmod{24}, D \equiv 1 \pmod{3}, \\ & A \text{ sqf,} \\ & B \geq 1, D \geq 2, D \text{ sqf,} \\ & D - B^2 = \square, \\ & (A, D) = 1, \end{aligned}$$

so that

$$\begin{aligned} N(x, 0, 12) = & \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, D) = 1}} \sum_{\substack{B > 0 \\ B \equiv 0 \pmod{24} \\ D - B^2 = \square}} 1 \\ & + \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, D) = 1}} \sum_{\substack{B > 0 \\ B \equiv \pm 4 \pmod{24} \\ D - B^2 = \square}} 1 \\ & + \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, D) = 1}} \sum_{\substack{B > 0 \\ B \equiv 12 \pmod{24} \\ D - B^2 = \square}} 1 \end{aligned}$$

$$+ \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{24}}} \sum_{\substack{|A| \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv 17 \pmod{24} \\ (A,D)=1}} \sum_{\substack{B > 0 \\ B \equiv \pm 8 \pmod{24} \\ D-B^2 = \square}} 1.$$

Straightforward calculations show that

$$\sum_{\substack{B > 0 \\ B \equiv 0 \pmod{24} \\ D-B^2 = \square}} 1 = \frac{1}{4} N_{(1,0,576)}(D),$$

and

$$\begin{aligned} \sum_{\substack{B > 0 \\ B \equiv \pm 4 \pmod{24} \\ D-B^2 = \square}} 1 &= \frac{1}{4} \sum_{\substack{B, C \\ B \equiv 4 \pmod{8} \\ C \equiv 3 \pmod{6} \\ D=B^2+C^2}} 1 \\ &= \frac{1}{4} \sum_{\substack{U, V \\ U \equiv V \equiv 1 \pmod{2} \\ D=16U^2+9V^2}} 1 \\ &= \frac{1}{4} \sum_{\substack{X, Y \\ D=16(X+Y)^2+9(X-Y)^2}} 1 \\ &= \frac{1}{4} \sum_{\substack{X, Y \\ D=25X^2+14XY+25Y^2}} 1 \\ &= \frac{1}{4} N_{(25, 14, 25)}(D), \end{aligned}$$

and

$$\begin{aligned} \sum_{\substack{B > 0 \\ B \equiv 12 \pmod{24} \\ D-B^2 = \square}} 1 &= \frac{1}{4} \sum_{\substack{B, C \\ B \equiv 12 \pmod{24} \\ D=B^2+C^2}} 1 \\ &= \frac{1}{4} \sum_{\substack{C, E \\ E \equiv 1 \pmod{2} \\ D=C^2+144E^2}} 1 \\ &= \frac{1}{4} \sum_{\substack{E, F \\ E \equiv 1 \pmod{2} \\ D=(E+2F)^2+144E^2}} 1 \end{aligned}$$

$$\begin{aligned}
&= \frac{1}{4} \sum_{\substack{E, F \\ D = 4F^2 + 4FE + 145E^2}} 1 \\
&= \frac{1}{4} N_{(4, 4, 145)}(D),
\end{aligned}$$

and

$$\begin{aligned}
\sum_{\substack{B > 0 \\ B \equiv \pm 8 \pmod{24} \\ D - B^2 = \square}} 1 &= \frac{1}{4} \sum_{\substack{B, C \\ B \equiv \pm 8 \pmod{24} \\ D = B^2 + C^2}} 1 \\
&= \frac{1}{4} \sum_{\substack{C, E \\ E \equiv \pm 1 \pmod{3} \\ D = C^2 + 64E^2}} 1 \\
&= \frac{1}{4} \sum_{\substack{C, E \\ C \equiv 0 \pmod{3} \\ D = C^2 + 64E^2}} 1 \\
&= \frac{1}{4} N_{(9, 0, 64)}(D).
\end{aligned}$$

Hence

$$\begin{aligned}
N(x, 0, 12) &= \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} N_{(1, 0, 576)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 1 \pmod{24} \\ (A, D) = 1}} 1 \\
&+ \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} N_{(25, 14, 25)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 5 \pmod{24} \\ (A, D) = 1}} 1 \\
&+ \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} N_{(4, 4, 145)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 11 \pmod{12} \\ (A, D) = 1}} 1 \\
&+ \frac{1}{4} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varnothing \\ D \equiv 1 \pmod{24}}} N_{(9, 0, 64)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ A \equiv \pm 7 \pmod{24} \\ (A, D) = 1}} 1.
\end{aligned}$$

Thus

$$\begin{aligned}
& N(x, 0, 12) \\
&= \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(1,0,576)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 + \left( \frac{8}{A} \right) \right\} \left\{ 1 + \left( \frac{12}{A} \right) \right\} \\
&+ \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(25,14,25)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 - \left( \frac{8}{A} \right) \right\} \left\{ 1 - \left( \frac{12}{A} \right) \right\} \\
&+ \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(4,4,145)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 - \left( \frac{8}{A} \right) \right\} \left\{ 1 + \left( \frac{12}{A} \right) \right\} \\
&+ \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} N_{(9,0,64)}(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left\{ 1 + \left( \frac{8}{A} \right) \right\} \left\{ 1 - \left( \frac{12}{A} \right) \right\}.
\end{aligned}$$

Therefore

$$\begin{aligned}
N(x, 0, 12) &= \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} \{ N_{(1,0,576)}(D) + N_{(25,14,25)}(D) \\
&\quad + N_{(4,4,145)}(D) + N_{(9,0,64)}(D) \} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\
&+ \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \varphi \\ D \equiv 1 \pmod{24}}} \{ N_{(1,0,576)}(D) - N_{(25,14,25)}(D) \\
&\quad - N_{(4,4,145)}(D) + N_{(9,0,64)}(D) \} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left( \frac{8}{A} \right)
\end{aligned}$$

$$\begin{aligned}
& + \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{24}}} \{N_{(1,0,576)}(D) - N_{(25,14,25)}(D) \\
& \qquad \qquad \qquad + N_{(4,4,145)}(D) - N_{(9,0,64)}(D)\} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{12}{A}\right) \\
& + \frac{1}{16} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{24}}} \{N_{(1,0,576)}(D) + N_{(25,14,25)}(D) \\
& \qquad \qquad \qquad - N_{(4,4,145)}(D) - N_{(9,0,64)}(D)\} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{24}{A}\right).
\end{aligned}$$

By Lemma 2.4.7 we have

$$\begin{aligned}
N(x, 0, 12) &= \frac{1}{8} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp \\ D \equiv 1 \pmod{24}}} d(D) \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} 1 \\
& + O \left( \sum_{D \leq x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{8}{A}\right) \right| \right) \\
& + O \left( \sum_{D \leq x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{12}{A}\right) \right| \right) \\
& + O \left( \sum_{D \leq x^{\frac{1}{3}}} d(D) \left| \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A,6D)=1}} \left(\frac{24}{A}\right) \right| \right).
\end{aligned}$$

Appealing to Lemma 2.3.7, we obtain

$$N(x, 0, 12) = \frac{1}{32} \sum_{\substack{D \leq x^{\frac{1}{3}} \\ D \in \wp}} d(D) \left\{ 1 + \left( \frac{-3}{D} \right) \right\} \left\{ 1 + \left( \frac{8}{D} \right) \right\} \sum_{\substack{1 \leq A \leq x^{\frac{1}{2}} D^{-\frac{3}{2}} \\ A \text{ sqf} \\ (A, 6D)=1}} 1 + O(x^{\frac{1}{3}} \log^3 x).$$

Finally, appealing to Lemma 2.3.6 (c), we obtain

$$N(x, 0, 12) = \frac{3}{32\pi^2} (c(3/2) + c(3/2, -3) + c(3/2, 8) + c(3/2, -24))x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x).$$

This completes the proof of Theorem 2.5.5. □

**2.6. Number of cyclic quartic fields with discriminant  $\leq x$  and given index:**  
**Proof of Theorem B.** By a theorem of Ou and Williams [14] the number  $N(x)$  of cyclic quartic fields  $K$  with  $d(K) \leq x$  satisfies

$$N(x) = \frac{3}{\pi^2} \left\{ \frac{24 + \sqrt{2}}{24} \prod_{p \equiv 1 \pmod{4}} \left( 1 + \frac{2}{(p+1)\sqrt{p}} \right) - 1 \right\} x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x),$$

so that

$$N(x) = \frac{1}{8\pi^2} \{ (24 + \sqrt{2})c(3/2) + \sqrt{2} \} x^{\frac{1}{2}} + O(x^{\frac{1}{3}} \log^3 x). \tag{2.6.1}$$

As

$$\begin{aligned} N(x; 1) &= N(x) - N(x, 0, 2) - N(x, 0, 3) + N(x, 0, 6), \\ N(x; 2) &= N(x, 0, 2) - N(x, 0, 4) - N(x, 0, 6) + N(x, 0, 12), \\ N(x; 3) &= N(x, 0, 3) - N(x, 0, 6), \\ N(x; 4) &= N(x, 0, 4) - N(x, 0, 12), \\ N(x; 6) &= N(x, 0, 6) - N(x, 0, 12), \\ N(x; 12) &= N(x, 0, 12), \end{aligned}$$

appealing to (2.6.1) and Theorems 2.5.1–2.5.5, we obtain Theorem B. □

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